

**Versa Networks Branch v1.0
by Versa Networks, Inc.**

**FIPS 140-2 Level 1 Non-Proprietary Security
Policy**

**Document Version Number: 1.2
Date: November 18, 2022**

Table of Contents

1. Module Overview	3
2. Modes of Operation	5
2.1 Approved and Allowed Cryptographic Functions	5
2.2 Non-approved algorithms allowed in FIPS-approved mode	8
2.3 All other algorithms.....	8
3. Ports and interfaces	9
4. Roles and Services.....	10
5. Cryptographic Keys and CSPs	12
6. Self-tests	14
7. References.....	16

1. Module Overview

The Versa Networks Branch is a software component that comprises of a comprehensive stack of network and security functions. The Versa Networks Branch software module can be deployed on a bare metal system or on a hypervisor-based Virtual Machine.

The Versa Networks Branch establishes and maintains a secure IPsec-based control channel with the Versa Networks Controller. The Versa Controller serves as an attachment point for management and control purposes between the Versa Networks Branch modules.

The Versa Networks Branch modules, that are deployed at various branch locations of an enterprise, establish, and maintain secure IPsec-based communication with each other.

The Versa Networks Branch module can be provisioned, configured, and managed through the Versa provisioning and management platform, which is also known as Versa Director. The Versa Networks Branch module also generates a variety of audit information in various protocols/formats, such as syslog, IPFIX, SNMP, etc.,. The audit events are forwarded to the Versa Director and/or Versa Analytics via the IPsec tunnel that is established between the Versa Networks Branch and Versa Networks Controller.

The Versa Networks Branch cryptographic module is a software module that is executing in a modifiable operational environment by a general-purpose computer.

For the purposes of the FIPS 140-2 validation, the module is a software-only, multi-chip standalone cryptographic module. FIPS 140-2 conformance testing was performed at Security Level 1. The following configuration was tested by the lab.

Table 1.1: Configuration tested by the lab

Product	Platform	Processors	Operating Systems
Versa Networks Branch	Versa CSG750	Intel Denverton C3558 with and without AES-NI	Ubuntu 18.04
Versa Networks Branch	Supermicro X10DDW-i	Intel Xeon CPU E5-2660v4 @2.00GHz with and without AES-NI	Ubuntu-18.04 on KVM on Ubuntu-18.04

