



Red Hat, Inc.

# Red Hat Enterprise Linux 8 NSS Cryptographic Module

## FIPS 140-3 Non-Proprietary Security Policy

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# 1 General

## 1.1 Overview

This document is the non-proprietary FIPS 140-3 Security Policy for version 3.101.0-36157fa50e4c0485 of the Red Hat Enterprise Linux 8 NSS Cryptographic Module. It contains the security rules under which the module must operate and describes how this module meets the requirements as specified in FIPS PUB 140-3 (Federal Information Processing Standards Publication 140-3) for an overall Security Level 1 module.

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## 1.2 Security Levels

| Section | Title                                   | Security Level |
|---------|-----------------------------------------|----------------|
| 1       | General                                 | 1              |
| 2       | Cryptographic module specification      | 1              |
| 3       | Cryptographic module interfaces         | 1              |
| 4       | Roles, services, and authentication     | 1              |
| 5       | Software/Firmware security              | 1              |
| 6       | Operational environment                 | 1              |
| 7       | Physical security                       | N/A            |
| 8       | Non-invasive security                   | N/A            |
| 9       | Sensitive security parameter management | 1              |
| 10      | Self-tests                              | 1              |
| 11      | Life-cycle assurance                    | 1              |
| 12      | Mitigation of other attacks             | 1              |
|         | Overall Level                           | 1              |

Table 1: Security Levels

## 1.3 Additional Information

In preparing the Security Policy document, the laboratory formatted the vendor-supplied documentation for consolidation without altering the technical statements therein contained. The further refining of the Security Policy document was conducted iteratively throughout the conformance testing, wherein the Security Policy was submitted to the vendor, who would then edit, modify, and add technical contents. The vendor would also supply additional documentation, which the laboratory formatted into the existing Security Policy, and resubmitted to the vendor for their final editing.

## 2 Cryptographic Module Specification

### 2.1 Description

**Purpose and Use:**

The Red Hat Enterprise Linux 8 NSS Cryptographic Module (hereafter referred to as “the module”) is defined as a software module in a multi-chip standalone embodiment. It provides a C language application program interface (API) designed to support cross-platform development of security-enabled client and server applications. Applications built with NSS can support SSLv3, TLS, IKEv2, PKCS#5, PKCS#7, PKCS#11, PKCS#12, S/MIME, X.509 v3 certificates, and other security standards supporting FIPS 140-3 validated cryptographic algorithms. It combines a vertical stack of Linux components intended to limit the external interface each separate component may provide.

**Module Type:** Software

**Module Embodiment:** Multi-Chip Standalone

**Cryptographic Boundary:**

The cryptographic boundary consists only of the libsoftokn3.so and libfreeblpriv3.so libraries along with their associated integrity check values as listed in Section 2.2. If any other NSS API outside of these two libraries is invoked, the user is not interacting with the module specified in this Security Policy.

**Tested Operational Environment’s Physical Perimeter (TOEPP):**

The TOEPP of the module is defined as the general-purpose computer on which the module is installed.

The entropy source and the PAA provided by the processor are located within the module’s physical perimeter and outside of the module’s cryptographic boundary.

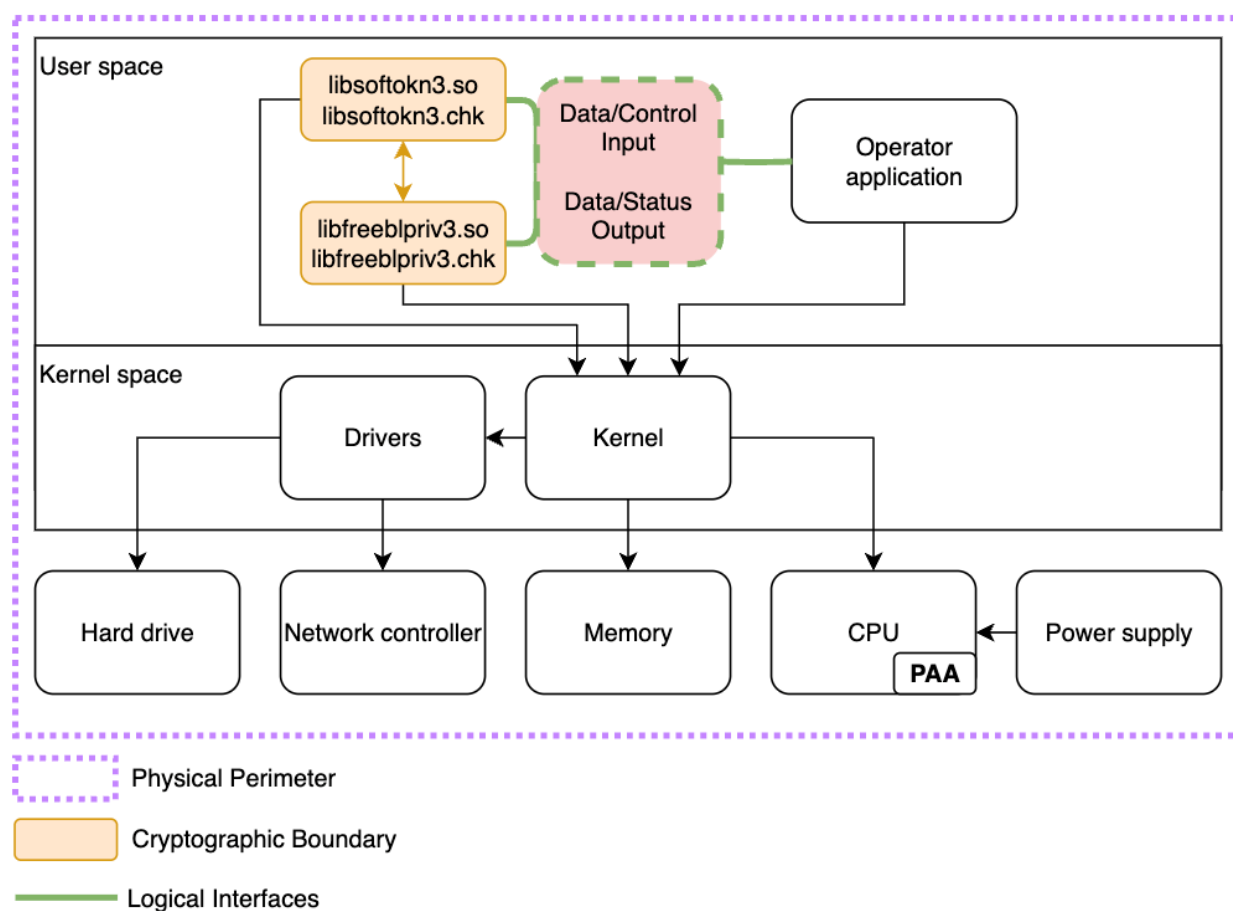


Figure 1: Block Diagram

## 2.2 Tested and Vendor Affirmed Module Version and Identification

### Tested Module Identification – Hardware:

N/A for this module.

