

PE9110 E1.S and PE9010 M.2 22110D NVMe TCG Opal SSC SEDs

FIPS 140-3 Cryptographic Module Non-Proprietary Security Policy

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Table of Contents

| 1 | General4 | | | |
|----|--------------------------------------|--|---|--|
| 2 | Cryptographic Module Specification5 | | | |
| | 2.1 | Operational Environment | 5 | |
| | 2.2 | Cryptographic Boundary | , | |
| | 2.3 | Mode of Operation | ; | |
| | 2.4 | Security Functions | ; | |
| | 2.5 | Overall Security Design |) | |
| | 2.6 | Rules of Operation | , | |
| 3 | Crypt | ographic Module Interfaces10 |) | |
| 4 | Roles | , Services, and Authentication11 | L | |
| | 4.1 | Assumption of Roles | L | |
| | 4.2 | Services11 | L | |
| 5 | Softw | /are/Firmware Security32 | 2 | |
| 6 | Oper | ational Environment32 | 2 | |
| 7 | Physi | cal Security Policy32 | 2 | |
| 8 | Non- | Invasive Security32 | 2 | |
| 9 | Sensi | tive Security Parameter (SSP) Management32 | 2 | |
| | 9.1 | Sensitive Security Parameters | ; | |
| 10 | Self-Tests | | | |
| 11 | Life-Cycle Assurance | | | |
| | 11.1 | Cryptographic Officer Initialization |) | |
| | 11.2 | Un-Initialize the Module |) | |
| | 11.3 | Sanitization40 |) | |
| 12 | Mitigation of Other Attacks Policy40 | | | |
| 13 | Refer | ences and Definitions41 | L | |



List of Tables

| Table 1: Security Level of Security Requirements |
|---|
| Table 2: Cryptographic Module Tested Configuration |
| Table 3: Approved Algorithms |
| Table 4: Vendor affirmed approved algorithms 8 |
| Table 5: Non-Approved Algorithms Allowed in the Approved Mode of Operation 8 |
| Table 6: Non-Approved Algorithms Allowed in the Approved Mode of Operation with No Security Claimed |
| Table 7: Security function implementation (SFI) |
| Table 8: Entrop Certificates9 |
| Table 9: Ports and Interfaces |
| Table 10: Roles and Authentication |
| Table 11: Roles, Service Commands, Input and Output12 |
| Table 12: Approved Services16 |
| Table 13: SSPs |
| Table 14: Non-Deterministic Random Number Generation Specification |
| Table 15: Pre-Operational and Conditional Self-Tests performed at Power-On |
| Table 16: Additional Conditional Self-Tests |
| Table 17: References41 |
| Table 18: Acronyms and Definitions |

List of Figures

| Figure 1: PE9110 E1.S Top and Bottom | 6 |
|--|---|
| Figure 2: PE9010 M.2 22110D Top and Bottom | 6 |



1 General

This document defines the Security Policy for the SK hynix PE9110 E1.S and PE9010 M.2 22110D NVMe TCG Opal SSC SEDs cryptographic module, hereafter denoted the Module. The Module is a multiple chip embedded self-encrypting drive (SED) compliant with TCG Core, TCG Opal, TCG Single User Mode (SUM), PCIe, and NVMe specifications. The Module is also compliant with the IEEE1667 storage specification. The cryptographic module's controller has a built-in AES-XTS HW engine which encrypts and decrypts the user data without any performance loss. The Module meets FIPS 140-3 overall security Level 1.

The FIPS 140-3 security levels for the Module are as follows:

| Security Requirement | Security Level |
|---|----------------|
| General | 1 |
| Cryptographic Module Specification | 1 |
| Cryptographic Module Ports and Interfaces | 1 |
| Roles, Services, and Authentication | 1 |
| Software/Firmware Security | 1 |
| Operational Environment | 1 |
| Physical Security | 1 |
| Non-Invasive Security | N/A |
| Sensitive Security Parameter Management | 1 |
| Self-Tests | 1 |
| Life-Cycle Assurance | 1 |
| Mitigation of Other Attacks | N/A |
| Overall Level | 1 |

| Table 1: Security | v Level of Security | v Requirements |
|-------------------|---------------------|-----------------------|
| | | |

2 Cryptographic Module Specification

The Module is designed to be embedded in a General Purpose Computer (host). The Module does not support a maintenance access interface.

The Module uses a single chip controller (Atomos) with a PCIe/NVMe and SMBus interface on the systems side and SK hynix NAND flash internally.

The Module is composed of the following major components:

- Atomos Controller The controller SoC (System On Chip). This component is responsible for terminating PCIe/NVMe commands; reading or writing data to the Host platform; encrypting or decrypting data from the Host platform; and storing or retrieving data to SK hynix NAND nonvolatile memory.
 - PMC Power Management Controller Manages power control of the Module
 - PCIe/NVMe Interface Provides PCI/NVMe Interface access to the controller
 - SMBus Interface Provides SMBus Interface access to the controller
 - CPU Central Processing Unit of the controller
 - ROM Read only memory Non-volatile memory which has first bootable code for controller
 - ECC Error Correction Code memory provides Error correction and detection access to the controller
 - SRAM Static Random Access memory
 - DRAM Interface Provides access to SK hynix DRAM
 - NAND Interface Provides access to SK hynix NAND Memory
- **SK hynix DRAM** Dynamic Random Access Memory. DRAM Provides variable storage, instruction memory, data mapping tables and buffer for user data going into and out of the device.
- SK hynix NAND memory NAND flash is the storage medium where encrypted user data, firmware for the Atomos controller, and other non-volatile configuration data needed by the Atomos controller during execution.

2.1 Operational Environment

The following Module configurations were tested:

| # | Model | HW P/N | FW Version | Distinguishing Features | | |
|---|-------------------|-----------------|------------|-------------------------|--|--|
| 1 | PE9110 E1.S | HFS1T9GEEWX132N | 41089A30 | 1920GB | | |
| 2 | PE9110 E1.S | HFS3T8GEEWX132N | 41089A30 | 3840GB | | |
| 3 | PE9110 E1.S | HFS7T6GEEWX132N | 41089A30 | 7680GB | | |
| 4 | PE9010 M.2 22110D | HFS960GDJ0X132N | 51082A30 | 960GB | | |
| 5 | PE9010 M.2 22110D | HFS1T9GDJ0X132N | 51082A30 | 1920GB | | |
| 6 | PE9010 M.2 22110D | HFS3T8GDJ0X132N | 51082A30 | 3840GB | | |

Table 2: Cryptographic Module Tested Configuration

2.2 Cryptographic Boundary

The PE9110 has an E1.S physical form factor, while the PE9010 has an M.2 22110D physical form factor as depicted in Figures 1 and 2 respectively. The cryptographic boundary is defined as the entire PCB of each form factor, as outlined with a red dotted line. Note that the PE9110



E1.S includes a metallic enclosure, while the PE9010 M.2 22110D does not. No components have been excluded from the cryptographic boundary.



Figure 1: PE9110 E1.S Top and Bottom (Cryptographic Boundary in Red)



Figure 2: PE9010 M.2 22110D Top and Bottom (Cryptographic Boundary in Red)

2.3 Mode of Operation

The Module only supports an Approved Mode of operation and is thus continually in an Approved mode of operation. In order to confirm the module's versioning information and that it is operating in an Approved manner, the operator may invoke the "Read FIPS Compliance" service, as specified in Section 11.1.

