



*Sun StorageTek™ T9840D  
Tape Drive*

*Security Policy*

Part Number 316055201

Revision: AA

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**Release History**

<b>Date</b>	<b>Rev</b>	<b>Description</b>	<b>Name</b>
02/05/10	AA	Initial version of Security Policy. Engineering Change: EC001056	Matt Ball

## 1 Module Overview

The Sun StorageTek™ T9840D Tape Drive (“Encrypting Tape Drive”, or ETD) (HW P/N:315479501; Firmware Version: 1.44.710) is a hardware cryptographic module with a multi-chip standalone physical embodiment as defined by FIPS 140-2. The primary purpose of this device is to provide FIPS 140-2 Level 1 security to data on magnetic tape.

The ETD is intended to be used in conjunction with the Sun Key Management System (KMS), which provides centralized key management. The Sun StorageTek Crypto Key Management System (version 2.1 and higher), consists of two or more Key Management Appliances (KMAs). Key Management Appliances are the individual components within the system and in the context of this FIPS 140-2 Security Policy, can be viewed as Key Loaders. For more information on these system components please see the website <http://docs.sun.com> and browse under Hardware->Tape Storage->Tape Drives.

The cryptographic boundary of the ETD is the external surface of the tape drive’s commercial-grade metallic enclosure. Figure 1.1 and Figure 1.2 illustrate the cryptographic boundary as defined:



**Figure 1.1: Front, side, and top of T9840D**



**Figure 1.2: Back, side and bottom cover of T9840D**

Note: Figure 1.2 appears to be upside-down to show bottom plate.

## 2 Security Level

The ETD meets the overall requirements applicable to Level 1 security of FIPS 140-2, as is detailed in Table 1.

Table 1: Module Security Level Specification

Security Requirements Section	Level
Cryptographic Module Specification	3
Module Ports and Interfaces	1
Roles, Services and Authentication	1
Finite State Model	1
Physical Security	1
Operational Environment	N/A
Cryptographic Key Management	1
EMI/EMC	1

Security Requirements Section	Level
Self-Tests	1
Design Assurance	1
Mitigation of Other Attacks	N/A

## 3 Modes of Operation (Area 1)

### 3.1 Approved Algorithms

Once configured per the procedures as defined in Section 3.4 the module is only able to operate in a FIPS 140-2 Approved Mode of operation. Within the FIPS 140-2 Approved Mode of operation the following Approved algorithms are available:

- AES CCM supporting 256-bit keys in both hardware (AES Certificate # 495) and firmware (AES Certificate # 1063)
- AES ECB encryption (AES Certificate # 1059) as used in CCM encryption in firmware (AES Certificate # 1063)
- RSASSA-PKCS1-v1\_5 supporting 2048-bit keys (RSA Certificate # 503) for digital signature verification (firmware load test)
- HMAC SHA-1 (HMAC Certificate # 597) to create the challenge response as part of the certificate service of the KMS 2.x Agent Toolkit.
- SHA-1 (SHS Certificate # 1005) for the following:
  - as part of digital signature verification for the firmware
  - as part of HMAC-SHA-1 (HMAC certificate # 597)
  - for hashing passwords used for authentication
- AES ECB (AES Certificate # 1060) supporting 256-bit keys. Used as part of the AES Key Wrap algorithm to securely establish keying material.
- SP 800-90 CTR DRBG (DRBG Certificate # 11) for generating random numbers used for nonce values and cryptographic keys
- AES CTR (AES Certificate # 1061) as part of the SP 800-90 CTR DRBG.
- AES CBC mode with 256-bit key (AES Certificate # 1062), used within TLS session between ETD and KMS 2.x.
- HMAC-SHA-1 (HMAC Certificate # 598) with 160-bit key used to protect the integrity of TLS communications between the ETD and KMS 2.x.
- SHA-1 (SHS Certificate #1006)
  - as part of the TLS Key Derivation Functionality
  - as part of HMAC SHA-1 (HMAC Certificate # 598)

### 3.2 Non-Approved Algorithms

The cryptographic module supports the following Non-Approved algorithms that are allowed for use within FIPS Approved mode: MD5 as used within the TLS1.0 Key Derivation Function. (see [TLS1.0])

- AES Key Wrap (AES Certificate #1060) used to securely establish media keys (Vendor Affirmed,