### Tested Module Identification – Software, Firmware, Hybrid (Executable Code Sets):

| Package or File Name                 | Software/ Firmware Version   | Features | Integrity Test |
|--------------------------------------|------------------------------|----------|----------------|
| libsoftokn3.so,<br>libfreeblpriv3.so | 3.101.0-<br>36157fa50e4c0485 | N/A      | HMAC-SHA-256   |

Table 2: Tested Module Identification – Software, Firmware, Hybrid (Executable Code Sets)

### Tested Module Identification – Hybrid Disjoint Hardware:

N/A for this module.

### Tested Operational Environments - Software, Firmware, Hybrid:

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| Operating System           | Hardware Platform   | Processors                   | PAA/PAI | Hypervisor or Host OS | Version(s)               |
|----------------------------|---------------------|------------------------------|---------|-----------------------|--------------------------|
| Red Hat Enterprise Linux 8 | Dell PowerEdge R440 | Intel(R) Xeon(R) Silver 4216 | Yes     | N/A                   | 3.101.0-36157fa50e4c0485 |
| Red Hat Enterprise Linux 8 | Dell PowerEdge R440 | Intel(R) Xeon(R) Silver 4216 | No      | N/A                   | 3.101.0-36157fa50e4c0485 |

Table 3: Tested Operational Environments - Software, Firmware, Hybrid

**Vendor-Affirmed Operational Environments - Software, Firmware, Hybrid:**

N/A for this module.

## 2.3 Excluded Components

There are no components within the cryptographic boundary excluded from the FIPS 140-3 requirements.

## 2.4 Modes of Operation

**Modes List and Description:**

| Mode Name    | Description                                                         | Type         | Status Indicator                                                                                                                                                                 |
|--------------|---------------------------------------------------------------------|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Approved     | Automatically entered whenever an approved service is requested.    | Approved     | Equivalent to the indicator of the requested service ("CKR_OK" for approved DRBG service and NSC_NSSGetFIPSSStatus returns CKS_NSS_FIPS_OK (1) for all other approved services). |
| Non-Approved | Automatically entered whenever a non-approved service is requested. | Non-Approved | Equivalent to the indicator of the requested service (NSC_NSSGetFIPSSStatus does not return CKS_NSS_FIPS_OK (1)).                                                                |

Table 4: Modes List and Description

After passing all pre-operational self-tests and cryptographic algorithm self-tests executed on start-up, the module automatically transitions to the approved mode. No operator intervention is required to reach this point.

**Mode Change Instructions and Status:**

The module automatically switches between the approved and non-approved modes depending on the services requested by the operator. The status indicator of the mode of operation is equivalent to the indicator of the service that was requested.

## 2.5 Algorithms

**Approved Algorithms:**

| Algorithm                  | CAVP Cert           | Properties | Reference         |
|----------------------------|---------------------|------------|-------------------|
| AES-CBC                    | A6443, A6445        | -          | SP 800-38A        |
| AES-CBC-CS1                | A6443, A6445        | -          | SP 800-38A        |
| AES-CMAC                   | A6443, A6445        | -          | SP 800-38B        |
| AES-CTR                    | A6443, A6445        | -          | SP 800-38A        |
| AES-ECB                    | A6443, A6445        | -          | SP 800-38A        |
| AES-GCM                    | A6443, A6445, A6446 | -          | SP 800-38D        |
| AES-KW                     | A6443, A6445        | -          | SP 800-38F        |
| AES-KWP                    | A6443, A6445        | -          | SP 800-38F        |
| ECDSA KeyGen (FIPS186-5)   | A6443               | -          | FIPS 186-5        |
| ECDSA SigGen (FIPS186-5)   | A6443               | -          | FIPS 186-5        |
| ECDSA SigVer (FIPS186-5)   | A6443               | -          | FIPS 186-5        |
| Hash DRBG                  | A6443               | -          | SP 800-90A Rev. 1 |
| HMAC-SHA2-224              | A6443, A6447        | -          | FIPS 198-1        |
| HMAC-SHA2-256              | A6443, A6447        | -          | FIPS 198-1        |
| HMAC-SHA2-384              | A6443, A6447        | -          | FIPS 198-1        |
| HMAC-SHA2-512              | A6443, A6447        | -          | FIPS 198-1        |
| KAS-ECC-SSC Sp800-56Ar3    | A6443               | -          | SP 800-56A Rev. 3 |
| KAS-FFC-SSC Sp800-56Ar3    | A6443               | -          | SP 800-56A Rev. 3 |
| KDA HKDF SP800-56Cr2       | A6442               | -          | SP 800-56C Rev. 2 |
| KDF IKEv2 (CVL)            | A6444               | -          | SP 800-135 Rev. 1 |
| KDF SP800-108              | A6443               | -          | SP 800-108 Rev. 1 |
| KTS-IFC                    | A6443               | -          | SP 800-56B Rev. 2 |
| PBKDF                      | A6443               | -          | SP 800-132        |
| RSA KeyGen (FIPS186-5)     | A6443               | -          | FIPS 186-5        |
| RSA SigGen (FIPS186-5)     | A6443               | -          | FIPS 186-5        |
| RSA SigVer (FIPS186-2)     | A6443               | -          | FIPS 186-4        |
| RSA SigVer (FIPS186-4)     | A6443               | -          | FIPS 186-4        |
| RSA SigVer (FIPS186-5)     | A6443               | -          | FIPS 186-5        |
| Safe Primes Key Generation | A6443               | -          | SP 800-56A Rev. 3 |
| SHA2-224                   | A6443, A6447        | -          | FIPS 180-4        |
| SHA2-256                   | A6443, A6447        | -          | FIPS 180-4        |

| Algorithm                  | CAVP Cert    | Properties | Reference         |
|----------------------------|--------------|------------|-------------------|
| SHA2-384                   | A6443, A6447 | -          | FIPS 180-4        |
| SHA2-512                   | A6443, A6447 | -          | FIPS 180-4        |
| TLS v1.2 KDF RFC7627 (CVL) | A6443        | -          | SP 800-135 Rev. 1 |

Table 5: Approved Algorithms

The table above lists all approved cryptographic algorithms of the module, including specific key lengths employed for approved services in Section 4.3, and implemented modes or methods of operation of the algorithms.

**Vendor-Affirmed Algorithms:**

| Name                                          | Properties          | Implementation | Reference                                           |
|-----------------------------------------------|---------------------|----------------|-----------------------------------------------------|
| Symmetric Cryptographic Key Generation (CKG)  | Key type:Symmetric  | N/A            | SP 800-133r2, section 4, example 1, and section 6.1 |
| Asymmetric Cryptographic Key Generation (CKG) | Key type:Asymmetric | N/A            | SP 800-133r2, section 4, example 1                  |

Table 6: Vendor-Affirmed Algorithms

**Non-Approved, Allowed Algorithms:**

N/A for this module.

**Non-Approved, Allowed Algorithms with No Security Claimed:**

N/A for this module.