Per IG 2.4.C, Example Scenario #2, a global indicator is used to identify the Approved mode of operation, along with the implicit indicator for the successful completion of each Approved service.

2.4 Security Functions

The Module implements the Approved Mode cryptographic functions listed in the table below. The module does not support any non-Approved cryptographic functions.

| Cert | Algorithm | Mode | Description | Functions/Caveats | |
|----------------|--------------|-----------|--------------------|--------------------------|--|
| | | ECB [38A] | Key Sizes: 256 | Encrypt, Decrypt | |
| | | | Boundary: Hardware | Support for XTS and KW | |
| | | | Key Sizes: 256 | Encrypt, Decrypt of user | |
| A2596 | AES [197] | XIS[38E] | Boundary: Hardware | data | |
| | | KW [38F] | Forward | Authenticated Encrypt, | |
| | | | Key Sizes: 256 | Authenticated Decrypt | |
| | | | Boundary: Hardware | for key storage | |
| | Conditioning | | | | |
| | Component | | | | |
| ∆2272 | Block Cipher | FCB [90B] | Key Sizes: 128 | SP800-90B Conditioner | |
| ~~ <i>~</i> // | Derivation | LCD [50D] | Boundary: Hardware | | |
| | Function | | | | |
| | SP800-90B | | | | |

Table 3: Approved Algorithms



| Cont | Algorithm | Mada | Description | |
|-------|--------------|-----------|---|---|
| Cert | Algorithm | wode | Description | Functions/Caveats |
| C1278 | DRBG [90Ar1] | CTR | Prediction Resistance: Yes, No Supports Reseed Mode: AES-256 Derivation Function Enabled: No Additional Input: 0-384 Entropy Input: 384 Nonce: 0 Personalization String Length: 0-384 Returned Bits: 512 Additional Input used: No Entropy Input used: 384 Personalization String used: No Boundary: Hardware | Deterministic Random Bit Generation Security strength = 256 bits. |
| | AES [197] | ECB [38A] | Key Sizes: 256 Boundary: Hardware | Encrypt, Decrypt To support CTR_DRBG |
| A2595 | DRBG [90Ar1] | CTR | Prediction Resistance: Yes, No Supports Reseed Mode: AES-256 Derivation Function Enabled: Yes Additional Input: 0-2048 Increment 128 Entropy Input: 256-2048 Increment 128 Nonce: 128-1024 Increment 128 Personalization String Length: 0-2048 Increment 128 Returned Bits: 512 | Tested, but not used |
| N/A | ENT [90B] | ENT (P) | Hardware Non-Deterministic RNG; minimum of 512 bits per access. Boundary: Hardware | Provides a minimum of 256 bits of security strength to the Approved DRBG |
| A2596 | HMAC [198-1] | SHA2-256 | Key Sizes: 256 bits $\lambda = 32 bytes$ Boundary: Hardware | Public Security Parameter (PSP) data authentication and support for PBKDF2 |
| A2595 | PBKDF [132] | Option 1a | sLen = 32 bytes salt C = 1,000 iterations HMAC-SHA2-256 Cert. #A2596 Boundary: Firmware | Password Based Key Derivation. The keys derived from passwords are used only in storage application |
| A2595 | RSA [186-4] | PSS | n = 2048 – 4096, SHA2-256, 384, 512 Boundary: Firmware | SigVer - Signature Verification: Firmware Updates and Maker Authentication |



FIPS 140-3 Security Policy

| Cert | Algorithm | Mode | Description | Functions/Caveats |
|-------|-------------|----------|----------------------------|------------------------|
| | | | n = 3072 – 4096, SHA2-384, | SigVer - Signature |
| A2597 | RSA [186-4] | PSS | 512 | Verification: Firmware |
| | | | Boundary: Hardware | Integrity |
| A2595 | SHA2-384 | SHA2-512 | Boundary: Firmware | Message digest |
| A2595 | SHA2-512 | SHA2-512 | Boundary: Firmware | Message digest |
| A2596 | SHA2-256 | SHA2-256 | Boundary: Hardware | Message digest |
| A2597 | SHA2-384 | SHA2-384 | Boundary: Hardware | Message digest |
| A2597 | SHA2-512 | SHA2-512 | Boundary: Hardware | Message digest |

Table 4: Vendor Affirmed Approved Algorithms

| Algorithm | Caveat | Use/Function |
|-----------|-----------------------------------|---|
| | [133r2] Section 6.1 - The "Direct | Direct Symmetric Key generation using unmodified |
| | Generation" of Symmetric Keys | DRBG output |
| | [133r2] Section 6.2.3 - Symmetric | Derivation of symmetric keys from a Password. The |
| | Keys Derived from Passwords | key can only be used for storage applications. |
| D.12] | [133r2] Section 6.3 - Symmetric | |
| | Keys Produced by Combining | Derivation of XTS keys. |
| | (Multiple) Keys and Other Data | |

Table 5: Non-Approved Algorithms Allowed in the Approved Mode of Operation

| Algorithm | Caveat | Use/Function |
|-----------|--------|--------------|
| N/A | N/A | N/A |

Table 6: Non-Approved Algorithms Allowed in the Approved Mode of Operation with No Security Claimed

| Algorithm | Caveat | Use/Function |
|-------------|----------------------------------|---|
| | IG 2.4.A, Example #1 – | PBKDF is used to derive an obfuscation key based on |
| PBKDF [132] | Obfuscation of internally stored | an optional password. Per TCG specifications, the |
| | data | password must be optional and may be of 0 length. |
| | IG 2.4.A, Example #1 – | Used in conjunction with PBKDF above to obfuscate |
| AES-KW | Obfuscation of internally stored | keys. All keys obfuscated with AES-KW when using a |
| | data | PBKDF derived key are treated as plaintext SSPs. |
| | IG 2.4.A, Example #2 – | |
| | Use of an approved algorithm for | RSA verification using a 4096-bit key with SHA2-512 |
| DCA | a purpose that is not security | serves a redundant purpose to HMAC-SHA2-256 at |
| КЗА | relevant or is redundant to an | power on; both RSA 4096 and HMAC-SHA2-256 are |
| | approved cryptographic | applied to PSPs for verifying data integrity. |
| | algorithm | |

| Name Type Description SF Propertioes Algorithms/CAVP Cert | | | | | | | |
|---|-----|-----|-----|-----|--|--|--|
| N/A | N/A | N/A | N/A | N/A | | | |

Table 7: Security Function Implementation (SFI)



Table 8: Entropy Certificates

| Vendor Name | Certificate Number |
|-------------|--------------------|
| N/A | N/A |

2.5 Overall Security Design

Per IG C.I, the module assures Key₁ and Key₂ are not equal for AES-XTS operations.

The module implements the following security elements:

- 1. No additional interface or service is implemented by the Module which would provide access to CSPs.
- 2. Data output is inhibited during self-tests, Key generation, Zeroization, error states, and firmware load verification.
- 3. The module does not support manual key entry.
- 4. The module does not output plaintext CSPs or intermediate key values.
- 5. Status information does not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
- 6. The Module does not support a bypass capability.
- 7. Power on self-tests do not require any operator action.
- 8. The Module does not support the update of the serial number and vendor ID.
- 9. The Module does not support concurrent operators.