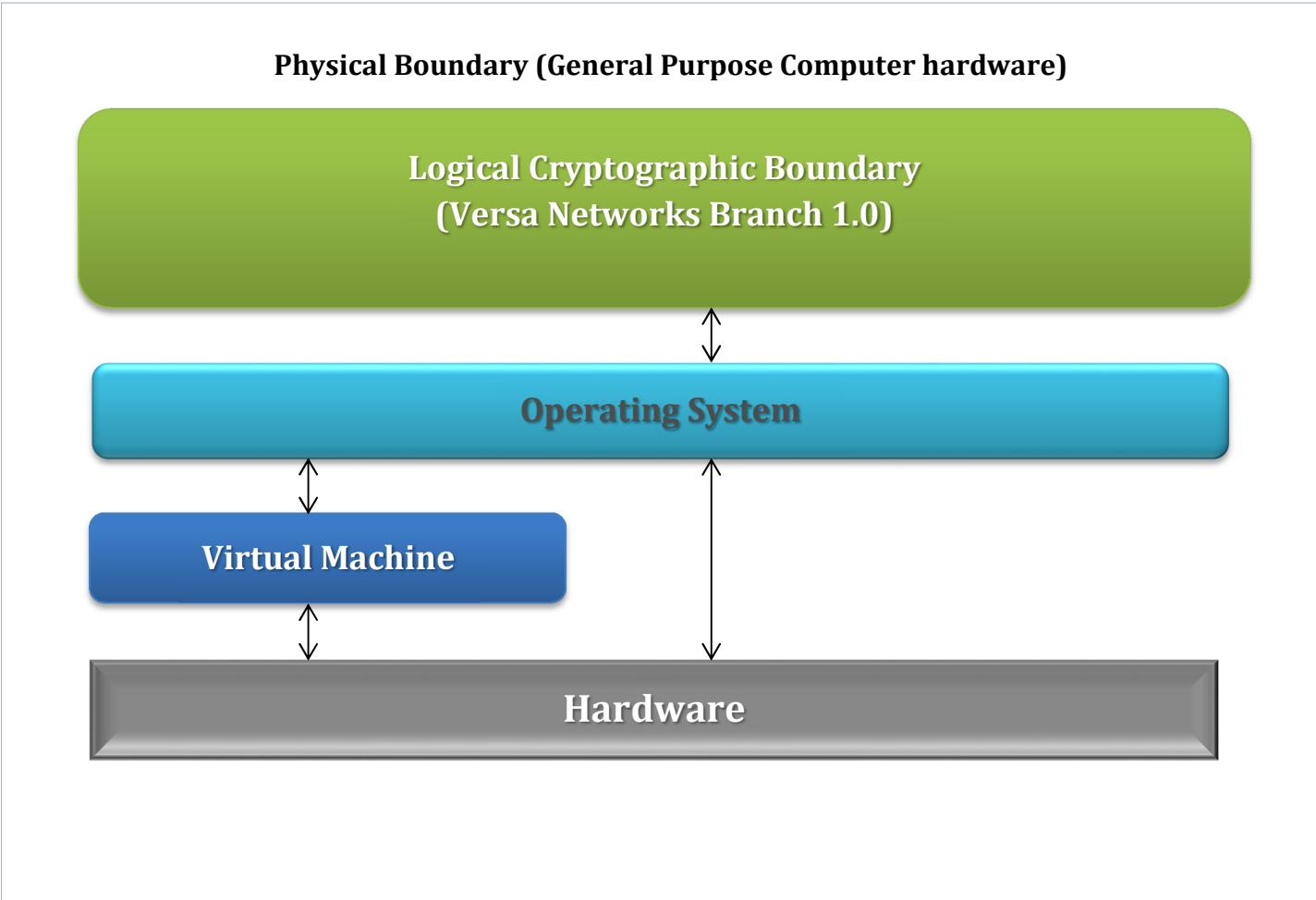
Note-1: KVM is an acronym for the Kernel-based Virtual Machine Hypervisor

Table 1.2: Module Security Level Statement

FIPS Security Area	Security Level
Cryptographic Module Specification	1
Module Ports and Interfaces	1
Roles, Services and Authentication	1
Finite State Model	1
Physical Security	N/A
Operational Environment	1
Cryptographic Key Management	1

FIPS Security Area	Security Level
EMI/EMC	1
Self-tests	1
Design Assurance	1
Mitigation of Other Attacks	N/A

Figure 1: Block Diagram for the product



2. Modes of Operation

The module supports two modes of operation: FIPS mode and non-FIPS mode.

FIPS Mode (Approved mode of operation): Only approved or allowed security functions with sufficient security strength can be used. To enable FIPS mode, all existing configuration shall be erased, before running the request command to enable FIPS mode on the Versa Operational CLI. After enabling the FIPS mode, Versa services need to be restarted to operate the module in FIPS mode.

```
admin> request system fips-mode enable
Please Erase config. Config Erased? Are you sure? [no,yes]yes
Restart all Versa services. Are you sure? [no,yes]yes

admin>
```

Non-FIPS Mode (non-Approved mode of operation): All approved and non-approved security functions can be used. To disable FIPS mode, all existing configuration shall be erased, before running the request command to disable FIPS mode on the Versa Operational CLI. After disabling the FIPS mode, Versa services must be restarted to operate the module in non-FIPS mode.

```
admin> request system fips-mode disable
Please Erase config. Config Erased? Are you sure? [no,yes]yes
Restart all Versa services. Are you sure? [no,yes]yes

admin>
```

2.1 Approved and Allowed Cryptographic Functions

The following approved cryptographic algorithms are used in FIPS approved mode of operation.

Table 2.1: Approved Cryptographic Functions.

