

Juniper Networks EX4650, QFX5120 and QFX5210 Ethernet Switches with JUNOS 20.2R1-S1

Non-Proprietary FIPS 140-2 Cryptographic Module Security **Policy**

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1 Introduction

The Juniper Networks QFX series switches are high performance, high density data center switches. The QFX switches provide high performance, wire speed switching with low latency and jitter. The QFX series switches provide the universal building blocks for multiple data center fabric architectures.

This Security Policy covers the following Ethernet switch models:

- QFX5120-48T
- QFX5120-48Y
- QFX5120-32C
- QFX5210-64C
- EX4650-48Y

This is a non-proprietary Cryptographic Module Security Policy for the Juniper Networks EX4650, QFX5120 and QFX5210 Ethernet switches cryptographic module from Juniper Networks, hereafter referred to as the module. It provides detailed information relating to each of the FIPS 140-2 security requirements relevant to Juniper Networks EX4650, QFX5120 and QFX5210 Ethernet switches module along with instructions on how to run the module in a secure FIPS 140-2 mode.

All four models run Juniper's Junos OS firmware. The validated version of the firmware is Junos OS 20.2R1-S1. The names of the image files are:

- jinstall-host-qfx-5e-x86-64-20.2R1-S1.5-secure-signed.tgz (for all QFX platforms)
- jinstall-host-ex-4e-x86-64-20.2R1-S1.5-secure-signed.tgz (only for EX platforms)

The module is defined as a multiple-chip standalone module that execute Junos OS 20.2R1-S1 firmware on the switch models listed in Table 1. The cryptographic boundary is defined as the outer edge of the switch. The module's operational environment is a non-modifiable operational environment.

Table 1 provides a list of the hardware versions that are part of the module validation and the basic configuration of the hardware.

Table 1 – Cryptographic Module Configurations

The module is designed to meet FIPS 140-2 Level 1 overall:

Table 2 – Security Level of Security Requirements

The module has a non-modifiable operational environment as per the FIPS 140-2 definitions. It includes a firmware load service to support necessary updates. New firmware versions within the scope of this

validation must be validated through the FIPS 140-2 CMVP. Any other firmware loaded into the module is out of the scope of this validation and require a separate FIPS 140-2 validation.

The module does not implement any mitigations of other attacks as defined by FIPS 140-2.

Juniper's development processes are such that future releases of Junos should be FIPS validate-able when run on the same hardware platform and meet the claims made in this document. Only the versions that explicitly appear on the certificate, however, are formally validated. The CMVP makes no claim as to the correct operation of the module or the security strengths of the generated keys when operating under a version that is not listed on the validation certificate.

1.1 Hardware and Physical Cryptographic Boundary

The physical forms of the module are depicted in Figure 3 to Figure 10. The module is completely enclosed in a rectangular nickel or clear zinc coated, cold rolled steel, plated steel and brushed aluminum enclosure. For all models, the cryptographic boundary is defined as the outer edge of the switch chassis. The module does not rely on external devices for input and output of critical security parameters (CSPs).

Figure 1 - QFX 5120-48T front view

Figure 2 - QFX 5120-48T rear view

Figure 3 - QFX 5120-48Y front view

Figure 4 - QFX 5120-48Y rear view

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Figure 5 - QFX 5120-32C front view

Figure 6 - QFX 5120-32C rear view

Figure 7 - QFX 5210-64C front view

Figure 8 - QFX 5210-64C rear view

Figure 9 - EX 4650-48Y front view

Figure 10 - EX4650-48Y rear view

The following table maps each logical interface type defined in the FIPS 140-2 standard to one or more physical interfaces.

Table 3 – Port and Interface types

The following table provides a detailed description of the ports and interfaces available for each model.

Table 4 – Ports and Interfaces

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1.2 Mode of Operation

The module provides a non-Approved mode of operation in which non-Approved cryptographic algorithms are supported. The module supports non-Approved algorithms when operating in the non-Approved mode of operation as described in Sections 2.4 and 3.4. When transitioning between the non-Approved mode of operation and the Approved mode of operation, the CO must zeroize all CSPs by following the instructions in Section 1.3.