2.6 Rules of Operation

The following security rules must also be considered when operating the module:

- 1. All CSPs are zeroized by the Zeroization service. Only the Maker role can call the Zeroization service.
- 2. The Module shall provide five (5) distinct operator roles: Cryptographic Officer, User, Maker, PSID, and Anybody
- 3. The operator shall be capable of commanding the Module to perform the power up self-tests by power cycling or resetting the Module.



3 Cryptographic Module Interfaces

The Module's ports and associated FIPS defined logical interface categories are listed in Table 9. The module does not support a Control Output interface.

| Physical Port | Logical Interface Type | Data that passes over port/interface | | |
|-------------------|--|--|--|--|
| PCle Connector | Power | Power | | |
| | Control in, Data in, Data out, Status out | NVMe storage commands and payloads | | |
| | Control in, Status out | Management information via SMBus commands and payloads | | |

| Table | 9: | Ports | and | Interfaces |
|-------|----|-------|-----|------------|
|-------|----|-------|-----|------------|

The module also supports a JTAG and UART port, which are permanently disabled.

3.1.1 NVMe Interface

The NVMe interface provides the primary interface to interact with the Module. Most services provided by the Module are accessed via the NVMe Interface including Opal configuration, reading and writing user data, retrieving FIPS capability support, and retrieving FIPS status reporting.

3.1.2 SMBus Interface

The SMBus interface provides the ability to audit the SSD environment (temperature, Vital Product Data).

4 Roles, Services, and Authentication

4.1 Assumption of Roles

The module supports five distinct operator roles: Cryptographic Officer (CO), User, Maker, PSID, and Anybody. The method for assuming a role is implicit based on the services invoked. The module only asserts conformance with Level 1 requirements; PINs and passwords are optional as required by TCG standards and are defined as non-SSPs; the module makes no claim to support FIPS 140-3 authentication mechanisms.

The module supports the following operator roles:

- 1. Crypto Officer (CO):
 - a. Admin SP SID This operator is responsible for transitioning from uninitialized mode to initialized mode.
 - b. Admin SP Admin This operator is disabled by default but can be enabled by SID authority. When enabled, it can transition the Module back to the uninitialized state from the initialized state.
 - c. Locking SP Admins This operator is used to enable and disable Users, create and delete user ranges, lock or unlock the ranges and cryptographically erase the user ranges.

The CO is also responsible for performing firmware updates.

- 2. User: The Locking SP Users can unlock and lock the drive to allow the operator to read and write data to the drive. This user can also call the "Cryptographic Erase" service.
- 3. Maker: This is an assumed role which enables the operator to execute the "Zeroise Service" command.
- 4. PSID: The TCG PSID Authority has access to perform the "PSID Revert" service.
- 5. Anybody: This role is assumed when the operator executes services that does not require a TCG role to be assumed.

The Module does not support a maintenance role or bypass capability. The Module does not support concurrent operators.

| Role | Authentication Method | Authentication Strength |
|---------|-----------------------|-------------------------|
| СО | N/A | N/A |
| User | N/A | N/A |
| Maker | N/A | N/A |
| PSID | N/A | N/A |
| Anybody | N/A | N/A |

Table 10: Roles and Authentication

4.2 Services

All services implemented by the Module are listed in Table 11, while SSP usage for each service is specified in Table 12.

Note:



- CO= Cryptographic Officer Role
- U = User Role
- M = Maker Role
- P = PSID Role
- A = Anybody

| | <u> </u> | |
|-----------------|--------------------|--------------------|
| lable 11: Roles | , Service Commands | , Input and Output |

| Role | Service | Input | Output |
|---------|--|---|--|
| СО | Take ownership | Security Send command parameters; Security Send command payload w/ TCG Set method for | -; Security Receive command status |
| СО | Activate OPAL | Security Send command parameters; Security Send command payload w/ TCG Activate method | -; Security Receive command status |
| CO | Deactivate OPAL | Security Send command parameters; Security Send command payload w/ TCG Revert method | -; Security Receive command status |
| CO | Admin Set PIN | Security Send command parameters; Security Send command payload w/ TCG Set method for ASP or LSP Admins | -; Security Receive command status |
| CO U | User Set PIN | Security Send command parameters; Security Send command payload w/ TCG Set method for LSP Users | -; Security Receive command status |
| СО | Enable/Disable User Set PIN | Security Send command parameters; Security Send command payload w/ TCG Set method for ACE Set User PIN object | -; Security Receive command status |
| СО | Enable/Disable Admin SP authorities | Security Send command parameters; Security Send command payload w/ TCG Set method for ASP Authority object | -; Security Receive command status |
| со | Enable/Disable Locking SP authorities | Security Send command parameters; Security Send command payload w/ TCG Set method for ASP Authority object. | -; Security Receive command status |



| Role | Service | Input | Output |
|---------|--------------------------------|---|--|
| СО | Enable/Disable SUM | Security Send command parameters; Security Send command payload w/ TCG Reactivate method | -; Security Receive command status |
| СО | Locking Range Configuration | Security Send command parameters; Security Send command payload w/ TCG Reactivate method | -; Security Receive command status |
| CO U | Lock/Unlock range | Security Send command parameters; Security Send command payload w/ TCG Set method | -; Security Receive command status |
| CO U | Set common name | Security Send command parameters; Send command payload w/ TCG Set method for Common Name object | -; Security Receive command status |
| CO U | Data store table Set | Security Send command parameters; Security Send command payload w/ TCG Set method for Datastore table | -; Security Receive command status |
| CO U | Crypto Erase of a range | Security Send command parameters; Security Send command payload w/ TCG Erase or Genkey method | -; Security Receive command status |
| М | Zeroization | Zeroization VU command parameters; Zeroization VU command payload | -; Zeroization VU comma status |
| Ρ | PSID Revert | Security Send command parameters; Security Send command payload w/ TCG Revert method | -; Security Receive command status |
| A | Power Cycle (Self-Test) | -; | -; |
| A | Hot reset | Bit 6 (Secondary Bus Reset) of Bridge Control Register (offset 0x3E) PCI Config Space; - | -; - |



| Role | Service | Input | Output |
|------|-------------------------------|---|---|
| A | Warm reset | Bit 4 (Link Control Register Offset 0x10) in PCI Express Capability Register of PCI config space; or Offset 0x20 is the NVM Subsystem Reset register(NSSR) in the controller registers (PCIe BAR); - | -; "Negotiated Link width" (Bit 9:4) in the Link Status Register (Offset 0x12) of PCI config space; or Bit 4 of the NVMe Controller status register (Offset 0x1C) |
| A | Show Status | Level 0 Discovery parameters or NVMe Identify command parameters; - | Level 0 Discovery payload or NVMe Identify; Level 0 Discovery status or NVMe Identify command status |
| A | Read FIPS Compliance | Security Receive w/ Read FIPS Compliance command parameters; - | Read FIPS Compliance payload; Security Receive command status |
| A | Block SID Authorization | Security Send w/ Block SID Authorization command parameters; - | -; Security Send command status |
| A | TCG Authorization | Security Send command parameters; Security Send command payload w/ TCG StartSession or Authorization method | -; Security Receive command status |
| A | Enable Zeroization Service | Vendor Specific Auth Challenge command parameters; Vendor Specific Command Payload | -; Vendor Specific command status |
| A | Get Random Number | Security Send command parameters; Security Send command payload w/ TCG Random method | Security Receive command payload; Security Receive command status |
| A | Telemetry logs | Telemetry Log (Get Log Page) command parameters; - | Telemetry Log (Get Log Page) command payload; Telemetry Log (Get Log Page) command status |
| A | Read/Write User Data | Read/Write command parameters; Write command payload | Read command response payload; Read/Write command status |
| СО | Firmware Update | Firmware Update command parameters; Firmware Update command payload | -; Firmware Update command status |



| Role | Service | Input | Output |
|------|---|---|---|
| A | Format NVM / Namespace Management | Format command parameters / Namespace Management command parameters; Format command / Namespace Management command payload | -; Format command / Namespace Management command status |
| A | Sanitize | Crypto Sanitize command parameters; - | -; Crypto Sanitize command status |
| A | Configure Drive | Set Features command parameters; - | -; Set Features command status |