CAVP Cert	Library	Algorithm	Standard	Model/ Method	Key Lengths, Curves or Moduli	Use
C1971	VOS™ IPsec Cryptographic Module	CVL IKEv1 KDF ³ CVL IKEv2 KDF ³	SP800-135			IPsec Key Derivation
C1971	VOS™ IPsec Cryptographic Module	AES	FIPS197, SP800-38A, SP800-38F	CBC, CTR, ECB	128,256	IPsec Symmetric encryption, decryption KTS ⁴
A1644	VOS™ IPsec Cryptographic Module	AES	FIPS197, SP800-38A, SP800-38F	CBC, CTR, ECB	128,256	

CAVP Cert	Library	Algorithm	Standard	Model/ Method	Key Lengths, Curves or Moduli	Use
<u>C1971</u>	VOS™ IPsec Cryptographic Module	HMAC	FIPS198-1	HMAC-SHA-1	160-bit	IPsec Message Authentication Code
				HMAC-SHA-224	224-bit	
				HMAC-SHA-256	256-bit	
				HMAC-SHA-384	384-bit	
				HMAC-SHA-512	512-bit	
<u>A1644</u>	VOS™ IPsec Cryptographic Module	KAS-ECC-SSC	SP800-56Ar3	ECC Ephemeral Unified Scheme	P-224, P-256, P-384 corresponding to 112 - 192 bits of security	IPsec Shared Secret Computation
<u>C1971</u>	VOS™ IPsec Cryptographic Module	RSA	FIPS186-4	PKCS#1v1.5 with SHA-256, SHA-384, SHA-512	1024, 2048, 3072	IPsec Digital Signature Verification
<u>C1971</u>	VOS™ IPsec Cryptographic Module	RSA	FIPS186-4	PSS with SHA-1, SHA-256, SHA-384, SHA-512	1024, 2048, 3072	IPsec Digital Signature Verification
<u>C1971</u>	VOS™ IPsec Cryptographic Module	SHS	FIPS180-4	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512		IPsec Message Digest
<u>C1923</u>	VOS™ TLS Cryptographic Module	AES	FIPS197, SP800-38A, SP800-38D	CBC, ECB, CTR, GCM ²	128, 192, 256	TLS, SSHv2 Symmetric encryption, decryption
<u>A1636</u>	VOS™ TLS Cryptographic Module	AES	FIPS197, SP800-38A, SP800-38D	CBC, ECB, CTR, GCM ²	128, 192, 256	TLS, SSHv2 Symmetric encryption, decryption
<u>C1923</u>	VOS™ TLS Cryptographic Module	DRBG	SP800-90A	CTR_DRBG with AES-256		IPsec, TLS, SSHv2 Deterministic Random Bit Generation
<u>C1923</u>	VOS™ TLS Cryptographic Module	ECDSA	FIPS186-4		P-256, P-384, P-521	TLS, SSHv2 Key Pair Generation
<u>C1923</u>	VOS™ TLS Cryptographic Module	ECDSA	FIPS186-4	SHA-224, SHA-256, SHA-384, SHA-512	P-256, P-384, P-521	TLS, SSHv2 Digital Signature Generation
<u>C1923</u>	VOS™ TLS Cryptographic Module	ECDSA	FIPS186-4	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512	P-256, P-384, P-521	TLS, SSHv2 Digital Signature Verification
<u>C1923</u>	VOS™ TLS Cryptographic Module	HMAC	FIPS198-1	HMAC-SHA-1	160-bit	TLS, SSHv2 Message Authentication Code
				HMAC-SHA-224	224-bit	
				HMAC-SHA-	256-bit	