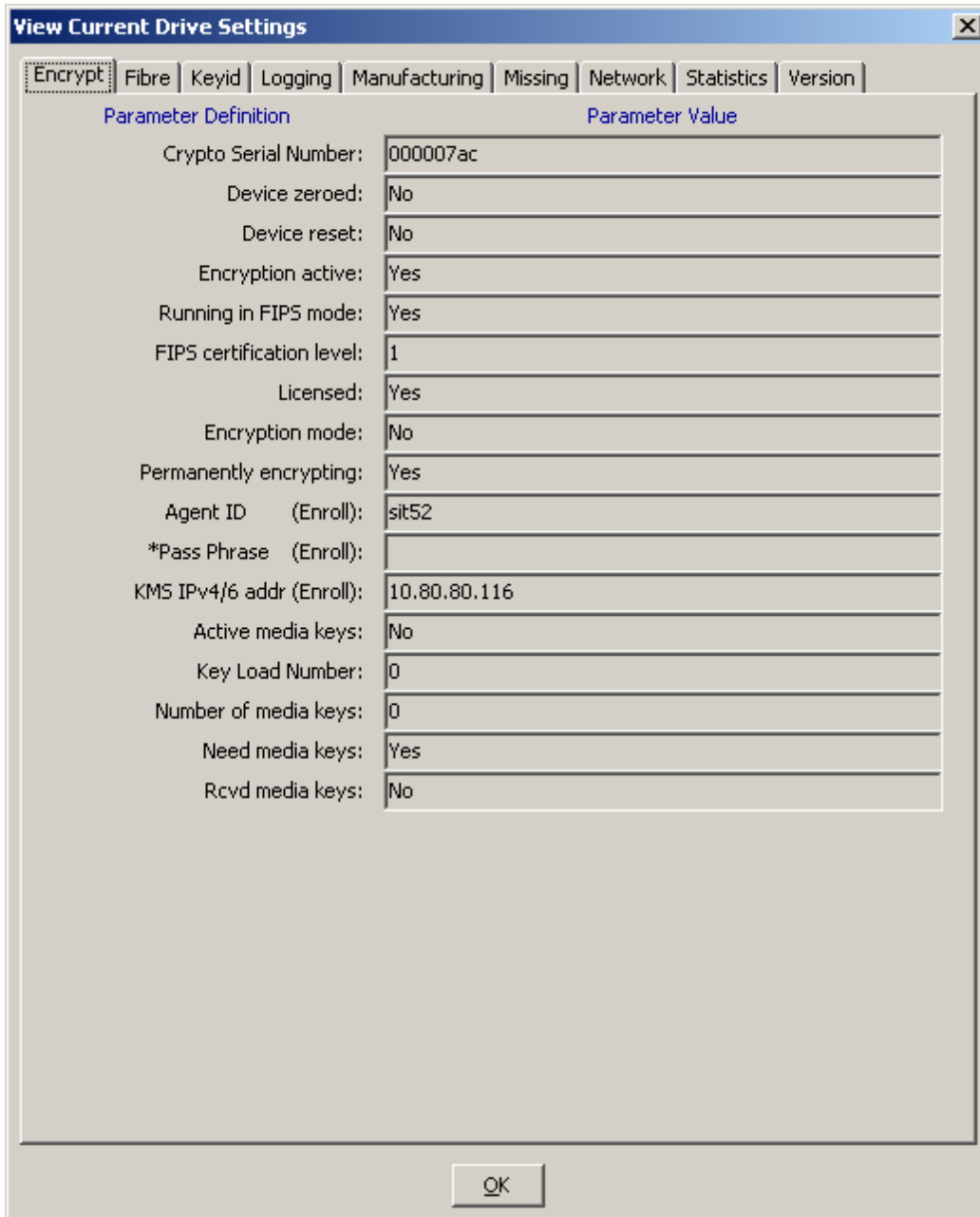
key establishment methodology provides 256 bits of strength)

- RSAES-PKCS1-V1\_5 supporting 2048-bit keys, for RSA public key encryption used to provide FIPS 140-2 allowed key transport within the TLS protocol. Key establishment methodology provides 112 bits of security.
- Non-Deterministic Random Number Generator (NDRNG) (provides entropy input to the SP800-90 DRBG, and random values for use within the TLS protocol)
- MD5, as used in the TLS protocol.