- G = Generate: The Module generates or derives the SSP.
- R = Read: The SSP is read from the module (e.g. the SSP is output)
- W = Write: The SSP is updated, imported, or written to the module.
- E = Execute: The module uses the SSP in performing a cryptographic operation.
- Z = Zeroise: The module zeroises the SSP.
- - = Not accessed by the service.

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|-------------------|---|--|---|-------|-------------------------------|--|
| Take ownership | Changes default password of SID to a value other than MSID. | PBKDF (#A2595); | CO Password; AUTH_KEYs; SALT; | СО | W, E, Z; G, E, Z; G, E; | Status output to the host is returned for success or error |
| | | DRBG (#C1278); | DRBG-EI; DRBG V; DRBG Key; | | G, E, Z; G, E; G, E; | |
| | | HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | PSP_HMAC_KEY; TPER_SALT_KEK; TPER_KEK | | E; E; G, E | |

Table 12: Approved Services

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|---------------|---|--|---|-------|------------------------|--|
| Activate OPAL | Enables Locking SP via TCG Activate method. Activate method can enable SUM. | PBKDF (#A2585); | CO Password; User Password; | СО | W, E, Z; E; | Status output to the host is returned |
| | | | AUTH_KEYs; SALT; | | G, E, Z; G, E; | TOT SUCCESS OF EITOP |
| | | DRBG (#C1278); | DRBG-EI; DRBG V; DRBG Key; | | G, E; G, E; E; | |
| | | HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | PSP_HMAC_KEY; TPER_SALT_KEK; SUM_KEKs | | E; G, E; G, E, Z | |



| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|--------------------|--|---|---|-------|---|--|
| Deactivate OPAL | Reverts the drive back to the Original Factory State through TCG Revert or Revert SP methods. Note: For Revert SP, 1. Global Range data is preserved if KeepGlobal parameter is TRUE. 2. TPER_SALT_KEK and PSP_HMAC_KEY are also preserved. | PBKDF (#A2585); DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596); AES-XTS (#A2596) | CO Password; AUTH_KEYs; SALT; MSID PIN; DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; HRK; MEK_KEK; TPER_SALT_KEK; TPER_KEK; SUM_KEKs; MEKs | CO | E; G, E, Z; G, E; E; G, E, Z; G, E, Z; G, E, Z; E; C, E, Z; E, Z; E, Z; E, Z; G, E, Z | Status output to the host is returned for success or error |

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|--------------------------------|---|---|---|---|---|--|
| Admin Set PIN | Updates Admin authority PIN. | PBKDF (#A2585); DRBG (#C1278); | CO Password; AUTH_KEYs; SALT; DRBG-EI; DRBG V; | CO W, E, Z; G, E, Z; G, E; G, E, Z; G, E, Z; G, E; | W, E, Z; G, E, Z; G, E; G, E, Z; G, E; | Status output to the host is returned for success or error |
| | | HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | DRBG Key; PSP_HMAC_KEY; TPER_SALT_KEK; TPER_KEK; SUM_KEKs | | G, E; E; E; E; E | |
| User Set PIN | Updates User authority PIN. Locking SP Admins can set PINs for any Non-SUM Users. | PBKDF (#A2585); DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | User Password; AUTH_KEYs; SALT; DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; TPER_SALT_KEK; TPER_KEK; SUM_KEKs | CO, U | W, E, Z; G, E, Z; G, E; G, E, Z; G, E; G, E; E; E; E; E; | Status output to the host is returned for success or error |
| Enable/Disable User Set PIN | Disables a non-SUM User's ability to change its own PIN. | HMAC-SHA2-256 (#A2596) | PSP_HMAC_KEY | со | E | Status output to the host is returned for success or error |

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|---|--|--|---|-------|---|--|
| Enable/Disable Enables or disable Admin SP Admin SP authori authorities | Admin SP authority. | PBKDF (#A2585); DRBG (#C1278); | CO Password; AUTH_KEYs; SALT; DRBG-EI; DRBG V; DRBG Key; | СО | E; G, E, Z; G, E; G, E, Z; G, E; G, E; | Status output to the host is returned for success or error |
| | | HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | PSP_HMAC_KEY; TPER_SALT_KEK | | E; E | |
| Enable/Disable En Locking SP Lo authorities no | Enables or disables a Locking SP Admins and non-SUM Users. | PBKDF (#A2585); | CO Password; User Password; AUTH_KEYs; SALT; | СО | E; E; G, E, Z; G, E; | Status output to the host is returned for success or error |
| | | DRBG (#C1278); | DRBG-EI; DRBG V; DRBG Key; | | G, E, Z; G, E; G, E; | |
| | | HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | PSP_HMAC_KEY; TPER_SALT_KEK; TPER_KEK | | E; E; E | |

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|---|--|--|---|---------------------------|--|--|
| Enable/Disable SUM SUM Configures users and ranges in SUM through TCG Reactivate method. | Configures users and ranges in SUM through TCG Reactivate method. | PBKDF (#A2585); DRBG (#C1278); | CO Password; User Password; AUTH_KEYs; SALT; DRBG-EI; | CO | W, E, Z; E; G, E, Z; G, E; G, E, Z; | Status output to the host is returned for success or error |
| | HMAC-SHA2-256 | DRBG V; DRBG Key; PSP_HMAC_KEY; | | G, E; G, E; E; | | |
| | (#A2596); AES-KW (#A2596) | TPER_SALT_KEK; TPER_KEK; SUM_KEKs | | E; G, E, Z; G, E, Z | | |
| Locking Range Configuration | For non-SUM ranges: Used to modify a range starting address, capacity and attributes of non-SUM ranges. For SUM Policy 1: Used to modify a SUM range starting address, capacity and attributes by Admins if allowed. For SUM Policy 0: Used to modify a SUM range starting address, capacity and attributes by SUM Users if allowed. | DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596); AES-XTS (#A2596) | DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; MEK_KEK; TPER_KEK; SUM_KEKs; MEKs | CO | G, E, Z; G, E; E; E; E; E; G, E, Z | Status output to the host is returned for success or error |