CAVP Cert	Library	Algorithm	Standard	Model/ Method	Key Lengths, Curves or Moduli	Use
				256		
				HMAC-SHA-384	384-bit	
				HMAC-SHA-512	512-bit	
A1636	VOS™ TLS Cryptographic Module	KAS-ECC-SSC	SP800-56Ar3	ECC Ephemeral Unified Scheme	P-256, P-384, P-521 corresponding to 128 - 256 bits of security	TLS, SSHv2 Shared Secret Computation
C1923	VOS™ TLS Cryptographic Module	KDF CVL TLSv1.2 ³ KDF CVL SSHv2 ³	SP800-135			TLS, SSHv2 Key Derivation
C1923	VOS™ TLS Cryptographic Module	RSA	FIPS186-4		2048, 3072	IPsec, TLS, SSHv2 Key Pair Generation
C1923	VOS™ TLS Cryptographic Module	RSA	FIPS186-4	PKCS#1v1.5 with SHA-224, SHA-256, SHA-384, SHA-512	2048, 3072	IPsec, TLS, SSHv2 Digital Signature Generation
C1923	VOS™ TLS Cryptographic Module	RSA	FIPS186-2	PKCS#1v1.5 with SHA1, SHA-224, SHA-256, SHA-384, SHA-512	1024, 1536, 2048, 3072, 4096	TLS, SSHv2 Digital Signature Verification
C1923	VOS™ TLS Cryptographic Module	SHS	FIPS180-4	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512		TLS, SSHv2 Message Digest
A1644 C1971	VOS™ IPsec Cryptographic Module	KAS	SP800-56Ar3 and SP800-135	ECC Ephemeral Unified Scheme	P-224, P-256, P-384	IPsec Shared Secret Computation IPsec Key Derivation
A1636 C1923	VOS™ TLS Cryptographic Module	KAS	SP800-56Ar3 and SP800-135	ECC Ephemeral Unified Scheme	P-256, P-384, P-521	TLS, SSHv2 Shared Secret Computation TLS, SSHv2 Key Derivation
CKG (Vendor Affirmed)		Cryptographic Key Generation	SP800-133			Key Generation ¹

Note 1: not all CAVS tested modes of the algorithms are used in this module.

¹ CKG is only used to generate asymmetric keys. The module directly uses the output of the DRBG. The generated seed used in the asymmetric key generation is an unmodified output from DRBG. Section 4, example 1, of SP800-133r2 "Using the Output of a Random Bit Generator" is applicable.

²The module's AES-GCM implementation complies with IG A.5 scenario 1 and RFC 5288, and

supports acceptable GCM cipher suites from SP 800-52 Rev2, Section 3.3.1. AES-GCM is only used in TLS version 1.2. When the IV exhausts the maximum number of possible values for a given session key, the first party, client or server, that encounters this condition will trigger a handshake to establish a new encryption key. New AES-GCM keys are generated by the module if the module loses power.

³No parts of these protocols, other than the KDF, have been tested by the CAVP and CMVP.

⁴ KTS: AES-CBC and CTR (Certs. #A1644 and #C1971) and HMAC (Cert. #C1971); key establishment methodology provides 128 or 256 bits of encryption strength.

2.2 Non-approved algorithms allowed in FIPS-approved mode

In FIPS approved mode of operation, only FIPS-approved and certified algorithms are allowed. In FIPS approved mode, none of the non-FIPS-approved or non-certified algorithms are allowed to be used.

2.3 All other algorithms

The below table indicates non-FIPS-approved algorithms that are only permitted to be used in non-FIPS-approved mode.

Table 2.3: Non-Approved Cryptographic Functions

Algorithm	Use
RSA signature generation with keys of size < 2048 bits	Digital Signature Generation
RSA encryption	Key wrapping
3DES (non-compliant) MD5	IPSEC
Blowfish, Camellia, ChaCha20, DES, RC2, RC4, SEED, Poly1305	TLS: Symmetric Encryption and Decryption, Keyed Hash
ECDSA with curves P-192, K-163, B-163 and non-NIST curves KeyGen, SigGen	Digital Signature
ECDH with curves B-163, B-233, B-283, B-409, B-571, K-163, K-233, K-283, K-409, K-571 and non-NIST curves	Key Establishment – Non-compliant
HMAC with less than 112 bits key	Keyed Hash

3. Ports and interfaces

The physical ports of the module are the same as those of the computer system on which it is executing. The logical interfaces of the module are implemented via an Application Programming Interface (API). The table below describes the logical interfaces provided by the module.

Table 3: FIPS 140-2 Logical Interfaces.