### **3.3 Determining FIPS Mode**

The user can determine whether the ETD is operating in FIPS mode by examining the VOP (Virtual Operator Panel). VOP is an external software application and the primary ETD remote management tool. VOP utilizes ETD services remotely. VOP is described in more detail in the document "Virtual Operator Panel User's Guide" (see [VOPUG]).

Figure 3.1 shows the "View Current Drive Settings" of the VOP application (Drive Operations → View Drive Data). The user can tell if the ETD has selected an Approved mode of operation by verifying that the labels "Encryption active" and "Running in FIPS mode" are both set to "Yes". If either of these labels is set to "No" then the ETD is not in a FIPS Approved mode.



**Figure 3.1: VOP: View Current Drive Settings**

### 3.4 Configuring the Drive in FIPS mode

An ETD can only be configured for FIPS mode as a one-time decision taken during the encryption enrollment process. Once an ETD is licensed for encryption, it will remain in either FIPS mode or non FIPS compliant mode.

FIPS 140-2 configuration of ETD with VOP requires the presence of both a Sun service representative and the customer. In addition they will need to follow the licensing process as outlined in [KMS2IM] (KMS 2.x Installation and Service Manual), under "License and Enroll the Tape Drives" in Chapter 3 "T-Series Tape Drives".



Both the Sun service representative and the customer (in the role of the Crypto-Officer) shall perform the following actions to enable FIPS mode through VOP:

1. The service representative shall examine the hardware part number on the rear label of the Tape Drive to ensure that it matches the part number as listed in Section 1 of this document.
2. The service representative shall, using VOP, click on the menu item Drive Operations → View Drive Data.
3. The service representative shall select the Version Tab and verify that the firmware version listed is that listed in Section 1 of this document.
4. The service representative shall license the tape drive for encryption using the process from [KMS2IM].
5. The service representative shall set the drive offline by selecting Drive Operations → Set Offline.
6. The service representative shall add the ETD to the KMS 2.x cluster (see [KMS2IM]).
7. The service representative shall bring up the “Configure Drive Parameters” Window (see Figure 3.2) by selecting “Drive Data” from the Configure menu of the main VOP window, and in this window the customer (in the role of the Crypto-Officer) shall perform the following:
  - a) Set the “Encryption Mode” field to “Yes”.
  - b) Set the “Permanently encrypting” field to “Yes”.
  - c) Set the “Set FIPS mode(permanent)” field to “On”.
  - d) Enter a valid Agent ID, Pass Phrase, and KMS 2.x IP address (see [KMS2IM]).
8. Click on the “Commit” button. The ETD will then reboot and come up in permanent FIPS mode.
9. Verify that FIPS mode was correctly set by examining the FIPS status (see 3.3 ).