Then, the Cryptographic Officer (CO) must run the following commands to configure the module into the Approved mode of operation:

co@fips-qfx# set system fips level 1

co@fips-qfx# commit

Once the Junos firmware image is installed, configured into Approved mode and rebooted, and integrity and self-tests have run successfully on initial power-on, the module is operating in the Approved mode. This prevents access to non FIPS approved functionality. Transitioning back to non-approved mode is only possible via zeroising the module as described in Section 1.3.

The operator can verify the module is operating in the Approved mode by verifying the following:

- The "show version local" command indicates that the module is running the Approved firmware (i.e. Junos Software Release 20.2R1-S1).
- The command prompt ends in ":fips", which indicates the module has been configured in the Approved mode of operation.

1.3 Zeroization

The following command allows the Cryptographic Officer to zeroize CSPs contained within the module:

co@fips-qfx> request system zeroize

Zeroization completely erases all configuration information on the device, including all cryptographic keys and CSPs and returns the module to its factory default state.

Note: The Cryptographic Officer must retain control of the module while zeroization is in process.

2 Cryptographic Functionality

The module implements the FIPS Approved, vendor affirmed, and non-Approved-but-Allowed cryptographic functions listed in Table 5 through Table 8 below. Table 9 summarizes the high-level protocol algorithm support. Although the module may have been tested for additional algorithms or modes, only those listed below are actually utilized by the module.

2.1 Approved Algorithms

References to standards are given in square bracket []; see the References table.

CAVP Cert.	Algorithm	Mode	Key Lengths, Curves, or Moduli	Functions			
A866	HMAC [198]	$SHA-1$	$\lambda = 160$	Message Authentication			
		SHA-256	$\lambda = 256$				
	SHS [180]	SHA-1					
A866		SHA-256		Message Digest Generation			
		SHA-384					
		SHA-512					
A866	DRBG [90A]	HMAC	SHA-256	Random Bit Generation			

Table 5 – Kernel Cryptographic Functions

Table 6 – OpenSSL Approved Cryptographic Functions

1 Vendor affirmed.

2 ECDH is only allowed until June 30, 2022.

³ Vendor affirmed as per IG D.1-rev3

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Table 7 – LibMD Approved Cryptographic Functions

⁴ The module enforces a limit of 2²⁰ transforms per Triple-DES key. Use of Triple-DES in this module is only allowed until December 31, 2023.

2.2 Allowed Algorithms

Algorithm	Caveat	Use
NDRNG [IG] 7.14 Scenario 1a	The module generates a minimum of 256 bits of entropy for key generation.	Seeding the DRBG
Elliptic Curve Diffie- Hellman [IG] D.8 ⁵	CVL Cert. #A867 with CVL Cert. #A867; provides between 128 and 256 bits of encryption strength.	SSH key agreement including key derivation

Table 8 – Allowed Cryptographic Functions

2.3 Protocols

Table 9 – Protocols using approved algorithms in FIPS Mode in FIPS Mode

No part of these protocols, other than the KDF, have been tested by the CAVP and CMVP. The SSH protocol allows independent selection of key exchange, authentication, cipher and integrity. In Table 9 above, each column of options for a given protocol is independent and may be used in any viable combination. These security functions are also available in the SSH connect (non-compliant) service

2.4 Disallowed Algorithms

These algorithms are non-Approved algorithms that are disabled when the module is operated in an Approved mode of operation.

- ARCFOUR
- Blowfish
- CAST

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5 ECDH is only allowed until June 30, 2022.

⁶ RFC 4253 governs the generation of the Triple-DES encryption key for use with the SSHv2 protocol

⁷ ECDH is only allowed until June 30, 2022.

8 ECDH is only allowed until June 30, 2022.

9 ECDH is only allowed until June 30, 2022.

¹⁰ Use of Triple-DES in this module is only allowed until December 31, 2023.

- DSA (SigGen, SigVer; non-compliant)
- HMAC-MD5
- HMAC-RIPEMD160
- UMAC

2.5 Critical Security Parameters

All CSPs and public keys used by the module are described in this section.

Table 10 – Critical Security Parameters (CSPs)

Table 11 – Public Keys

¹¹ ECDH is only allowed until June 30, 2022.

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¹² SSH generates a Diffie-Hellman private key that is 2x the bit length of the longest symmetric or MAC key negotiated.

¹³ ECDH is only allowed until June 30, 2022.