Logical Interface	Description
Data Input	Input parameters that are supplied to the API commands
Data Output	Output parameters that are returned by the API commands
Control Input	API commands
Status Output	Return status provided by API commands

4. Roles and Services

Roles

The module supports the following roles:

- **User Role:** performs services of establish, maintain, and close SSH, IPsec and TLS sessions.
- **Crypto Officer Role:** performs services of module installation and configuration of Versa services, monitoring status, troubleshooting, and running self-tests.

Services

The module provides services to users that assume one of the available roles. All services are shown in Table 4 and described in detail in the user documentation.

Table 4 shows the services, the roles to perform the service, the cryptographic keys, or Critical Security Parameters (CSPs) involved and how they are accessed. The following convention is used to specify access rights to a CSP:

- **Create:** create a new CSP.
- **Read:** read the CSP.
- **Update:** write a new value to the CSP.
- **Zeroize:** zeroize the CSP.
- **Blank:** does not access any CSP or key during its operation.

Table 4: Roles and Services

Service	Corresponding Roles	Types of Access to Cryptographic Keys and CSPs
Module Installation	Crypto Officer	
Enable/Disable FIPS Mode	Crypto Officer	All Keys/Certificates: Zeroize
Configure SSH, IPsec, TLS, and perform general configuration	Crypto Officer	SSH Keys: Create, Read, Update, Delete IPsec Keys, Certificates: Create, Read, Update, Delete TLS Keys, Certificates: Create, Read, Update, Delete DRBG CSPs: Read, Update
Show status	Crypto Officer	SSH Keys: Create, Read IPsec Keys, Certificates: Create, Read DRBG CSPs: Read, Update
Self-Tests	Crypto Officer	
SSH Connection	Crypto Officer	SSH Keys: Create, Read DRBG CSPs: Read, Update
Zeroization	Crypto Officer	All Keys/Certificates: Zeroize
Reboot	Crypto Officer	
IPsec Connection to Versa Networks Controller	User	IPsec Keys, Certificates: Create, Read DRBG CSPs: Read, Update

Service	Corresponding Roles	Types of Access to Cryptographic Keys and CSPs
IPsec Connection to other Versa Networks Branch modules	User	IPsec Keys, Certificates: Create, Read DRBG CSPs: Read, Update
TLS Connection/Proxy	User	TLS Keys, Certificates: Create, Read DRBG CSPs: Read, Update
IPsec Connection – Remote Access Server and Remote Access Client	User	IPsec Keys, Certificates: Create, Read DRBG CSPs: Read, Update

5. Cryptographic Keys and CSPs

The table below describes cryptographic keys and CSPs used by the module.

Table 5: Cryptographic Keys and CSPs

Protocol	Key	Description/Usage	Storage
IPsec	RSA private keys Established using DRBG	Used in IPsec handshake.	DRAM (plaintext)
IPsec	RSA public keys Established using DRBG	Used in IPsec handshake.	DRAM (plaintext)
IPsec	Elliptic Curve Diffie Hellman private key Established using DRBG	The private key used in Diffie Hellman (DH) exchange.	DRAM (plaintext)
IPsec	Elliptic Curve Diffie Hellman public key Established using DRBG	The public key used in Diffie Hellman (DH) exchange. This key is derived per the Diffie-Hellman key agreement.	DRAM (plaintext)
IPsec	Pre-Shared Key Set by operators	A shared secret known only to IPsec peers.	DRAM (plaintext)
IPsec	AES Encryption Keys Established using KDF IKE	The IPsec encryption keys.	DRAM (plaintext)
IPsec	HMAC Keys Established using KDF IKE	Used for computation of Hash-based Message Authentication Code (HMAC).	DRAM (plaintext)
SSHv2	AES Encryption Keys Established using KDF SSH	These are the SSHv2 session keys. They are used to encrypt all SSHv2 data traffic traversing between the endpoints.	DRAM (plaintext)
SSHv2	HMAC Keys Established using KDF SSH	Used for computation of Hash-based Message Authentication Code (HMAC).	DRAM (plaintext)
SSHv2	Elliptic Curve Diffie Hellman private key Established using DRBG	The private key used in Diffie Hellman (DH) exchange.	DRAM (plaintext)
SSHv2	Elliptic Curve Diffie Hellman public key Established using DRBG	The public key used in Diffie Hellman (DH) exchange. This key is derived per the Diffie-Hellman key agreement.	DRAM (plaintext)