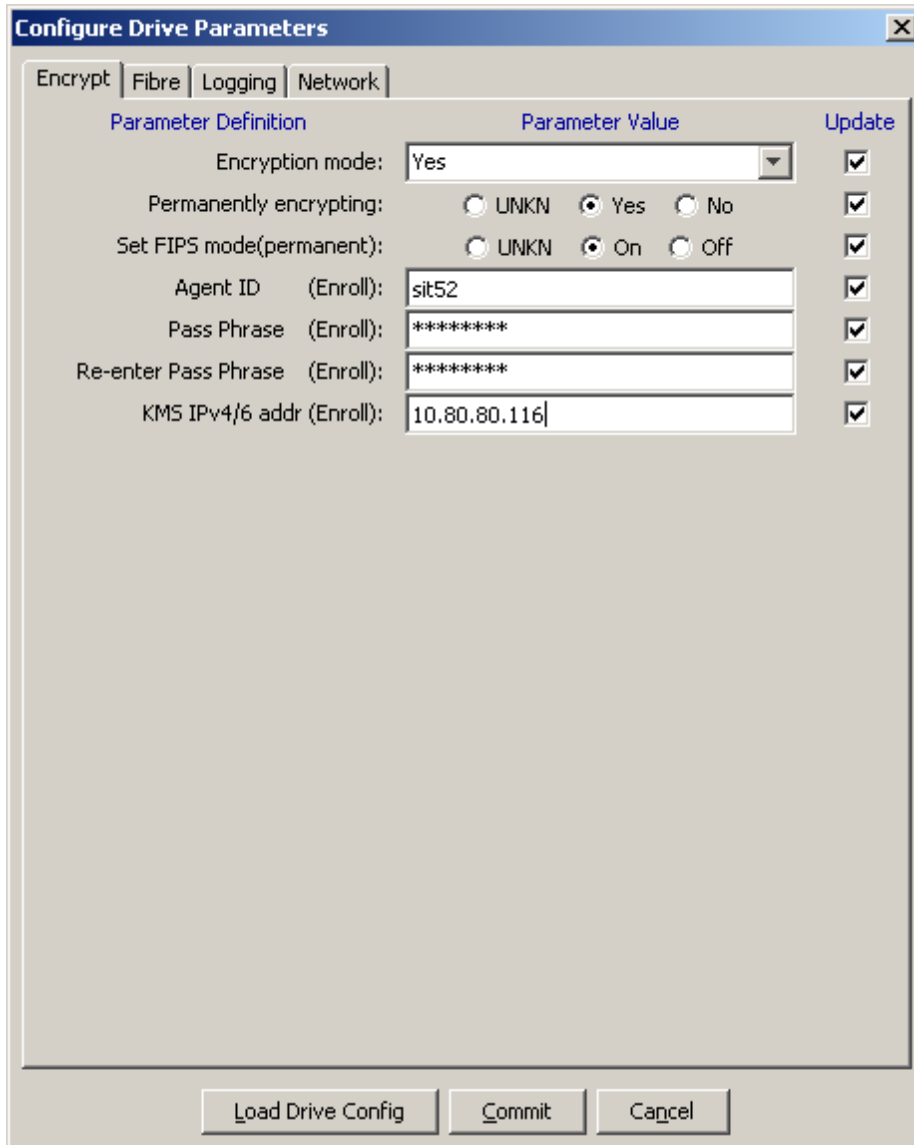


Figure 3.2: VOP: "Configure Drive Parameters" Window

## 4 Ports and Interfaces

This section describes all ports and interfaces supported by the Encrypting Tape Drive. Table 2 below provides a listing of the following physical ports and logical interfaces(see [ETDOG] for details).

**Table 2: Ports and Interfaces Description**

Physical Port	Qty	Logical interface definition	Technical Specification
DB15(RS232)	1	data output, status output, control input	Primarily used for tape library communications.

Physical Port	Qty	Logical interface definition	Technical Specification
Host Interface	2	data input, data output, status output, control input	<p>This interface is used to transfer user data between the ETD and the host. When the host transfers user data to the ETD through this interface, the ETD encrypts and writes the data to the magnetic media. When the host receives user data from the ETD through this interface, the ETD delivers data read from the magnetic media that has been decrypted by the ETD. For details, see [ETDIM].</p> <p>The interface can be configured to support one of two protocols:</p> <ol style="list-style-type: none"> <li>1) Fibre Channel, in accordance with the Fibre Channel Protocol-3 (FCP-3), SCSI Primary Commands-3 (see [SPC-3]), and SCSI Stream Commands (SSC-3) specifications (see [SSC-3])</li> <li>2) FICON, in accordance with the Fibre Channel Single-Byte Command Code Sets-3 Mapping Protocol (FC-SB-3), Revision 1.6 specification (see [FC-SB-3])</li> </ol>
Tape head	1	data input, data output	<p>Provides the interface to the magnetic tape media, where the user data to be encrypted is written to, and where the data to be decrypted is read from.</p> <p>Tape media resides in six possible cartridge types:</p> <ol style="list-style-type: none"> <li>1) Standard Data</li> <li>2) SPORT (reduced length) Data</li> <li>3) VolSafe (write-once) Data</li> <li>4) Sport VolSafe Data (reduced length, write-once)</li> <li>5) Cleaning</li> <li>6) Diagnostic (used by a service representative).</li> </ol>
Operator Panel	1	status output, control input	<p>The front of the ETD has an Operator Panel with the following interface:</p> <ol style="list-style-type: none"> <li>1. Four LED's to provide status output: <ol style="list-style-type: none"> <li>1. Power Indicator</li> <li>2. Activity Indicator</li> <li>3. Clean Indicator</li> <li>4. Service Indicator</li> </ol> </li> <li>2. An LCD display is used to display ETD status and configuration menu text.</li> <li>3. Four push button micro-switches. <ol style="list-style-type: none"> <li>1. IPL Switch</li> <li>2. Unload Switch</li> <li>3. Menu Switch</li> <li>4. Select Switch</li> </ol> </li> <li>4. Manual Unload Device – screwdriver interface for manually unloading a cartridge.</li> </ol>
Power Interface	1	power input	100-240 VAC @ 50-60 Hz