3 Roles, Authentication and Services

3.1 Roles and Authentication of Operators to Roles

The module supports two roles: Cryptographic Officer (CO) and User. The module supports concurrent operators, but does not support a maintenance role and/or bypass capability. The module enforces the separation of roles using either of the identity-based operator authentication methods in Section 3.2.

The Cryptographic Officer role configures and monitors the module via a console or SSH connection. As root or super-user, the Cryptographic Officer has permission to view and edit secrets within the module.

The User role monitors the switch via the console or SSH. The user role cannot not change the configuration.

3.2 Authentication Methods

The module implements two forms of Identity-based authentication: username and password over the Console and SSH, as well as username and public key over SSH.

Password authentication

The module enforces 10-character passwords (at minimum) chosen from the 96 human readable ASCII characters. The maximum password length is 20-characters; thus the probability of a successful random attempt is $1/96^{10}$, which is less than $1/1,000,000$.

The module enforces a timed access mechanism as follows: For the first two failed attempts (assuming 0 time to process), no timed access is enforced. Upon the third attempt, the module enforces a 5-second delay. Each failed attempt thereafter results in an additional 5-second delay above the previous (e.g. 4th failed attempt = 10-second delay, $5th$ failed attempt = 15-second delay, $6th$ failed attempt = 20-second delay, $7th$ failed attempt = 25-second delay).

This leads to a maximum of 9 possible attempts in a one-minute period for each getty. The best approach for the attacker would be to disconnect after 4 failed attempts and wait for a new getty to be spawned. This would allow the attacker to perform roughly 9.6 attempts per minute (576 attempts per hour/60 mins); this would be rounded down to 9 per minute, because there is no such thing as 0.6 attempts. Thus the probability of a successful random attempt is 1/96¹⁰, which is less than 1/1 million. The probability of a success with multiple consecutive attempts in a one-minute period is $9/(96^{10})$, which is less than 1/100,000.

Signature verification

Public key authentication in SSH uses either RSA (2048 and 3072 bit moduli) or ECDSA signature (P-256, P-384 and P-521). Let x denote the maximum number of signature verifications that the IUT can perform in a minute. Assuming a minimum security strength of 112 bits (corresponding to RSA with 2048-bit moduli as per SP800-57 Part1 Rev3), the probability of a successful brute-force attack with multiple consecutive attempts in a one-minute period is $x/2^{112}$. For this probability to be greater than 1/100,000, the number of verifications per minute must be $x > \frac{2^{112}}{10^5} \cong 2^{197}$, which is clearly an infeasible amount of signature verifications. If the IUT were able to compute one signature verification per CPU cycle, this

would amount to $60 \times 4 \times 2.2 \times 10^9 \approx 2^{39}$ verifications per minute for the 2.2 GHz quad-core Intel CPU shared by all IUT models.

3.3 Services

All services implemented by the module are listed in the tables below. Table 14 lists the access to CSPs by each service.

Table 12 – Authenticated Services

Table 13 – Unauthenticated services

	CSPs									
SERVICE	Seed DRBG	State DRBG	Entropy Input DRBG	PHK SSH _I	DH/ECDH SSH _I	SSH-SEK	HMAC Key	$CO-PW$	User-PW	
Configure security	$\overline{}$	E	$-$	GWR	\overline{a}	--	G	W	W	
Configure	--	-1			$-$		--	--	--	
Status	--	--	-1	--	$- -$	--	$-$	--	--	
Zeroize	Z	Z	Z	Z	Z	Z	$-$	Z	Z	
SSH connect	$-$	E	-1	E	GE	GE	$-$	E	E	
Console access	$-$	--	--	$\overline{}$	--	--	$-$	E	E	
Remote reset	GEZ	GZ	GZ	$\overline{}$	Z	$\mathsf Z$	Z	Z	Z	
Local reset	GEZ	GZ	GZ	$\overline{}$	Z	Z	$\overline{}$	Z	Z	
Traffic		--		$- -$	$-$	--	$-$	--	--	
Load Image	$- -$	--	$- -$	$- -$	--	--	$-$	--	--	

Table 14 – CSP Access Rights within Services

G = Generate: The module generates the CSP

- R = Read: The CSP is read from the module (e.g. the CSP is output)
- E = Execute: The module executes using the CSP
- W = Write: The CSP is updated or written to the module
- Z = Zeroize: The module zeroizes the CSP.