**Non-Approved, Not Allowed Algorithms:**

| Name                                                                                                                                                                                    | Use and Function       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| MD2, MD5, SHA-1                                                                                                                                                                         | Message digest         |
| RC2, RC4, DES, Triple-DES, CDMF, Camellia, SEED, ChaCha20(-Poly1305)                                                                                                                    | Encryption, Decryption |
| AES GCM (external IV)                                                                                                                                                                   | Encryption             |
| CBC-MAC, AES XCBC-MAC, AES XCBC-MAC-96                                                                                                                                                  | Message authentication |
| HMAC (MD2, MD5, SHA-1; < 112-bit keys)                                                                                                                                                  | Message authentication |
| HMAC/SSLv3 MAC (constant-time implementation)                                                                                                                                           | Message authentication |
| MD2, MD5, SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, DES, Triple-DES, AES, Camellia, SEED, ANS X9.63 KDF, SSL 3 PRF, IKEv1 PRF, TLS 1.0/1.1 KDF, TLS KDF without extended master secret | Key derivation         |
| KBKDF, HKDF, TLS 1.2 KDF, IKEv2 PRF (< 112-bit keys)                                                                                                                                    | Key derivation         |

| Name                                                                         | Use and Function                                                                                                |
|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| KBKDF (MD2, MD5)                                                             | Key derivation                                                                                                  |
| IKEv2 PRF (MD2, MD5)                                                         | Key derivation                                                                                                  |
| PKCS#5 PBE, PKCS#12 PBE                                                      | Password-based key derivation                                                                                   |
| PBKDF2 (short password; short salt; insufficient iterations; < 112-bit keys) | Password-based key derivation                                                                                   |
| J-PAKE                                                                       | Shared secret computation                                                                                       |
| KAS-FFC-SSC (FIPS 186-type groups)                                           | Shared secret computation                                                                                       |
| X25519                                                                       | Shared secret computation                                                                                       |
| DSA                                                                          | Signature generation, Signature verification, Parameter generation, Parameter verification, Key pair generation |
| RSA (primitive; PKCS#1 v1.5 or PSS with MD2, MD5, SHA-1)                     | Signature generation, Signature verification                                                                    |
| RSA (< 2048-bit keys)                                                        | Signature generation                                                                                            |
| RSA (< 1024-bit keys)                                                        | Signature verification                                                                                          |
| ECDSA (component)                                                            | Signature generation, Signature verification                                                                    |
| RSA (data encryption / decryption)                                           | Asymmetric encryption, Asymmetric decryption with primitive or SHA-1, SHA-224                                   |
| RSA (< 2048 bits; > 4096 bits)                                               | Key pair generation                                                                                             |
| Ed25519, X25519                                                              | Key pair generation                                                                                             |
| Diffie Hellman (FIPS 186-type groups)                                        | Key pair generation                                                                                             |
| Symmetric key generation (< 112 bits)                                        | Secret key generation                                                                                           |

Table 7: Non-Approved, Not Allowed Algorithms

The table above lists all the non-approved cryptographic algorithms of the module employed by the non-approved services in Section 4.4.

## 2.6 Security Function Implementations

| Name                | Type      | Description          | Properties | Algorithms                                             |
|---------------------|-----------|----------------------|------------|--------------------------------------------------------|
| Encryption with AES | BC-UnAuth | Encryption using AES |            | AES-CBC: (A6443, A6445)<br>AES-CBC-CS1: (A6443, A6445) |

| Name                              | Type       | Description                        | Properties                                                                                       | Algorithms                                                                                                   |
|-----------------------------------|------------|------------------------------------|--------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                   |            |                                    |                                                                                                  | AES-CTR: (A6443, A6445)<br>AES-ECB: (A6443, A6445)                                                           |
| Decryption with AES               | BC-UnAuth  | Decryption using AES               |                                                                                                  | AES-CBC: (A6443, A6445)<br>AES-CBC-CS1: (A6443, A6445)<br>AES-CTR: (A6443, A6445)<br>AES-ECB: (A6443, A6445) |
| Authenticated Encryption with AES | BC-Auth    | Authenticated encryption using AES |                                                                                                  | AES-KW: (A6443, A6445)<br>AES-KWP: (A6443, A6445)<br>AES-GCM: (A6443, A6445, A6446)                          |
| Authenticated Decryption with AES | BC-Auth    | Authenticated decryption using AES |                                                                                                  | AES-KW: (A6443, A6445)<br>AES-KWP: (A6443, A6445)<br>AES-GCM: (A6443, A6445, A6446)                          |
| Key Derivation with PBKDF2        | PBKDF      | Key derivation using PBKDF2        |                                                                                                  | PBKDF: (A6443)                                                                                               |
| Key Derivation with KBKDF         | KBKDF      | Key derivation using KBKDF         |                                                                                                  | KDF SP800-108: (A6443)                                                                                       |
| Key Derivation with HKDF          | KAS-56CKDF | Key derivation using HKDF          |                                                                                                  | KDA HKDF SP800-56Cr2: (A6442)                                                                                |
| Key Derivation with TLS 1.2 KDF   | KAS-135KDF | Key derivation using TLS 1.2 KDF   |                                                                                                  | TLS v1.2 KDF RFC7627: (A6443)                                                                                |
| Key Derivation with IKEv2 KDF     | KAS-135KDF | Key derivation using IKEv2 KDF     |                                                                                                  | KDF IKEv2: (A6444)                                                                                           |
| Key Wrapping with AES (KTS)       | KTS-Wrap   | Key wrapping using AES             | Standard:SP 800-38D and SP 800-38F<br>IG D.G:approved key wrapping method<br>Key confirmation:no | AES-KW: (A6443, A6445)<br>AES-KWP: (A6443, A6445)                                                            |

| Name                                       | Type          | Description                                 | Properties                                                                                                                                                                                        | Algorithms                                                                                                                       |
|--------------------------------------------|---------------|---------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|
|                                            |               |                                             | Caveat:Key establishment methodology provides between 128 and 256 bits of security strength                                                                                                       |                                                                                                                                  |
| Key Unwrapping with AES (KTS)              | KTS-Unwrap    | Key unwrapping using AES                    | Standard:SP 800-38D and SP 800-38F<br>IG D.G:approved key unwrapping method<br>Key confirmation:no<br>Caveat:Key establishment methodology provides between 128 and 256 bits of security strength | AES-KW: (A6443, A6445)<br>AES-KWP: (A6443, A6445)<br>AES-GCM: (A6443, A6445, A6446)                                              |
| Message Authentication with HMAC           | MAC           | Message authentication using HMAC           |                                                                                                                                                                                                   | HMAC-SHA2-224: (A6443, A6447)<br>HMAC-SHA2-256: (A6443, A6447)<br>HMAC-SHA2-384: (A6443, A6447)<br>HMAC-SHA2-512: (A6443, A6447) |
| Message Authentication with CMAC           | MAC           | Message authentication using CMAC           |                                                                                                                                                                                                   | AES-CMAC: (A6443, A6445)                                                                                                         |
| Random Number Generation with Hash_DRBG    | DRBG          | Random number generation using Hash_DRBG    |                                                                                                                                                                                                   | Hash DRBG: (A6443)                                                                                                               |
| Shared Secret Computation with KAS-ECC-SSC | KAS-SSC       | Shared secret computation using KAS-ECC-SSC |                                                                                                                                                                                                   | KAS-ECC-SSC Sp800-56Ar3: (A6443)                                                                                                 |
| Shared Secret Computation with KAS-FFC-SSC | KAS-SSC       | Shared secret computation using KAS-FFC-SSC |                                                                                                                                                                                                   | KAS-FFC-SSC Sp800-56Ar3: (A6443)                                                                                                 |
| Signature Generation with RSA              | DigSig-SigGen | Signature generation using RSA              |                                                                                                                                                                                                   | RSA SigGen (FIPS186-5): (A6443)                                                                                                  |