Physical Port	Qty	Logical interface definition	Technical Specification
Drive Status LED	1	status output	Provides status on the overall state of the ETD
Encryption Status LED	1	status output	Provides status on the encryption configuration of the ETD.
RJ45(Ethernet)	1	data input, data output, status output, control input	This primary uses of this interface are to: 1) Configure the ETD 2) Deliver encryption keys to the ETD 3) Obtain ETD status and diagnostic data 4) Download firmware to the ETD 5) Deliver status information to an SNMP server.

## 5 Identification and Authentication Policy

### 5.1 Assumption of roles

The ETD cryptographic module supports two distinct operator roles, User and Crypto-Officer (C.O.). Table 3 shows these roles.

**Table 3: Roles and Required Identification and Authentication**

Role	Type of Authentication	Authentication Data
User	Role-based operator authentication.	The following Authentication Mechanism (see Error: Reference source not found) is allowed for authenticating to the User Role: 1. CA_Cert Private Key: 2048-bit RSA Private key Note: No authentication mechanism is claimed for FIPS 140-2
Crypto-Officer	Role-based operator authentication.	Any of the following Authentication Mechanisms (see Error: Reference source not found) are allowed for authenticating to the Crypto-Officer Role: 1. VOP Password: 7 byte shared secret. 2. Passphrase: 8 byte shared secret. 3. PC_Key: 256-bit AES key 4. FSRootCert: 2048-bit private RSA key Note: No authentication mechanism is claimed for FIPS 140-2

## 6 Definition of Critical Security Parameters (CSPs)

Table 4 describes the CSPs that are contained within the ETD.

**Table 4: Description of Critical Security Parameters (CSPs)**

CSP	Description/Usage
Preset Communication Key (PCKey)	The Preset Communication Key is a 256-bit AES key loaded into the ETD during manufacturing and is used for encryption licensing.
Media Key (MEKey)	Media Keys are 256-bit AES CCM keys which are generated outside the Tape Drive by the KMS 2.x. An ETD uses a MEKey to encrypt and decrypt the customer bulk data it processes.
Passphrase	This is an 8-byte character string supplied independently to both the ETD and the KMS 2.x cluster as part of the enrollment process in the KMS 2.x configuration. The Passphrase must contain characters from at least three of the four character classes, and has a minimum length configurable by the end user. The Passphrase is used to mutually authenticate the ETD and KMS 2.x during first time authentication, and is erased from drive memory when the enrollment process completes.
VOP Password (TelnetPW)	A 7-byte shared secret used to authenticate an operator the Crypto-Officer Role.
CTR_DRBG	AES-256 key used by SP 800-90 CTR DRBG, along with the 128-bit value <i>V</i> , and reseed counter. Used to random numbers used for nonce values and cryptographic keys
AES Key Wrap Key (AKWK)	An AES Key Wrap Key is a 256-bit AES ECB key used to protect the ME_Keys with AES Key Wrap as they enter the ETD. Transported wrapped with the KWKPublicKey, which provides 112 bits of encryption strength
Dump Encryption Key (DEKey)	A Dump file encryption key is a 256-bit AES CCM key used for encrypting the dump files during generation and storage. Transported wrapped with the KWKPublicKey, which provides 112 bits of encryption strength
Tape Drive Private Key (TDPrivKey)	The Tape drive Private Key is a 2048-bit RSA private key used during the TLS handshake to authenticate the Tape Drive to an appliance within a KMS 2.x cluster.
CA_Private Key	The CA_Cert Private Key is for authentication of the appliance during TLS1.0 communication between the ETD and a KMS 2.x cluster.
FSRootCert Private Key	The FSRootCert Private Key is used to authenticate the firmware updates by authenticating the final firmware signing key in a certificate chain.
TLS_PM	Premaster Secret for the TLS session. It consists of 2 bytes of version number concatenated with 46 bytes of random data.
TLS_MS	Master Secret for the TLS session; 48 bytes of pseudo-random data generated according to TLS, based on a hash of the premaster secret and nonces
TLS_EMK	Encrypt MAC Key for TLS, used with HMAC-SHA-1 (160 bits)
TLS_DMK	Decrypt MAC Key for TLS, used with HMAC-SHA-1 (160 bits)
TLS_ECK	Encrypt Crypto Key for TLS. 256-bit key used in AES-CBC mode to encrypt TLS data.
TLS_DCK	Decrypt Crypto Key for TLS. 256-bit key used in AES-CBC mode to decrypt TLS data.