- G = Generate: The module generates the CSP
- R = Read: The CSP is read from the module (e.g. the CSP is output)
- E = Execute: The module executes using the CSP
- W = Write: The CSP is updated or written to the module
- Z = Zeroize: The module zeroizes the CSP.

3.4 Non-Approved Services

The following services are available in the non-Approved mode of operation. The security functions provided by the non-Approved services are identical to the Approved counterparts except for SSH Connect (non-compliant). SSH Connect (non-compliant) supports the security functions identified in Section 2.4 and Table 9.

Table 16 – Authenticated Services

Table 17 – Unauthenticated traffic

4 Self-tests

Each time the module is powered up, it tests that the cryptographic algorithms still operate correctly and that sensitive data have not been damaged. Power-up self–tests are available on demand by power cycling the module.

On power up or reset, the module performs the self-tests described below. All KATs must be completed successfully prior to any other use of cryptography by the module. If one of the KATs fails, the module enters the Critical Failure error state.

The module performs the following power-up self-tests:

- Firmware Integrity check using ECDSA P-256 with SHA-256
- Kernel KATs
	- o HMAC-SHA-1 KAT
	- o HMAC-SHA-256 KAT
	- o SHA-384 KAT
	- o SHA-512 KAT
	- o SP 800-90A HMAC DRBG KAT
		- **Health-tests initialize, re-seed, and generate.**
- OpenSSL KATs
	- o ECDSA P-256 Sign/Verify PCT
	- o ECDH P-256 KAT
		- **Parable 2** Derivation of the expected shared secret.
	- o DH (L=2048, N=256) KAT *Derivation of the expected shared secret
	- o RSA 2048 w/ SHA-256 Sign KAT
	- o RSA 2048 w/ SHA-256 Verify KAT

- o Triple-DES-CBC Encrypt KAT
- o Triple-DES-CBC Decrypt KAT
- o HMAC-SHA-1 KAT
- o HMAC-SHA-256 KAT
- o HMAC-SHA-384 KAT
- o HMAC-SHA-512 KAT
- o AES-CBC (128/192/256) Encrypt KAT
- o AES-CBC (128/192/256) Decrypt KAT
- o KDF-SSH KAT
- o SP 800-90A HMAC DRBG KAT
	- Health-tests initialize, re-seed, and generate.
- LibMD KATs
	- o HMAC SHA-1
	- o HMAC SHA-256
	- o SHA-512

The module also performs the following conditional self-tests:

- Continuous RNG Test on the SP 800-90A HMAC-DRBG
- Continuous RNG test on the NDRNG
- Pairwise consistency test when generating ECDSA, and RSA key pairs
- SP800-56A assurances as per SP 800-56A Sections 5.5.2,5.6.2, and/or 5.6.3, in accordance to IG 9.6.
- Firmware Load Test (ECDSA P-256 with SHA-256 signature verification)

5 Security Rules and Guidance

The module design corresponds to the security rules below. The term *must* in this context specifically refers to a requirement for correct usage of the module in the Approved mode; all other statements indicate a security rule implemented by the module.

- 1. The module clears previous authentications on power cycle.
- 2. When the module has not been placed in a valid role, the operator does not have access to any cryptographic services.
- 3. Power up self-tests do not require any operator action.
- 4. Data output is inhibited during key generation, self-tests, zeroization, and error states.
- 5. Status information does not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
- 6. There are no restrictions on which keys or CSPs are zeroized by the zeroization service.
- 7. The module does not support a maintenance interface or role.
- 8. The module does not support manual key entry.
- 9. The module does not output intermediate key values.
- 10. The module requires two independent internal actions to be performed prior to outputting plaintext CSPs.
- 11. The cryptographic officer must determine whether firmware being loaded is a legacy use of the firmware load service (legacy being those Junos firmware images signed with RSA signatures instead of ECDSA).
- 12. The cryptographic officer must retain control of the module while zeroization is in process.
- 13. Use of SSH ECDH is only allowed until June $30th$, 2022. From July 1^{st, 2}022, the module should be configured to disallow the use of ECDH in SSH.

6 References and Definitions

The following standards are referred to in this Security Policy.

Table 18 – References

Table 20 – Datasheets