| Name                                         | Type                      | Description                                          | Properties | Algorithms                                                                                                     |
|----------------------------------------------|---------------------------|------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------|
| Signature Generation with ECDSA              | DigSig-SigGen             | Signature generation using ECDSA                     |            | ECDSA SigGen (FIPS186-5): (A6443)                                                                              |
| Signature Verification with RSA (legacy use) | DigSig-SigVer             | Signature verification using RSA using legacy moduli |            | RSA SigVer (FIPS186-2): (A6443)<br>RSA SigVer (FIPS186-4): (A6443)                                             |
| Signature Verification with RSA              | DigSig-SigVer             | Signature verification using RSA                     |            | RSA SigVer (FIPS186-5): (A6443)                                                                                |
| Signature Verification with ECDSA            | DigSig-SigVer             | Signature verification using ECDSA                   |            | ECDSA SigVer (FIPS186-5): (A6443)                                                                              |
| Symmetric Key Generation with Hash_DRBG      | CKG                       | Direct symmetric key generation using Hash_DRBG      |            | Hash DRBG: (A6443)<br>Symmetric Cryptographic Key Generation (CKG): ()<br>Key type: Symmetric                  |
| Key Pair Generation with RSA                 | AsymKeyPair-KeyGen<br>CKG | Key pair generation using RSA                        |            | RSA KeyGen (FIPS186-5): (A6443)<br>Asymmetric Cryptographic Key Generation (CKG): ()<br>Key type: Asymmetric   |
| Key Pair Generation with ECDSA               | AsymKeyPair-KeyGen<br>CKG | Key pair generation using ECDSA                      |            | ECDSA KeyGen (FIPS186-5): (A6443)<br>Asymmetric Cryptographic Key Generation (CKG): ()<br>Key type: Asymmetric |

| Name                                 | Type                      | Description                                   | Properties | Algorithms                                                                                                       |
|--------------------------------------|---------------------------|-----------------------------------------------|------------|------------------------------------------------------------------------------------------------------------------|
| Key Pair Generation with Safe Primes | AsymKeyPair-KeyGen<br>CKG | Key pair generation using Safe Primes         |            | Safe Primes Key Generation: (A6443)<br>Asymmetric Cryptographic Key Generation (CKG): ()<br>Key type: Asymmetric |
| Message Digest with SHA              | SHA                       | Message digest using SHA                      |            | SHA2-224: (A6443, A6447)<br>SHA2-256: (A6443, A6447)<br>SHA2-384: (A6443, A6447)<br>SHA2-512: (A6443, A6447)     |
| Authenticated Encryption with RSA    | KTS-Encap                 | Authenticated asymmetric encryption using RSA |            | KTS-IFC: (A6443)                                                                                                 |
| Authenticated Decryption with RSA    | KTS-Decap                 | Authenticated asymmetric decryption using RSA |            | KTS-IFC: (A6443)                                                                                                 |

Table 8: Security Function Implementations

## 2.7 Algorithm Specific Information

### 2.7.1 AES-GCM IV

The Crypto Officer shall consider the following requirements and restrictions when using the module.

For TLS 1.2, the module offers the AES-GCM implementation and uses the context of Scenario 1 of FIPS 140-3 IG C.H. NSS is compliant with SP 800-52r2 Section 3.3.1 and the mechanism for IV generation is compliant with RFC 5288.

The module does not implement the TLS protocol. The module's implementation of AES-GCM is used together with an application that runs outside the module's cryptographic boundary. The design of the TLS protocol implicitly ensures that the counter (the nonce\_explicit part of the IV) does not exhaust the maximum number of possible values for a given session key.

In the event the module's power is lost and restored, the consuming application must ensure that a new key for use with the AES-GCM key encryption or decryption under this scenario shall be established.

Alternatively, the Crypto Officer can use the module's API to perform AES-GCM encryption using internal IV generation that complies with Scenario 2 of the IG C.H. These IVs are always at least 96 bits and generated using the approved DRBG internal to the module's boundary.

Additionally, the module offers an internal deterministic IV generation mode compliant with Scenario 3 of FIPS 140-3 IG C.H. The size of the fixed (name) field used by this IV generation mode is at least 32 bits. The module then internally generates a 32 bit or longer deterministic non-repetitive counter. The module explicitly ensures that this counter is monotonically increasing at each invocation of the AES-GCM for the same encryption key, and that this counter does not exhaust all its possible values. The generated GCM IV is at least 96 bits in length.

Finally, for TLS 1.3, the AES-GCM implementation uses the context of Scenario 5 of FIPS 140-3 IG C.H. The protocol that provides this compliance is TLS 1.3, defined in RFC 8446 of August 2018, using the cipher-suites that explicitly select AES-GCM as the encryption/decryption cipher (Appendix B.4 of RFC 8446). The module supports acceptable AES-GCM cipher suites from Section 3.3.1 of SP800-52r2. TLS 1.3 employs separate 64-bit sequence numbers, one for protocol records that are received, and one for protocol records that are sent to a peer. These sequence numbers are set at zero at the beginning of a TLS 1.3 connection and each time when the AES-GCM key is changed. After reading or writing a record, the respective sequence number is incremented by one. The protocol specification determines that the sequence number should not wrap, and if this condition is observed, then the protocol implementation must either trigger a re-key of the session (i.e., a new key for AES-GCM), or terminate the connection.

In case the module's power is lost and then restored, a new key for use with the AES-GCM encryption/decryption shall be established.

## 2.7.2 Key Derivation using SP 800-132 PBKDF2

The module provides password-based key derivation (PBKDF2), compliant with SP 800-132. The module supports option 1a from Section 5.4 of SP 800-132, in which the Master Key (MK) or a segment of it is used directly as the Data Protection Key (DPK). In accordance to SP 800-132 and FIPS 140-3 IG D.N, the following requirements shall be met:

- Derived keys shall only be used in storage applications. The MK shall not be used for other purposes. The module enforces the length of the MK or DPK to be of 112 bits or more for the service to be approved.
- Passwords or passphrases, used as an input for the PBKDF2, shall not be used as cryptographic keys.
- The minimum length of the password or passphrase accepted by the module is 8 characters. The probability of guessing the value is estimated to be at most  $1/62^8 = 4 \times 10^{-15}$ , when the password is a combination of lowercase, uppercase, and numeric characters. If the password solely consists of digits, the probability of guessing the value is estimated to be  $10^{-8}$ . Combined with the minimum iteration count as described below, this provides an acceptable trade-off between user experience and security against brute-force attacks.
- A portion of the salt shall be generated randomly using the SP 800-90Ar1 DRBG provided by the module. The module restricts minimum length to 128 bits.
- The iteration count shall be selected as large as possible, as long as the time required to generate the key using the entered password is acceptable for the users. The module only allows minimum iteration count to be 1000.