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|-------------------------|---|--|---|-------|------------------------|--|
| Lock/Unlock range | Controls read and write access to a range by either locking or unlocking the LBA range. In Non-SUM, Admins and Users (if allowed by Admins) have access. In SUM, only Users have access. | HMAC-SHA2-256 (#A2596); AES-KW (#A2596); AES-XTS (#A2596) | PSP_HMAC_KEY; TPER_KEK; SUM_KEKs; MEKs | CO, U | E; E; E; E, Z | Status output to the host is returned for success or error |
| Set common name | Customizes the name of a TCG Authority. Admins and Users (if allowed by Admins) have access. | HMAC-SHA2-256 (#A2596) | PSP_HMAC_KEY | CO, U | E | Status output to the host is returned for success or error |
| Data store table Set | Writes a stream of bytes to unstructured storage. Admins and Users (if allowed by Admins) have access. | HMAC-SHA2-256 (#A2596) | PSP_HMAC_KEY | CO, U | E | Status output to the host is returned for success or error |



| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|----------------------------|---|---|---|-------|---|--|
| Crypto Erase of a range | For Non-SUM Ranges: Erases a range by destroying its existing MEK and generating a new one. This service is performed via TCG GenKey method. By default, Admins have access. If Admins allows, Users also have access. For SUM Ranges via TCG Erase method: Erases a range by destroying its existing MEK and generating a new one. The range's LBA range is unlocked, and the User PIN is reset to the NULL password. This service is performed via the TCG Erase method. For SUM Ranges via TCG GenKey method: Erases a range by destroying its existing MEK and generating a new one. This service is performed via the TCG GenKey method. | PBKDF (#A2585); DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596); AES-XTS (#A2596) | User Password; AUTH_KEYs; SALT; DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; MEK_KEK; TPER_SALT_KEK; TPER_SALT_KEK; SUM_KEKs; MEKs | CO, U | E; G, E, Z; G, E; Z; G, E; E; E; E; E; E; G, E, Z | Status output to the host is returned for success or error |



| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|-------------|---|-----------------------------------|--|-------|--|--|
| Zeroization | Destruction of plaintext keys and CSPs. This service decommissions the drive. | - | DRBG-EI; DRBG V; DRBG Key; HRK; PSP_HMAC_KEY; MEK_KEK; TPER_SALT_KEK; TPER_KEK; SALT; SUM_KEKs; KS_HMAC_KEY; MEKs | Μ | Z; Z; Z; Z; Z; Z; Z; Z; Z; Z; Z; Z; Z; | Status output to the host is returned for success or error |



| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|-------------|--|---|---|-------|--|--|
| PSID Revert | TCG Revert method using PSID. This service returns the Module to its original factory state. The authentication data (PSID) is printed on the label of the Module. | PBKDF (#A2585); DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | CO Password; AUTH_KEYs; SALT; MSID PIN; DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; HRK; MEK_KEK; TPER_SALT_KEK; TPER_KEK; SUM_KEKs; MEKS | Ρ | E; G, E, Z; G, E; E; G, E, Z; G, E; G, E, Z; G, E, Z; G, E, Z; Z; Z; G, Z | Status output to the host is returned for success or error |

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|----------------------------|--|--|--|-------|--|-------------------------------------|
| Power Cycle (Self-Test) | Powers the module off and on again. This triggers Power-On Self-Tests of the Module. Unblock locked-out authorities that have exhausted their Try Limit. Enable CO authority (Admins SP SID) if it is previously blocked by Block SID Authorization service. | DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596); AES-XTS (#A2596); RSA-3072 SigVer (#A2597); SHA2-384 (#A2597); | DRBG V; DRBG Key; PSP_HMAC_KEY; KS_HMAC_KEY; HRK; MEK_KEK TPER_SALT_KEK; MEKs; FW Public Key; Root Public Key | A | G, E, Z; G, E, Z; E; E; E; E; E; E; E; | Return code for success or error |
| Hot reset | Resets one of the ports of the Module by performing a PCIe Hot Reset. | - | - | А | - | |
| Warm reset | Resets the Module by performing an NVMe Subsystem Reset or PCIe Warm reset. | HMAC-SHA2-256 (#A2596) | PSP_HMAC_KEY | A | E | |



| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|-------------|--|-----------------------------------|------|-------|------------------|--|
| Show Status | This is a set of commands from the TCG and NVMe protocols to read Security Configuration . Specifically, this includes NVMe Security Send/Receive, Identify Controller commands, which can be used for reading Approved mode (Initialized/Uninitialized), error messages, and other status information. The Approved Mode indicator is a subset of the NVMe Security Receive command (TCG Level 0 Discovery) and the returned word of the NVMe Identify Controller command (word at offset 4092, bit 0). | - | - | A | - | The Approved Mode indicator is a subset of the NVMe Security Receive command (TCG Level 0 Discovery) and the returned word of the NVMe Identify Controller command (word at offset 4092, bit 0). |
| Compliance | The Module's FIPS 140 Compliance descriptor (hardware and firmware versions) can be retrieved in the format specified by SFSC specification using TCG IF-RECV command with Protocol Id 0 and ComID 2. | - | - | A | - | The indicator follows the SFSC specification using TCG IF-RECV command with Protocol Id 0 and ComID 2. |



| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|--|---|--|--|--|--|---|
| Block SID Authorization | Disables CO (Admin SP SID) authorization when ownership of the drive is not taken. | - | - | A | - | Status output to the host is returned for success or error. |
| TCGAuthorizes an operator using TCG PIN through Start session or TCG Authentication method. | Authorizes an operator using TCG PIN through Start session or TCG Authentication method. | PBKDF (#A2585); | CO Password; User Password; AUTH_KEYs; SALT; PSID PIN; | A | W, E, Z; W, E, Z; G, E, Z; G, E; W, E; | Status output to the host is returned for success or error. |
| | DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596) | DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; TPER_SALT_KEK; TPER_KEK; SUM_KEKs | | G, E, Z; G, E; G, E; E; E; G, E; E | | |
| Enable Zeroization Service | Authorizes the Zeroization service for the Maker role. | DRBG (#C1278); RSA-2048 SigVer (#A2595); SHA2-256 (#A2596) | DRBG-EI; DRBG V; DRBG Key; Maker Public Key | A | G, E, Z; G, E; G, E; E | Status output to the host is returned for success or error. |



| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|-------------------------|--|-----------------------------------|---------------------------------|-------|---------------------------|---|
| Get Random Number | TCG Random method used to generate and output a random number from the DRBG. | DRBG (#C1278) | DRBG-EI; DRBG V; DRBG Key | A | G, E, Z; G, E; G, E | Status output to the host is returned for success or error. |
| Telemetry logs | The Module allows the collection of debugging information through NVMe log pages. The purpose of the telemetry log data is to provide information required to debug firmware issues remotely. No sensitive information is included. | - | - | A | - | Status output to the host is returned for success or error. |
| Read/Write User Data | Reads/Writes user data. In uninitialized mode, this service is always successful. In initialized mode, this service is only successful if the range is unlocked for read/write access via Lock/Unlock range service. | AES-XTS (#A2596) | MEKs | A | E | Status output to the host is returned for success or error. |