## 6.1 Definition of Public Keys

Table 5 describes the public keys stored with the ETD.

**Table 5: Description of Public Keys within the ETD**

Public Key Name	Description
CA_Cert	CA Certificate public key self-signed by a KMS 2.x cluster. Contains a 2048-bit RSA Public Key for each appliance in a KMS 2.x cluster. Used by the ETD to authenticate the appliance during the TLS handshake.
Tape Drive Public Key (TDPubKey)	The Tape drive Public Key is a 2048-bit RSA key used by TLS. The ETD sends this key to the KMS 2.x cluster to authenticate the Tape Drive during the TLS handshake. It is stored within an X.509 certificate within the ETD.
Key Wrap Key Public Key (KWKPublicKey)	The Key Wrap Key Public Key is a 2048-bit RSA public key used to wrap the AES Key Wrap Key.
Dump Encryption Public Key (DEPubKey)	The Dump Encryption Public Key is a 2048-bit RSA public key used to wrap the DEKey. It is stored in an X.509 certificate
Firmware Signature Public Key (FSPubKey)	The Firmware Signature Public Key is a 2048-bit RSA key used to validate any uploaded firmware.
Firmware Signature Root Certificate Key (FSRootCert)	The Firmware Signature Root Certificate Key is a 2048-bit RSA key within a PEM encoded certificate used to validate the certificate chain within the candidate firmware image.

## 7 Access Control Policy

### 7.1 Roles and Services

Table 6 shows the services available to each authorized role and CSP access (Crypto-Officer (C.O.), or User). See section 6 for a description of the keys and CSPs.

**Table 6: Services Authorized for Roles**

Name of Service	Service Description	Available on:	Available in FIPS mode	Available in non-FIPS mode	Role	Access to Keys/CSPs
Enroll ETD	Authenticates an external management system acting on behalf of the Crypto-Officer (KMS 2.x cluster) to the ETD using the Passphrase.	RJ45(Ether net)	Yes	Yes	C.O.	Uses Passphrase; Writes and uses CA_Cert; Writes TDPubKey; Writes TDPubKey

Name of Service	Service Description	Available on:	Available in FIPS mode	Available in non-FIPS mode	Role	Access to Keys/CSPs
License ETD	This service is used in the VOP to enable the ETD encryption feature	RJ45(Ether net)	Yes	Yes	C.O.	Uses PCKey; Uses VOP Login/password;
Load Firmware	updates the ETD firmware.	RJ45(Ether net), Tape Head, Host Interface	Yes	Yes	C.O.	Writes and Uses FSPubKey; Uses FSRootCert; Writes public keys stored in firmware
Reset	This service erases all keys, other than the PCKey, from ETD memory (volatile and non-volatile).	RJ45(Ether net)	Yes	Yes	C.O.	Zeroizes all CSPs except the PCKey
Zeroize	This service erases all Critical Security Parameters (CSPs) stored in ETD memory (volatile and non-volatile).	RJ45(Ether net)	Yes	Yes	C.O.	Zeroizes all CSPs
VOP Login	Log in to the Virtual Operator's Panel (VOP) and authorizes the operator to the Crypto-Officer Role, providing access too all VOP commands	RJ45(Ether net)	Yes	Yes	C.O.	Accesses VOP Password
Encrypt Data to Tape	Encrypts data from the Host Interface on to the tape cartridge.	Tape Head, Host Interface	Yes	Yes	User	Uses MEKey
Decrypt Data from Tape	Decrypts data from the tape cartridge	Tape Head, Host Interface	Yes	Yes	User	Uses MEKey
Create Dump	Creates an encrypted diagnostic dump file and saves it to EEPROM. Afterwards, the ETD performs an Initial Program Load (IPL)	RJ45(Ether net)	Yes	Yes	C.O.	Uses and Modifies CTR_DRBG; Generates and Uses DEKey; Uses DEPubKey