Protocol	Key	Description/Usage	Storage
SSHv2	ECDSA private key Established using DRBG	Used in SSHv2 handshake.	DRAM (plaintext)
SSHv2	ECDSA public key Established using DRBG	Used in SSHv2 handshake.	DRAM (plaintext)
TLS	RSA private keys Established using DRBG	Used in TLS handshake.	DRAM (plaintext)
TLS	RSA public keys Established using DRBG	Used in TLS handshake.	DRAM (plaintext)
TLS	TLS pre-master secret Established using KAS-ECC-SSC	Shared secret created/derived using asymmetric cryptography from which new HTTPS session keys can be created.	DRAM (plaintext)
TLS	TLS master secret Established using KDF TLS	Shared secret created/derived via key derivation function (SP800-135 KDF TLS)	DRAM (plaintext)
TLS	AES Encryption Key Established using KDF TLS	Used in HTTPS connections. Generated using TLS protocol.	DRAM (plain text)
TLS	HMAC Key Established using KDF TLS	Used for computation of Hash-based Message Authentication Code (HMAC)	DRAM (plaintext)
TLS	Elliptic Curve Diffie Hellman private key Established using DRBG	The private key used in Diffie Hellman (DH) exchange.	DRAM (plaintext)
TLS	Elliptic Curve Diffie Hellman public key Established using DRBG	The public key used in Diffie Hellman (DH) exchange. This key is derived per the Diffie-Hellman key agreement.	DRAM (plaintext)
IPsec, TLS, SSHv2	CTR_DRBG CSPs: entropy input, V and Key Entropy is loaded externally	Used during generation of random numbers.	DRAM (plaintext)

Note-1: public keys are not considered CSPs

Note-2: All keys, that are generated by this module, are generated by using AES-CTR-DRBG with AES-256. Entropy is loaded externally. Minimum number of bits of entropy loaded is 256-bits, since the minimum length of the entropy field is 256-bits.

Note-3: Keys can be provided to the module via API input parameters and output via API output parameters. The module does not enter or output keys outside its physical boundary. Zeroization is performed using power cycle.

6. Self-tests

The module performs the following power-up and conditional self-tests. Upon failure or a power-up or conditional self-test the module halts its operation.

Power-Up Tests

The module performs power-up tests when the module is restarted or when the underlying system is rebooted or power-cycled, without operator intervention. Power-up tests ensure that the module is not corrupted and that the cryptographic algorithms work as expected.

While the module is executing the power-up tests, services are not available, and input and output are inhibited. The module is not available for use until the power-up tests are completed successfully.

If any power-up test fails, the module disables all services, and then enters error state. Thus, no further cryptographic operations are possible. If the power-up tests complete successfully, the module will startup normally and will provide the cryptographic operation service requests.

Conditional Tests

The module performs conditional tests on the cryptographic algorithms, using the Pair-wise Consistency Tests (PCT) and Continuous Random Number Generator Test (CRNGT).

The software integrity test is performed during startup using RSA 2048-bit keys, with SHA256 Signature Verification.

Table 6: Self-Tests

Algorithm	Test
Software Integrity Power On Self Test	Software integrity test is performed using RSA key of length of at least 2048 bits and SHA256 Signature Verification
Power On Self Test for VOS TLS Cryptographic Subsystem	For VOS TLS Cryptographic Subsystem, the test validation routines are performed: <ol style="list-style-type: none">AES encryption and decryption are separately tested (key sizes tested: 128, 192, 256)<ol style="list-style-type: none">CBCECBGCMCTRRSA KAT (key sizes tested: 2048, 3072), using SHA256SHA<ol style="list-style-type: none">SHA-1SHA-224SHA-256SHA-384SHA-512