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|---|---|---|---|-------|---|---|
| Firmware Update | Loads a firmware image. All firmware loaded into the module is validated with RSA signature verification over the entire firmware image. | AES-KW (#A2596); HMAC-SHA2-256 (#A2596); RSA-3072 SigVer (#A2595); SHA2-384 (#A2595) | HRK; KS_HMAC_KEY; FW Public Key; Root Public Key | СО | E; E; E | Status output to the host is returned for success or error. |
| Format NVM / Namespace Management | Wipes the data of a particular namespace by generating new MEK. This service is only successful if the range is unlocked for read/write access via Lock/Unlock range service. | DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596); | DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; MEK_KEK; TPER_KEK; SUM_KEKs; MEKs | A | G, E, Z; G, E; G, E; E; E; E; E; G, E, Z | Status output to the host is returned for success or error. |
| | | AES-XTS (#A2596) | | | | |

| Service | Description | Approved Security Functions | SSPs | Roles | Access Rights | Indicator |
|-----------------|--|--|---|-------|---|---|
| Sanitize | Wipes the data of a particular namespace by generating new MEK. This service is accessible only in Uninitialized mode. | DRBG (#C1278); HMAC-SHA2-256 (#A2596); AES-KW (#A2596); AES-XTS (#A2596) | DRBG-EI; DRBG V; DRBG Key; PSP_HMAC_KEY; MEK_KEK; MEKs | A | G, E, Z; G, E; G, E; E; E; G, E, Z | Status output to the host is returned for success or error. |
| Configure Drive | Enables or disables IEEE1667 protocol support. | - | - | A | - | Status output to the host is returned for success or error. |



The firmware components are protected by an RSA signature. The operator can initiate the integrity test on demand by power cycling or resetting the module.

6 Operational Environment

The Module has a limited operational environment under FIPS 140-3 definitions. The tested operational environments are listed in Table 2. The Module includes a firmware load service to support necessary updates. The Module will not load or execute firmware which is not signed with SK hynix 3072-bit RSA Private Key. Firmware versions validated through the FIPS 140-3 CMVP will be explicitly identified on a validation certificate. Any firmware not identified in this Security Policy does not constitute the Module defined by this Security Policy or covered by this validation.

Please see the operator instructions provided in Section 11 for the initialization, uninitialization, and sanitation of the module.

7 Physical Security Policy

The Module meets commercial-grade specifications for power, temperature, reliability, and shock/vibrations. The Module uses standard passivation techniques and only asserts compliance with Level 1 physical security requirements.

8 Non-Invasive Security

The Module does not implement any mitigation method against non-invasive attack.

9 Sensitive Security Parameter (SSP) Management

CSPs and PSPs are defined in the tables below. The following legend is used to describe the generation, storage, input, output, and zeroization methods:

- G1 Generated external to the Module and installed during manufacturing
- G2 Unmodified output of the internal ENT (P) during power-up
- G3 Derived from the DRBG output per SP800-90Ar1
- G4 Derived from PBKDF2
- G5 Symmetric key generated by internal CAVP validated DRBG
- S1 Only stored in volatile, dynamic RAM in plaintext
- S2 Stored in static eFUSE in plaintext, associated by memory location (index)
- S3 Stored in static NAND encrypted with AES-KW by the HRK, associated by memory location (index)



- S4 Stored in static NAND in plaintext, associated by memory location (index)
- S5 Stored in dynamic RAM in plaintext, associated by memory location (index)
- S6 Stored in static NAND encrypted obfuscated (treated as plaintext) with AES-KW using the AUTH_KEY, associated by memory location (index)
- S7 Stored in static NAND encrypted with AES-KW using SUM_KEK, TPER_KEK, or MEK_KEK, associated by memory location (index)
- S8 Stored in static NAND encrypted with AES-KW using the TPER_SALT_KEK, associated by memory location (index)
- S9 Stored in plaintext as part of static firmware, which is RSA signed
- I1 Input in plaintext (Non-SSPs only)
- O1 Plaintext to host (Non-SSPs only)
- Z1 Zeroized by Module power cycle or hard reset
- Z2 Zeroized by the "Zeroization" service by overwriting with zeroes or ones
- Z3 Zeroize after service completion by overwriting the RAM location by zeroes

9.1 Sensitive Security Parameters

All SSPs used by the Module are described in this section.

| SSP | Strengt h | Security Functio n/ Cert. | Gene ration | Import /Expor t | Establi shmen t | Sto rag e | Zeroizat ion | Description / Usage |
|----------|--------------|---------------------------------|----------------|-----------------------|-----------------------|-----------------|-----------------|--|
| DRBG-EI | 384 | ENT (P) | G2 | N/A | N/A | S1 | Z1, Z2 | Deterministic Random Bit Generator – Entropy Input string and seed. Size: 384 bits of entropy data. Instantiates the DRBG to 256 bits of security strength. |
| DRBG V | 128 | DRBG Cert. #C1278 | G3 | N/A | N/A | S1 | Z1, Z2 | The secret value V (128 bits) in the current DRBG internal working state |
| DRBG Key | 256 | DRBG Cert. #C1278 | G3 | N/A | N/A | S1 | Z1, Z2 | The secret <i>Key</i> (256 bits) in the current DRBG internal working state |
| HRK | 256 | AES-KW Cert. #A2596 | G5 | N/A | N/A | S2 | Z2 | Hidden Root Key Type: AES wrapping key Purpose: Used to wrap following keys: PSP_HMAC_KEY, |

Table 13: SSPs



| SSP | Strengt h | Security Functio n/ Cert. | Gene ration | Import /Expor t | Establi shmen t | Sto rag e | Zeroizat ion | Description / Usage |
|------------------------|--------------|---------------------------------|----------------|-----------------------|-----------------------|-----------------|-----------------|--|
| | | | | | | | | MEK_KEK, TPER_SALT_KEK, and KS_HMAC_KEY. |
| PSP_HMAC_KE Y | 256 | HMAC Cert. #A2596 | G5 | N/A | N/A | S3, S5 | Z1, Z2, Z3 | Public Security Parameter HMAC Key Type: 256-bit Purpose: Key is used to check the integrity of the SALT and PSID PIN |
| KS_HMAC_KEY | 256 | HMAC Cert. #A2596 | G5 | N/A | N/A | S3, S5 | Z1, Z2, Z3 | Key Storage HMAC Key Type: 256-bit Purpose: Key is used to check the integrity of the FW Public Key and Root Public Key |
| MEK_KEK | 256 | AES-KW Cert. #A2596 | G5 | N/A | N/A | S3, S5 | Z1, Z2, Z3 | Type: AES 256 Purpose: Key wraps the MEKs when in the Uninitialized state |
| TPER_SALT_KE K | 256 | AES-KW Cert. #A2596 | G5 | N/A | N/A | S3, S5 | Z1, Z2, Z3 | Type: AES 256 Purpose: Key wraps the SALT. |
| TPER_KEK | 256 | AES-KW Cert. #A2596 | G5 | N/A | N/A | S5, S6 | Z1, Z2, Z3 | Type: AES 256 Purpose: Key wraps the MEKs for OPAL. |
| SUM_KEKs | 256 | AES-KW Cert. #A2596 | G5 | N/A | N/A | S5, S6 | Z1, Z2, Z3 | Type: AES 256 Purpose: It is the key wrapping key used for MEKs for SUM. |
| MEKs | 256 | AES-XTS Cert. #A2596 | G5 | N/A | N/A | S5, S7 | Z1, Z2, Z3 | Type: AES 256 Purpose: MEK ₀ is the Global Range Key. MEK ₁₋₈ keys are used for User data encryption in XTS mode. |
| AUTH_KEYs (Non-SSP) | N/A | PBKDF Cert. #A2595 | G4 | N/A | N/A | S1 | Z1, Z3 | Type: AES 256-bit key wrap key derived from PBKDF using the CO or User password, which may be 0 length. Purpose: Key is used for TPER_KEK (OPAL) and |