Name of Service	Service Description	Available on:	Available in FIPS mode	Available in non-FIPS mode	Role	Access to Keys/CSPs
Establish TLS Session	Establishes a TLS 1.0 (Transport Layer Security) session between the ETD and a KMS 2.x cluster	RJ45(Ethernet)	Yes	Yes	User	Uses and Modifies CTR_DRBG; Generates TLS_PM; Derives TLS_MS, TLS_EMK, TLS_DMK, TLS_ECK, TLS_DCK; Uses CA_Cert; Uses TDPubKey; Uses TDPrivKey
Export AKWK	Exports the AES Key Wrap Key (AKWK) to the KMS 2.x cluster, protected with RSA Encryption	RJ45(Ethernet)	Yes	Yes	User	Uses and Writes CTR_DRBG; Generates AKWK; Uses KWKPublicKey; Uses TLS_EMK; Uses TLS_ECK;
Input KWKPublicKey	Inputs the KWKPublicKey from a KMS 2.x cluster into the ETD	RJ45(Ethernet)	Yes	Yes	User	Writes KWKPublicKey; Uses TLS_DMK; Uses TLS_DCK
Input ME_Key from KMS 2.x	Inputs one or more ME_Keys (protected with AES Key Wrap) into the ETD from the KMS 2.x cluster	RJ45(Ethernet)	Yes	Yes	User	Writes ME_Key; Uses TLS_DMK; Uses TLS_DCK; Uses AKWK;
ETD Configuration	Allows configuration of the ETD	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable
Initial Program Load (IPL)	Causes tape drive to reinitialize and perform Power-Up Self-Tests	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable
Audit Log	Allows the viewing, downloading, deletion of the ETD Audit Log	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable
View Drive Data	Allows read access to ETD configuration data	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable



Name of Service	Service Description	Available on:	Available in FIPS mode	Available in non-FIPS mode	Role	Access to Keys/CSPs
Error Log	Allows the viewing, downloading, deletion of the ETD Error Log	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable
Delete Dump	Deletes a dump file currently stored on the ETD	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable
Delete Perms	Deletes errors currently stored on ETD	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable
Tape Management	Loads and/or Unloads a tape cartridge	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable
Run Diagnostics	Perform ETD Diagnostics	RJ45(Ethernet)	Yes	Yes	C.O.	Not Applicable

#### Access Type Definitions:

Use: The CSP is used within an ETD security function or authentication mechanism.

Write: The CSP is written to internal volatile or persistent memory of the ETD. This is done during the input of a new CSP or the modification of an existing.

Generates: Generates the CSP using the FIPS Approved SP800-90 DRBG.

Derives: The CSP is derived using the Allowed TLS1.0 Key Derivation Function.

The ETD supports the unauthenticated services listed below in Table 7. None of the services modify, disclose, or substitute cryptographic keys and CSPs, or otherwise affect the security of the ETD.

#### Table 7: Unauthenticated Services

Unauthenticated services are accessible to both User and Crypto-Officer roles, as well as unauthenticated operators. Unauthenticated services do not allow the modification, disclosure, or substitution of keys and CSPs, or otherwise affect the security of the module.

Name of Service	Service Description	Available On:
Show Status	Provides the current status of the ETD.	Drive Status LED, Encryption Status LED, Operator Panel, RJ45(Ethernet), Host Interface, DB15(RS232)
Power-Cycle/Perform Self-Tests	When the ETD is power-cycled, the ETD exercises the cryptographic hardware and firmware tests for the FIPS Approved algorithms, as listed in 9.1 .	Power Interface

Name of Service	Service Description	Available On:
Fibre Channel Interface Management	Provides non-security relevant ETD management and status output (see [ETDIM]).	Host Interface
Library Management	Provides non-security relevant ETD management and status output of the ETD.	DB15 (RS232)
Operator Panel	Provides non-security relevant ETD management and status output. See [ETDOG] for details.	Operator Panel

## 8 Operational Environment (Area 6)

The FIPS 140-2 Area 6 Operational Environment requirements are not applicable because the ETD functions in a limited operational environment. As such, the module performs a firmware load test (RSA signature verification) to verify the authenticity and integrity of any newly loaded code (Note: New code images running on the hardware platform must be FIPS 140-2 validated as a single module).