Algorithm	Test
	<ol style="list-style-type: none"> 4. HMAC <ol style="list-style-type: none"> a. HMAC-SHA-1 b. HMAC-SHA-224 c. HMAC-SHA-256 d. HMAC-SHA-384 e. HMAC-SHA-512 5. DRBG KAT 6. KAS (ECC-SSC) Primitive "Z" Computation KAT per implementation guidance 7. ECDSA PCT (curve sizes P-224, K-233) using SHA512 8. KDF KAT – For SSH and TLS
Power On Self Test for IPsec Subsystem	<p>For IPsec Subsystem, the test validation routines are performed:</p> <ol style="list-style-type: none"> 1. AES encryption and decryption are separately tested (key sizes tested are 128, 192, 256) <ol style="list-style-type: none"> a. CBC b. ECB 2. RSA KAT (key sizes tested are 2048, 3072) 3. SHA <ol style="list-style-type: none"> a. SHA-1 b. SHA-224 c. SHA-256 d. SHA-384 e. SHA-512 4. HMAC <ol style="list-style-type: none"> a. HMAC-SHA-1 b. HMAC-SHA-224 c. HMAC-SHA-256 d. HMAC-SHA-384 e. HMAC-SHA-512 5. KAS (ECC-SSC) Primitive "Z" Computation KAT per implementation guidance 6. KDF KAT – For IKEv1 and IKEv2
Conditional Test for RSA Key Generation	PCT using SHA-256, signature generation and verification. PCT for encryption and decryption.
Conditional Test for ECDSA Key Generation	PCT using SHA-256, signature generation and verification.
Conditional Test for ECC DH Public/Private Key Validation (IPsec)	ECC DH Private/Public Key Validation tests as per SP800-56Ar3 including ECC Full Public-Key Validation Routine
Conditional Test for ECC DH Public/Private Key Validation (TLS, SSH)	ECC DH Private/Public Key Validation tests as per SP800-56Ar3 including ECC Full Public-Key Validation Routine
DRBG	DRBG Health Test Performed per SP 800-90A Section 11.3
Conditional Test for DRBG	CRNGT

Algorithm	Test
Entropy Test	Continuous Random Number Test for the entropy data

7. References

Table 7: References

Reference	Specification
[ANS X9.31]	Digital Signatures Using Reversible Public Key Cryptography for the Financial Services Industry (rDSA)
[FIPS 140-2]	Security Requirements for Cryptographic modules, May 25, 2001
[FIPS 180-4]	Secure Hash Standard (SHS)
[FIPS 186-2/4]	Digital Signature Standard
[FIPS 197]	Advanced Encryption Standard
[FIPS 198-1]	The Keyed-Hash Message Authentication Code (HMAC)
[FIPS 202]	SHA-3 Standard: Permutation-Based Hash and Extendable-Output Functions
[PKCS#1 v2.1]	RSA Cryptography Standard
[PKCS#5]	Password-Based Cryptography Standard
[PKCS#12]	Personal Information Exchange Syntax Standard
[SP 800-38A]	Recommendation for Block Cipher Modes of Operation: Three Variants of Ciphertext Stealing for CBC Mode
[SP 800-38B]	Recommendation for Block Cipher Modes of Operation: The CMAC Mode for Authentication
[SP 800-38C]	Recommendation for Block Cipher Modes of Operation: The CCM Mode for Authentication and Confidentiality
[SP 800-38D]	Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC
[SP 800-38F]	Recommendation for Block Cipher Modes of Operation: Methods for Key Wrapping
[SP 800-56A]	Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography
[SP 800-56B]	Recommendation for Pair-Wise Key Establishment Schemes Using Integer Factorization Cryptography
[SP 800-56C]	Recommendation for Key Derivation through Extraction-then-Expansion
[SP 800-67R1]	Recommendation for the Triple Data Encryption Algorithm (TDEA) Block Cipher
[SP 800-89]	Recommendation for Obtaining Assurances for Digital Signature Applications
[SP 800-90A]	Recommendation for Random Number Generation Using Deterministic Random Bit Generators

Reference	Specification
[SP 800-108]	Recommendation for Key Derivation Using Pseudorandom Functions
[SP 800-132]	Recommendation for Password-Based Key Derivation
[SP 800-135]	Recommendation for Existing Application –Specific Key Derivation Functions