### 2.7.3 SP 800-56Ar3 Assurances

The module provides shared secret computation (KAS-FFC-SSC and KAS-ECC-SSC) compliant with SP800-56Ar3, in accordance with scenario 2 (1) of FIPS 140-3 IG D.F.

To comply with the assurances found in Section 5.6.2 of SP 800-56Ar3, the operator must use the module together with an application that implements the TLS protocol. Additionally, the module's approved Key Pair Generation service (see Section 4.3) must be used to generate ephemeral Diffie-Hellman or EC Diffie-Hellman key pairs, or the key pairs must be obtained from another FIPS-validated module. As part of this service, the module will internally perform the full public key validation of the generated public key.

The module's shared secret computation service will internally perform the full public key validation of the peer public key, complying with Section 5.6.2.2.2 of SP 800-56Ar3.

### 2.7.4 RSA Approved Modulus Size

As allowed by FIPS 140-3 IG C.F, the module implements approved RSA signature verification with 1024, 1280, 1536 and 1792-bit moduli. The 1024-bit modulus has been CAVP tested for RSA signature verification in compliance with FIPS 186-4, while the 1536-bit modulus has been CAVP tested for RSA signature verification in compliance with FIPS 186-2. The 1280 and 1792-bit modulus are approved for FIPS 186-2 signature verification, but are untested as no CAVP testing is available for these moduli.

For all other approved moduli (namely 2048-, 3072-, and 4096-bit keys) supported by the module, RSA key pair generation, signature generation, signature verification, key encapsulation and key un-encapsulation are approved and CAVP tested in compliance with FIPS 186-5.

### 2.7.5 RSA Asymmetric Encryption and Decryption

The module does not establish SSPs using an approved key transport scheme (KTS). However, it does offer approved authenticated asymmetric algorithms that can be used by an external operator/application as part of an approved KTS.

To comply with SP 800-56B Rev. 2 assurances found in its Section 6 (specifically SP 800-56B Rev. 2 Section 6.4 Required Assurances) the entity using the module must obtain required assurances listed in section 6.4 of SP 800-56B Rev. 2 by performing the following steps:

1. The entity requesting the RSA decryption service from the module, shall only use an RSA private key that was generated by an active FIPS validated module that implements FIPS 186-5 compliant RSA key generation service and performs the key pair validity and the pairwise consistency as stated in section 6.4.1.1 of the SP 800-56B Rev. 2. Additionally, the entity shall renew these assurances over time by using any method described in section 6.4.1.5 of the SP 800-56B Rev. 2.
2. For use of an RSA encryption service in the context of key transport per IG D.G the entity using the module shall:
  - a. verify the validity of the peer's public key using the public key validation service of the module;
  - b. confirm the peer's possession of private key by using any method specified in section 6.4.2.3 of the SP 800-56B Rev. 2.

Only after the above assurances are successfully met, shall the entity use the peer's public key to perform the RSA encryption service of the module.

### 2.7.6 Legacy Use

Digital signature using RSA with 1024, 1280, 1536, 1792-bit moduli is allowed for legacy use only.

These legacy algorithms can only be used on data that was generated prior to the Legacy Date specified in FIPS 140-3 IG C.M.

### 2.7.7 Key Agreement

The module does not establish SSPs using an approved key agreement scheme (KAS). However, it does offer some or all of the underlying KAS cryptographic functionality to be used by an external operator/application as part of an approved KAS.

## 2.8 RBG and Entropy

| Cert Number | Vendor Name   |
|-------------|---------------|
| E175        | Red Hat, Inc. |

Table 9: Entropy Certificates

| Name                                                | Type         | Operational Environment                       | Sample Size | Entropy per Sample | Conditioning Component |
|-----------------------------------------------------|--------------|-----------------------------------------------|-------------|--------------------|------------------------|
| RHEL 8 Userspace CPU Time Jitter RNG Entropy Source | Non-Physical | RHEL 8 on Intel Cascade Lake Xeon Silver 4216 | 256 bits    | 227 bits           | HMAC-SHA2-512          |

Table 10: Entropy Sources

The module employs a Deterministic Random Bit Generator (DRBG) implementation based on SP 800-90Ar1. This DRBG is used internally by the module (e.g. to generate symmetric keys, seeds for asymmetric key pairs, and random numbers for security functions). It can also be accessed using the specified API functions.

The DRBG implemented is a SHA-256 Hash\_DRBG, seeded by the entropy source described in the table above. It does not employ prediction resistance.

The DRBG is instantiated with an 880-bits long entropy input (corresponding to 781 bits of entropy). Additionally, the DRBG is reseeded with a 440-bit long entropy input (corresponding to 390 bits of entropy). Outputs of multiple GetEntropy() calls are concatenated to receive the entropy input length greater than 256 bits. The output is truncated to get the entropy input string which is not a multiple of 256.

The module complies with the Public Use Document for ESV certificate E175 by reading entropy data from the get\_random() function with the GRND\_RANDOM flag set, which corresponds to the GetEntropy() conceptual interface. The operational environment on the ESV certificate is identical to the operating system described in this document, Which implements the entropy source (outside the module's cryptographic boundary). Thus, the module is compliant with scenario 1(b) of IG 9.3.A. There are no maintenance requirements for the entropy source.

## 2.9 Key Generation

The module implements Cryptographic Key Generation (CKG, vendor affirmed), compliant with SP 800-133r2 as specified in Section 2.6.

When random values are required, they are obtained from the SP 800-90Ar1 approved DRBG, compliant with Section 4 of SP 800-133r2.

Intermediate key generation values are not output from the module and are explicitly zeroized after processing the service.

## 2.10 Key Establishment

The module implements shared secret computation and key transport methods as listed in the Security Function Implementation table in Section 2.6.

## 2.11 Industry Protocols

For KAS-FFC-SSC, the module supports the use of the safe primes defined in RFC 3526 (IKE) and RFC 7919 (TLS) as listed in Section 2.10. Note that the module only implements key pair generation and verification, and shared secret computation. No other part of the IKE or TLS protocols is implemented (with the exception of the TLS 1.2 KDF (RFC 7627) and IKEv2 KDF).

TLS 1.2 KDF (RFC 7627) and IKEv2 implementations shall only be used to generate secret keys in the context of the TLS 1.2 and IKE protocols respectively.