## 9 Security Rules

### 9.1 FIPS 140-2 Security Requirements

This section documents the security rules enforced by the ETD cryptographic module

- 1) The cryptographic module shall provide two distinct operator roles. These are the User role and the Crypto-Officer role.
- 2) When the module has not been placed in a valid role, the operator does not have access to any cryptographic services.
- 3) The cryptographic module shall encrypt and decrypt sensitive data using the AES-256 CCM algorithm
- 4) The cryptographic module shall perform the following tests:
  - a) Power-up Self-tests
    - i) Cryptographic algorithm tests:
      - (1) AES ECB KAT (Encrypt/Decrypt)
      - (2) AES Key Wrap KAT (Wrap/Unwrap)
      - (3) AES CBC (Encrypt/Decrypt)
      - (4) AES CCM Firmware Implementation KAT (Encrypt/Decrypt)
      - (5) AES CCM Hardware Implementation KAT (Encrypt/Decrypt)
      - (6) SP800-90 CTR DRBG KAT
      - (7) SHA-1 KAT
      - (8) HMAC SHA-1 KAT
      - (9) HMAC SHA-1(TLS) KAT (SHA-1 as used within this HMAC is tested as part of this KAT)
      - (10) RSASSA-PKCS1-v1\_5 Known Answer Test
    - ii) Firmware Integrity Test (32 bit CRC)
  - b) Conditional Self-tests:
    - i) Firmware Load Test: 2048 bit RSA PKCS1 digital signature verification
    - ii) SP800-90 DRBG Continuous Test
    - iii) NDRNG Continuous Test
- 5) An operator may command the module to perform the power up self-test by initiating a power cycle of the module.
- 6) The cryptographic module inhibits data output during self-tests, zeroization, and error states.
- 7) Status information does not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
- 8) The module supports concurrent operators.

The operator can determine whether the power-on self-tests tests have passed or failed by observing the Operator Panel (See [ETDOG], Table 2-1 “Operator Panel Indicators”). If the Power Indicator is solid green, then all the power-on self tests have completed successfully. If a power-on self-test fails, then the Power Indicator LED will continue to flash, and the ETD will report an error and collect dump data, which includes turning on the Service Indicator LED (either flashing or solid).

## 10 Physical Security

### 10.1 Physical Security Mechanisms

The ETD multi-chip standalone cryptographic module includes the following physical security mechanisms:

- Production-grade components
- Production-grade metal enclosure

NOTE: The two security stickers on the ETD do not provide FIPS-approved security.

## 11 Mitigation of Other Attacks Policy

The module has not been designed to mitigate any specific attacks.

## 12 References

- [1619.1] IEEE Std 1619.1-2007, IEEE Standard for Authenticated Encryption with Length Expansion for Storage Devices. May 2008.
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- [KMS2IM] KMS 2.0 Installation and Service Manual (Rev. BA), Part Number 316194903BA, Sun Microsystems. June 2008. Available at <http://docs.sun.com/app/docs/doc/316194903BA>.
- [SPC-3] SCSI Primary Commands-3 (SPC-3)
- [SSC-3] SCSI Stream Commands (SSC-3)
- [TLS1.0] [RFC 2246](#): “The TLS Protocol Version 1.0”.

[VOPUG] Virtual Operator Panel User's Guide (Customer) rev JA, Sun Microsystems, Part Number 96179JA, April 2008. Available at <http://docs.sun.com/app/docs/doc/96179revJA>.

## 13 Definitions and Acronyms

AES	Advanced Encryption Standard
CO	Crypto-Officer
Data-At-Rest	Data that is stored on non-network attached media. Data-At-Rest in the context of the EDRS system is data stored on magnetic tape.
EDRS	Encrypted Data at Rest Solution
ETD	The Sun StorageTek™ T9840D <u>E</u> ncrypting <u>T</u> ape <u>D</u> rive.
IPL	Initial Program Load. The process that brings up the ETD after a power-on or reset.
KMA	Key Management Appliance
KMS	Key Management System, which consists of two or more KMAs.
TLS	Transport Layer Security, v1.0, as defined by IETF RFC 2246
User Data	Arbitrary data which is being written to or read from magnetic tape.
VOP	Virtual Operator Panel – Software used to configure the ETD