| SSP | Strengt h | Security Functio n/ Cert. | Gene ration | Import /Expor t | Establi shmen t | Sto rag e | Zeroizat ion | Description / Usage |
|----------------------------------|--------------|-----------------------------------|----------------|-----------------------|-----------------------|-----------------|---|---|
| | | | | | | | | SUM_KEK (SUM) |
| CO Password (Non-SSP) | N/A | PBKDF Cert. #A2595 | N/A | 11 | N/A | S1 | Z1, Z3 | Crypto Officer password Type: Password Purpose: May be used for authorizing the CO role |
| User Passwords (Non-SSP) | N/A | PBKDF Cert. #A2595 | N/A | 11 | N/A | S1 | Z1, Z3 | User Password Type: Password Purpose: May be used for authorizing User roles |
| SALT (Non- SSP) | 256 | PBKDF Cert. #A2595 | G5 | N/A | N/A | S5, S8 | Z1, Z2, Z3 | 256-bit non-secret salt used as input to = PBKDF. A unique salt is associated with the derivation of each AUTH_KEY. |
| PSID PIN (Non- SSP) | N/A | PBKDF Cert. #A2595 | G1 | 11 | N/A | S5 | Z1 | 32 byte PIN is used to access the TCG Revert service. The PSID PIN is visibly printed on a production label on the Module. |
| MSID PIN (Non-SSP) | N/A | PBKDF Cert. #A2595 | G1 | 11, 01 | N/A | S5, S9 | Z1 | 32 byte default PIN is used to authorize the Initialize service. It can be displayed via the Show Status/Read Security Configuration service. |
| FW Public Key (Non-SSP) | 128 | RSA Cert. #A2595, #A2597 | G1 | N/A | N/A | S4, S5 | N/A | Type: 3072 bit RSA Public Key Purpose: Key is used for RSA signature verification of the firmware image. |
| Maker Public Key (Non-SSP) | 112 | RSA Cert. #A2595 | G1 | N/A | N/A | S5, S9 | N/A. Protect ed by RSA Signatur e. | Type: 2048 bit RSA Public Key Purpose: Key is used to access Zeroise service. |
| Root Public Key (Non-SSP) | 150 | RSA Cert. #A2595, #A2597 | G1 | N/A | N/A | S4, S5 | N/A | Type: 4096 bit RSA Public Key Purpose: Key is used to validate the chain |



| SSP | Strengt h | Security Functio n/ Cert. | Gene ration | Import /Expor t | Establi shmen t | Sto rag e | Zeroizat ion | Description / Usage |
|-----|--------------|---------------------------------|----------------|-----------------------|-----------------------|-----------------|-----------------|------------------------------------|
| | | | | | | | | of trust for the FW Public Key. |



|--|

| Entropy Source | Minimum Number of Bits of Entropy | Details |
|-------------------|--------------------------------------|---|
| ENT (P) | Min-entropy of 1 per 1-bit sample | This ENT (P) has been evaluated according to the non-IID evaluation path of the [SP800-90B] standard. |

10 Self-Tests

Each time the Module is powered up, tests are run to guarantee the proper functioning of the crypto algorithms. Power on self-tests are available on demand by power cycling or resetting the Module. The module zeroises all temporary values used as part of the pre-operational and conditional self-tests

On power-on or reset, the Module performs self-tests as described in the tables below. All KATs must be completed successfully prior to any other use of cryptography by the Module. If one of the KATs fails during the ROM boot stage of the device, then the Module enters an internal error state. If the Module has exited ROM boot stage, the Module enters SELF_TEST_ERROR state which can be retrieved by NVMe Identify Controller command word at offset 4092, bit 0 will be 1. Power cycle is required to recover the Module from self-test failure.

| Test Target | Description | Failure Behavior |
|--------------------|--|---|
| Firmware Integrity | RSA 3072 and SHA2-384 verification performed over all firmware located in NAND storage on the Atomos controller. | Enters INTERNAL_STATE_ERROR state. SMBus bytes offset 243, bit 7 will be 1b. |
| ENT (P) | Performs ENT (P) startup test. Generates 32 byte noise that will force RCT and APT on 1536 bits. | Enters SELF_TEST_ERROR state. |

Table 15: Pre-Operational and Conditional Self-Tests performed at Power-On

Table 16: Additional Conditional Self-Tests

| Test Target | Description | Failure Behavior |
|--------------|---|------------------------|
| AES-KW | KAT: Decryption only | Enters |
| Decrypt | Key size: 256 hits | state |
| Cert.# A2596 | | |
| AES-KW | KATs: Both forward and inverse ciphers are tested | Enters SELF_TEST_ERROR |
| Cert.# A2596 | via encryption and decryption. | state. |
| | Modes: KW | |
| | Key size: 256 bits | |
| | Note: This test covers AES-ECB and AES-XTS as per IG 10.3.A | |



| Test Target | Description | Failure Behavior |
|-------------------------------------|--|--|
| AES- Conditioner Cert.# A2272 | KAT: Encryption only, exercises the SP800-90B conditioner. Modes: ECB Key size: 256 bits | Enters SELF_TEST_ERROR state. |
| AES XTS Key generation | An IG C.I key comparison test is performed on Key1 and Key2 for each generation. | Enters SELF_TEST_ERROR state. |
| DRBG Cert.# C1278 | Performs a fixed input KAT inclusive of the SP 800- 90Ar1 instantiate, generate, and reseed health tests. Mode: CTR_DRBG | Enters SELF_TEST_ERROR state. |
| DRBG Cert.# C1278 | SP800-90Ar1 Health Tests (Instantiate, Generate, Reseed) | Enters SELF_TEST_ERROR state. |
| ENT (P) | SP800-90B Health Tests (RCT and APT) | Enters SELF_TEST_ERROR state. |
| HMAC (SoC HW) Cert.# A2596 | Performs HMAC generates and verify KATs using a 256-bit key and SHA2-256 Note: SHA2-256 is covered by HMAC KAT per IG 10.3.B | Enters INTERNAL_STATE_ERROR state. Status output data via SMBus bytes offset 243, bit 7 will be 1b. |
| PBKDF2 Cert.# A2595 | Performs KAT using a known password and HMAC- SHA2-256 (Satisfies the HMAC KAT). | Enters SELF_TEST_ERROR state. |
| RSA (ROM) Cert.# A2597 | KAT: RSA PSS verify with 3072 bit key and SHA2-384 (Cert. #A2597). Note: SHA2-384 KAT is included in this test. This test is performed prior to the Firmware Integrity Test. | Enters INTERNAL_STATE_ERROR state. Status output data via SMBus bytes offset 243, bit 7 will be 1b |
| RSA (FW) Cert.# A2595 | KAT: RSA PSS verify with 2048 bit key and SHA2-512 (Cert. #A2595). Note: RSA 3072 and 4096 key sizes are covered by testing 2048 bit key. SHA2-512 KAT is included in this test. | Enters SELF_TEST_ERROR state |
| Firmware Load Test | The Module performs a RSA 3072 signature verification on all firmware loaded into the Module. | The Module returns invalid image for download commit command and the image is discarded. |



11 Life-Cycle Assurance

The Module design corresponds to the Module Security rules. This section documents the Cryptographic Officer instructions that are necessary to implement in order to maintain compliance with FIPS 140-3 security requirements.