AES-GCM with internal IV generation is offered in the approved mode compliant with TLS 1.2 (RFC 5288) and TLS 1.3 (RFC 8446). This functionality shall only be used in conjunction with the TLS protocol.

## 3 Cryptographic Module Interfaces

### 3.1 Ports and Interfaces

| Physical Port | Logical Interface(s) | Data That Passes                                           |
|---------------|----------------------|------------------------------------------------------------|
| N/A           | Data Input           | API input parameters                                       |
| N/A           | Data Output          | API output parameters                                      |
| N/A           | Control Input        | API function calls, API input parameters for control input |
| N/A           | Status Output        | API return codes                                           |

Table 11: Ports and Interfaces

The logical interfaces are the APIs through which the applications request services. These logical interfaces are logically separated from each other by the API design. The module does not implement a control output interface.

## 4 Roles, Services, and Authentication

### 4.1 Authentication Methods

The module does not support authentication for roles.

### 4.2 Roles

| Name           | Type | Operator Type | Authentication Methods |
|----------------|------|---------------|------------------------|
| Crypto Officer | Role | CO            | None                   |

Table 12: Roles

No support is provided for multiple concurrent operators.

### 4.3 Approved Services

| Name                                | Description                   | Indicator               | Inputs                                    | Outputs                | Security Functions                      | SSP Access                                        |
|-------------------------------------|-------------------------------|-------------------------|-------------------------------------------|------------------------|-----------------------------------------|---------------------------------------------------|
| Encryption                          | Encrypt a plaintext           | CKS_NSS_FIPS_O<br>K (1) | AES Key,<br>IV,<br>plaintext              | Ciphertext             | Encryption<br>with AES                  | Crypto<br>Officer<br>- AES Key:<br>W,E            |
| Decryption                          | Decrypt a ciphertext          | CKS_NSS_FIPS_O<br>K (1) | AES Key,<br>IV,<br>ciphertext             | Plaintext              | Decryption<br>with AES                  | Crypto<br>Officer<br>- AES Key:<br>W,E            |
| Authenticated Symmetric Encryption  | Encrypt a plaintext           | CKS_NSS_FIPS_O<br>K (1) | AES Key,<br>IV,<br>plaintext              | Ciphertext,<br>MAC tag | Authenticated<br>Encryption<br>with AES | Crypto<br>Officer<br>- AES Key:<br>W,E            |
| Authenticated Symmetric Decryption  | Decrypt a ciphertext          | CKS_NSS_FIPS_O<br>K (1) | AES key,<br>IV, MAC<br>tag,<br>ciphertext | Plaintext<br>or fail   | Authenticated<br>Decryption<br>with AES | Crypto<br>Officer<br>- AES Key:<br>W,E            |
| Authenticated Asymmetric Encryption | Encrypt a plaintext using RSA | CKS_NSS_FIPS_O<br>K (1) | RSA<br>Public<br>key,<br>plaintext        | Ciphertext             | Authenticated<br>Encryption<br>with RSA | Crypto<br>Officer<br>- RSA<br>Public Key:<br>W,E  |
| Authenticated Asymmetric Decryption | Decrypt a ciphertext with RSA | CKS_NSS_FIPS_O<br>K (1) | RSA<br>Private<br>Key,<br>ciphertext      | Plaintext<br>or fail   | Authenticated<br>Decryption<br>with RSA | Crypto<br>Officer<br>- RSA<br>Private Key:<br>W,E |

| Name                                | Description                            | Indicator            | Inputs                                                | Outputs                                          | Security Functions                                                                     | SSP Access                                                                                                            |
|-------------------------------------|----------------------------------------|----------------------|-------------------------------------------------------|--------------------------------------------------|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
| Key Derivation from a KDK           | Derive a key from a key-derivation key | CKS_NSS_FIPS_O K (1) | Key-Derivation Key, output length                     | KBKDF Derived Key                                | Key Derivation with KBKDF                                                              | Crypto Officer<br>- Key-Derivation Key: W,E<br>- KBKDF Derived Key: G,R                                               |
| Key Derivation from a shared secret | Derive a key from a shared secret      | CKS_NSS_FIPS_O K (1) | Shared Secret, output length                          | HKDF Derived Key/TLS Derived Key/IKE Derived Key | Key Derivation with HKDF Key Derivation with TLS 1.2 KDF Key Derivation with IKEv2 KDF | Crypto Officer<br>- Shared Secret: W,E<br>- HKDF Derived Key: G,R<br>- TLS Derived Key: G,R<br>- IKE Derived Key: G,R |
| Password-Based Key Derivation       | Derive a key from a password           | CKS_NSS_FIPS_O K (1) | Password, salt, iteration count, output length        | PBKDF2 Derived Key                               | Key Derivation with PBKDF2                                                             | Crypto Officer<br>- Password: W,E<br>- PBKDF2 Derived Key: G,R                                                        |
| Key Wrapping                        | Wrap a CSP                             | CKS_NSS_FIPS_O K (1) | AES Key-Encryption Key, any CSP (other than Password) | Wrapped CSP                                      | Key Wrapping with AES (KTS)                                                            | Crypto Officer<br>- AES Key-Encryption Key: W,E<br>- Wrapped CSP: E,R                                                 |
| Key Unwrapping                      | Unwrap a CSP                           | CKS_NSS_FIPS_O K (1) | AES Key-Decryption Key, Wrapped CSP                   | Any CSP (other than Password)                    | Key Unwrapping with AES (KTS)                                                          | Crypto Officer<br>- AES Key-Decryption Key: W,E<br>- Wrapped CSP: W,E                                                 |

| Name                                  | Description              | Indicator           | Inputs                                         | Outputs       | Security Functions                         | SSP Access                                                                                            |
|---------------------------------------|--------------------------|---------------------|------------------------------------------------|---------------|--------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Message Authentication with HMAC      | Compute a MAC tag        | CKS_NSS_FIPS_OK (1) | HMAC Key                                       | MAC tag       | Message Authentication with HMAC           | Crypto Officer<br>- HMAC Key: W,E                                                                     |
| Message Authentication with CMAC      | Compute a MAC tag        | CKS_NSS_FIPS_OK (1) | AES Key                                        | MAC tag       | Message Authentication with CMAC           | Crypto Officer<br>- AES Key: W,E                                                                      |
| Message Digest                        | Compute a message digest | CKS_NSS_FIPS_OK (1) | Message                                        | Digest value  | Message Digest with SHA                    | Crypto Officer                                                                                        |
| Random Number Generation              | Generate random bytes    | CKR_OK              | Output length                                  | Random bytes  | Random Number Generation with Hash_DRBG    | Crypto Officer<br>- Entropy Input: W,E,Z<br>- DRBG Seed: G,E,Z<br>- DRBG Internal State (V, C): G,W,E |
| KAS-FFC-SSC Shared Secret Computation | Compute a shared secret  | CKS_NSS_FIPS_OK (1) | FFC Private Key (owner), FFC Public Key (peer) | Shared Secret | Shared Secret Computation with KAS-FFC-SSC | Crypto Officer<br>- FFC Private Key: W,E<br>- FFC Public Key: W,E<br>- Shared Secret: G,R             |
| EC Shared Secret Computation          | Compute a shared secret  | CKS_NSS_FIPS_OK (1) | EC Private Key (owner), EC Public Key (peer)   | Shared Secret | Shared Secret Computation with KAS-ECC-SSC | Crypto Officer<br>- EC Private Key: W,E<br>- EC Public Key: W,E<br>- Shared Secret: G,R               |

| Name                                 | Description          | Indicator            | Inputs                             | Outputs                                                           | Security Functions                                                              | SSP Access                                                                                                                                        |
|--------------------------------------|----------------------|----------------------|------------------------------------|-------------------------------------------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| Signature Generation with RSA        | Generate a signature | CKS_NSS_FIPS_O K (1) | RSA Private Key, message           | Signature                                                         | Signature Generation with RSA                                                   | Crypto Officer<br>- RSA Private Key: W,E                                                                                                          |
| Signature Generation with ECDSA      | Generate a signature | CKS_NSS_FIPS_O K (1) | EC Private Key, message            | Signature                                                         | Signature Generation with ECDSA                                                 | Crypto Officer<br>- EC Private Key: W,E                                                                                                           |
| Signature Verification with RSA      | Verify a signature   | CKS_NSS_FIPS_O K (1) | RSA Public Key, message, signature | Pass/fail                                                         | Signature Verification with RSA<br>Signature Verification with RSA (legacy use) | Crypto Officer<br>- RSA Public Key: W,E                                                                                                           |
| Signature Verification with ECDSA    | Verify a signature   | CKS_NSS_FIPS_O K (1) | EC Public Key, message, signature  | Pass/fail                                                         | Signature Verification with ECDSA                                               | Crypto Officer<br>- EC Public Key: W,E                                                                                                            |
| Key Pair Generation with Safe Primes | Generate a key pair  | CKS_NSS_FIPS_O K (1) | Group                              | Module-generated FFC Public Key, Module-generated FFC Private Key | Key Pair Generation with Safe Primes                                            | Crypto Officer<br>- Module-generated FFC Private Key: G,R<br>- Module-generated FFC Public Key: G,R<br>- Intermediate key generation value: G,E,Z |
| Key Pair Generation with RSA         | Generate a key pair  | CKS_NSS_FIPS_O K (1) | Modulus size                       | Module-generated RSA Public Key, Module-                          | Key Pair Generation with RSA                                                    | Crypto Officer<br>- Module-generated RSA Private Key: G,R                                                                                         |

| Name                           | Description                                    | Indicator            | Inputs   | Outputs                                                         | Security Functions                      | SSP Access                                                                                                                                      |
|--------------------------------|------------------------------------------------|----------------------|----------|-----------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
|                                |                                                |                      |          | generated RSA Private Key                                       |                                         | - Module-generated RSA Public Key: G,R<br>- Intermediate key generation value: G,E,Z                                                            |
| Key Pair Generation with ECDSA | Generate a key pair                            | CKS_NSS_FIPS_O K (1) | Curve    | Module-generated EC Public Key, Module-generated EC Private Key | Key Pair Generation with ECDSA          | Crypto Officer<br>- Module-generated EC Private Key: G,R<br>- Module-generated EC Public Key: G,R<br>- Intermediate key generation value: G,E,Z |
| Symmetric Key Generation       | Generate a secret key                          | CKS_NSS_FIPS_O K (1) | Key size | Symmetric Key                                                   | Symmetric Key Generation with Hash_DRBG | Crypto Officer<br>- Symmetric Key: G,R                                                                                                          |
| Show Version                   | Return the module name and version information | None                 | N/A      | Module name and version information                             | None                                    | Crypto Officer                                                                                                                                  |
| Show Status                    | Return the module status                       | None                 | N/A      | Module status                                                   | None                                    | Crypto Officer                                                                                                                                  |
| Self-Test                      | Perform the CASTs and                          | None                 | N/A      | Pass/fail                                                       | Message Digest with SHA                 | Crypto Officer                                                                                                                                  |

| Name | Description     | Indicator | Inputs | Outputs | Security Functions                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | SSP Access |
|------|-----------------|-----------|--------|---------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|      | integrity tests |           |        |         | Message Authentication with HMAC<br>Encryption with AES<br>Decryption with AES<br>Authenticated Encryption with AES<br>Authenticated Decryption with AES<br>Message Authentication with CMAC<br>Key Derivation with KBKDF<br>Key Derivation with HKDF<br>Key Derivation with TLS 1.2 KDF<br>Key Derivation with IKEv2 KDF<br>Key Derivation with PBKDF2<br>Shared Secret Computation with KAS-FFC-SSC<br>Shared Secret Computation with KAS-ECC-SSC<br>Signature Generation with RSA<br>Signature |            |

| Name        | Description      | Indicator | Inputs  | Outputs | Security Functions                                                                            | SSP Access                                                                                                                                                                                                                                                                                                                                    |
|-------------|------------------|-----------|---------|---------|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|             |                  |           |         |         | Verification with RSA<br>Signature Generation with ECDSA<br>Signature Verification with ECDSA |                                                                                                                                                                                                                                                                                                                                               |
| Zeroization | Zeroize all SSPs | N/A       | Any SSP | None    | None                                                                                          | Crypto Officer<br>- AES Key: Z<br>- AES Key-Encryption Key: Z<br>- AES Key-Decryption Key: Z<br>- HMAC Key: Z<br>- Key-Derivation Key: Z<br>- Shared Secret: Z<br>- Password: Z<br>- KBKDF Derived Key: Z<br>- PBKDF2 Derived Key: Z<br>- HKDF Derived Key: Z<br>- TLS Derived Key: Z<br>- IKE Derived Key: Z<br>- Entropy Input: Z<br>- DRBG |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                        |
|------|-------------|-----------|--------|---------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | Seed: Z<br>- DRBG<br>Internal State (V, C): Z<br>- FFC<br>Private Key: Z<br>- FFC<br>Public Key: Z<br>- EC Private Key: Z<br>- EC Public Key: Z<br>- RSA<br>Private Key: Z<br>- RSA<br>Public Key: Z<br>-<br>Intermediate key generation value: Z |

Table 13: Approved Services

The module provides services to operators that assume the available role. All services are described in detail in the API documentation (manual pages). For the above table, the convention below applies when specifying the access permissions (types) that the service has for each SSP.

- **Generate (G):** The module generates or derives the SSP.
- **Read (R):** The SSP is read from the module (e.g. the SSP is output).
- **Write (W):** The SSP is updated, imported, or written to the module.
- **Execute (E):** The module uses the SSP in performing a cryptographic operation.
- **Zeroize (Z):** The module zeroizes the SSP.