11.1 Cryptographic Officer Initialization

The Module is shipped from the factory in an Approved mode of operation (uninitialized state). The keys generated during manufacturing are used to encrypt/decrypt the user data. The shipping container protecting the Module or set of Modules in transit should be verified for evidence of tampering.

The CO should initialize the Module by taking the following steps:

11.1.1 Verifying the Module is in an Approved Mode of Operation

To verify that a module is in the Approved mode of operation the operator will perform the **Read FIPS Compliance** by issuing TCG IF-RECV command with Protocol Id 0 and ComID 2. Refer [SFSC] spec.

For example, the sample of data below is returned from the module:

-----FIPS 140 compliance descriptor-----COMPLIANCE DESCRIPTOR INFORMATION LENGTH -> 528 COMPLIANCE DESCRIPTOR DESCRIPTOR TYPE -> 1 COMPLIANCE DESCRIPTOR DESCRIPTOR LENGTH -> 520 COMPLIANCE DESCRIPTOR RELATED STANDARD -> 51¹ COMPLIANCE DESCRIPTOR OVERALL SECURITY LEVEL -> 49² COMPLIANCE DESCRIPTOR HARDWARE VERSION -> HFS1T9GEEWX132N COMPLIANCE DESCRIPTOR VERSION ->51082A30 COMPLIANCE DESCRIPTOR MODULE NAME -> SK hynix PE9110 E1.S and PE9010 M.2 22110D NVMe TCG Opal SSC SEDs

11.1.2 Initialize the Module

- 1. Take Ownership Set Admin SP SID.
- 2. Activate Opal with Single User Mode.
- 3. Set WriteLockEnabled and ReadLockEnabled column of all valid Locking ranges.
- 4. Power cycle the Module.
- 5. Verify the module is in initialized mode by checking the
 - LockingEnabled bit of the TCG Level 0 Discovery Locking Feature Descriptor is set to 1.
 - WriteLockEnabled and ReadLockEnabled columns of all valid Locking ranges in the Locking Table are set to True.

11.2 Un-Initialize the Module

The Deactivate OPAL may be invoked by an authorized role to affect a transition into the uninitialized state of operation. The PSID Revert may be done by the PSID role to affect a

¹ "51" is an ASCII data field that indicates "FIPS 140-3" per the Security Features for SCSI Commands, Revision 2, Section 5.1.5.3 ² "49" is an ASCII data field that indicates the FIPS 140 overall security level of "1" per the Security Features for SCSI Commands, Revision 2, Section 5.1.5.3.



transition into the uninitialized state of operation. This is analogous to restoring the module to the factory default state.

11.3 Sanitization

The Zeroization service may be invoked to destroy all SSPs and render the module inoperable.

12 Mitigation of Other Attacks Policy

The Module does not support the mitigation of other attacks outside the scope of FIPS 140-3.



SK hynix PE9110 E1.S and PE9010 M.2 22110D**13 References and Definitions**

The following standards are referred to in this Security Policy

Table 17: References

| Acronym | Full Specification Name |
|-------------|---|
| [FIPS140-3] | Security Requirements for Cryptographic Modules, March 22, 2019 |
| [ISO19790] | International Standard, ISO/IEC 19790, Information technology — Security techniques — Test requirements for cryptographic modules, Third edition, March 2017 |
| [ISO24759] | International Standard, ISO/IEC 24759, Information technology — Security techniques — Test requirements for cryptographic modules, Second and Corrected version, 15 December 2015 |
| [180-4] | NIST, Secure Hash Standard, FIPS Publication 180-4, August 2015 |
| [186-4] | NIST, Digital Signature Standard (DSS), FIPS Publication 186-4, July 2013 |
| [197] | NIST, Advanced Encryption Standard (AES), FIPS Publication 197, November 26, 2001 |
| [198-1] | NIST, <i>The Keyed-Hash Message Authentication Code (HMAC)</i> , FIPS Publication 198-1, July 2008 |
| [IG] | NIST, Implementation Guidance for FIPS PUB 140-3 and the Cryptographic Module Validation Program, last updated October 7, 2022 |
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| [38E] | NIST Special Publication 800-38E, Recommendation for Block Cipher Modes of Operation: The XTS-AES Mode for Confidentiality on Storage Devices, January 2010 |
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| [90B] | National Institute of Standards and Technology, Recommendation for the Entropy Sources Used for Random Bit Generation, Special Publication 800-90B, January 2018. |
| [132] | NIST Special Publication 800-132, <i>Recommendation for Password-Based Key Derivation,</i> December 2010 |
| [133r2] | NIST Special Publication 800-133 Revision 2, <i>Recommendation for Cryptographic Key Generation</i> , June 2020 |
| [TCG Core] | TCG Storage Architecture Core Specification, version 2.01 Revision 1.0, 5 August 2015 |
| [TCG Opal] | TCG Storage Security Subsystem Class: Opal Specification, Version 2.01 Revision 1.00, 5 August 2015 |
| [TCG SIIS] | TCG Storage Interface Interactions Specification (SIIS), Version .08, 14 August 2018 |



| Acronym | Full Specification Name |
|-----------------|--|
| [TCG ADS] | TCG Storage Opal SSC Feature Set: Additional Datastore Tables Specification, Version 1.00 Revision 1.00, 24 February 2012 |
| [TCG SUM] | TCG Storage Opal SSC Feature Set: Single User Mode Specification, Version 1.00 Revision 2.00, 5 August 2015 |
| [TCG PSID] | TCG Storage Opal SSC Feature Set: PSID, Version 1.00 Revision 1.00, 5 August 2015 |
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Table 18: Acronyms and Definitions

| Acronym | Definition |
|---------|--|
| ACE | Access Control Elements |
| AES | Advanced Encryption Standard |
| АРТ | Adaptive Proportion Test |
| СО | Cryptographic Officer |
| CSP | Critical Security Parameter, see [FIPS 140-3] |
| DRBG | Deterministic Random Bit Generator |
| ECB | Electronic Code Book mode of AES Encryption/Decryption |
| КАТ | Known Answer Test |
| PBKDF | Password Based Key Derivation Function |
| LBA | Logical Block Address |
| MSID | Manufactured Security Identifier |
| МЕК | Media Encryption Key |
| NVMe | Non-Volatile Memory express |
| PBKDF | Password Based Key Derivation Function |
| PCle | Peripheral Component Interconnect Express |
| PSP | Public Security Parameter |
| PIN | Personal Identification Number (or Password) |
| PSID | Physical Security Identifier |
| RCT | Repetitive Count Test |
| RSA | Rivest Shamir Adleman |
| SHA | Secure Hash Algorithm |
| SED | Self-Encrypting Drive |
| SID | Security Identifier |
| SSD | Solid-state Drive |
| SSC | Security Subsystem Class |
| TCG | Trusted Computing Group |
| UID | Unique Identifier |
| VU | Vendor Unique |