To interact with the module, a calling application must use the FIPS token APIs provided by libsoftoken3.so. The FIPS token API layer can be used to retrieve the approved service indicator for the module. This indicator consists of three independent service indicators:

1. The session indicator, which must be used for all cryptographic services except the key (pair) generation and key derivation services. It can be accessed by invoking the NSC\_NSSGetFIPSSStatus function with the CKT\_NSS\_SESSION\_LAST\_CHECK parameter. If the output parameter is set to CKS\_NSS\_FIPS\_OK (1), the service was approved.

2. The object indicator, which must be used for the key (pair) generation and key derivation services. It can be accessed by invoking the `NSC_NSSGetFIPSStatus` function with the `CKT_NSS_OBJECT_CHECK` parameter and the output derived key. If the output parameter is set to `CKS_NSS_FIPS_OK` (1), the service was approved.
3. The DRBG service indicator, which must be used for the DRBG service. It can be accessed by invoking the `C_SeedRandom` or `C_GenerateRandom` functions. If any of these functions returns `CKR_OK`, the service was approved.

The above-described behavior maps to example scenario 3 of FIPS 140-3 IG 2.4.C.

#### 4.4 Non-Approved Services

| Name                          | Description                                               | Algorithms                                                                                                                                                                                                                                                                                  | Role |
|-------------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Message Digest                | Compute a message digest                                  | MD2, MD5, SHA-1                                                                                                                                                                                                                                                                             | CO   |
| Encryption                    | Encrypt a plaintext                                       | RC2, RC4, DES, Triple-DES, CDMF, Camellia, SEED, ChaCha20(-Poly1305)<br>AES GCM (external IV)                                                                                                                                                                                               | CO   |
| Decryption                    | Decrypt a ciphertext                                      | RC2, RC4, DES, Triple-DES, CDMF, Camellia, SEED, ChaCha20(-Poly1305)                                                                                                                                                                                                                        | CO   |
| Message Authentication        | Compute a MAC tag                                         | CBC-MAC, AES XCBC-MAC, AES XCBC-MAC-96<br>HMAC (MD2, MD5, SHA-1; < 112-bit keys)<br>HMAC/SSLv3 MAC (constant-time implementation)                                                                                                                                                           | CO   |
| Key Derivation                | Derive a key from a key-derivation key or a shared secret | MD2, MD5, SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, DES, Triple-DES, AES, Camellia, SEED, ANS X9.63 KDF, SSL 3 PRF, IKEv1 PRF, TLS 1.0/1.1 KDF, TLS KDF without extended master secret<br>KDKDF, HKDF, TLS 1.2 KDF, IKEv2 PRF (< 112-bit keys)<br>KDKDF (MD2, MD5)<br>IKEv2 PRF (MD2, MD5) | CO   |
| Password-Based Key Derivation | Derive a key from a password                              | PKCS#5 PBE, PKCS#12 PBE<br>PBKDF2 (short password; short salt; insufficient iterations; < 112-bit keys)                                                                                                                                                                                     | CO   |
| Shared Secret Computation     | Compute a shared secret                                   | J-PAKE<br>KAS-FFC-SSC (FIPS 186-type groups)<br>X25519                                                                                                                                                                                                                                      | CO   |
| Signature Generation          | Generate a signature                                      | DSA<br>RSA (primitive; PKCS#1 v1.5 or PSS with MD2, MD5, SHA-1)<br>RSA (< 2048-bit keys)<br>ECDSA (component)                                                                                                                                                                               | CO   |

| Name                   | Description                | Algorithms                                                                                        | Role |
|------------------------|----------------------------|---------------------------------------------------------------------------------------------------|------|
| Signature Verification | Verify a signature         | DSA<br>RSA (< 1024-bit keys)<br>ECDSA (component)                                                 | CO   |
| Asymmetric Encryption  | Encrypt a plaintext        | RSA (data encryption / decryption)                                                                | CO   |
| Asymmetric Decryption  | Decrypt a plaintext        | RSA (data encryption / decryption)                                                                | CO   |
| Parameter Generation   | Generate domain parameters | DSA                                                                                               | CO   |
| Parameter Verification | Verify domain parameters   | DSA                                                                                               | CO   |
| Key Pair Generation    | Generate a key pair        | DSA<br>Diffie Hellman (FIPS 186-type groups)<br>RSA (< 2048 bits; > 4096 bits)<br>Ed25519, X25519 | CO   |
| Secret Key Generation  | Generate a secret key      | Symmetric key generation (< 112 bits)                                                             | CO   |

Table 14: Non-Approved Services

The table above lists the non-approved services in this module, the algorithms involved, the roles that can request the service, and the respective service indicator. In this table, CO specifies the Crypto Officer role.

## 4.5 External Software/Firmware Loaded

The module does not load external software or firmware.

## 5 Software/Firmware Security

### 5.1 Integrity Techniques

Each software component of the module has an associated HMAC-SHA2-256 integrity check value. The module makes use of an HMAC key hard-coded within the module to perform the integrity test. The integrity of the module is verified by comparing the HMAC-SHA2-256 values calculated at run time with the integrity values embedded in the check (.chk) files that were computed at build time. If the integrity test fails, the module enters the Power-On Error state.

### 5.2 Initiate on Demand

Integrity tests are performed as part of the pre-operational self-tests, which are executed when the module is initialized. The integrity tests may be invoked on-demand by unloading and subsequently re-initializing the module, which will perform (among others) the software integrity tests.

## 6 Operational Environment

### 6.1 Operational Environment Type and Requirements

**Type of Operational Environment:** Modifiable

**How Requirements are Satisfied:**

Any SSPs contained within the module are protected by the process isolation and memory separation mechanisms, and only the module has control over these SSPs.

If properly installed, the operating system provides process isolation and memory protection mechanisms that ensure appropriate separation for memory access among the processes on the system. Each process has control over its own data and uncontrolled access to the data of other processes is prevented.

### 6.2 Configuration Settings and Restrictions

The module shall be installed as stated in Section 11.1.

Instrumentation tools like the ptrace system call, gdb and strace, userspace live patching, as well as other tracing mechanisms offered by the Linux environment such as ftrace or systemtap, shall not be used in the operational environment. The use of any of these tools implies that the cryptographic module is running in a non-validated operational environment.

### 6.3 Additional Information

The Red Hat Enterprise Linux operating system is used as the basis of other products which include but are not limited to:

- Red Hat Enterprise Linux CoreOS
- Red Hat Ansible Automation Platform
- Red Hat OpenStack Platform
- Red Hat OpenShift
- Red Hat Gluster Storage
- Red Hat Satellite

Compliance is maintained for these products whenever the binary is found unchanged.

## 7 Physical Security

The module is comprised of software only and therefore this section is not applicable.

## 8 Non-Invasive Security

This module does not implement any non-invasive security mechanism and therefore this section is not applicable.

## 9 Sensitive Security Parameters Management

### 9.1 Storage Areas

| Storage Area Name | Description                                                                                                                        | Persistence Type |
|-------------------|------------------------------------------------------------------------------------------------------------------------------------|------------------|
| RAM               | Temporary storage for SSPs used by the module as part of service execution. The module does not perform persistent storage of SSPs | Dynamic          |

Table 15: Storage Areas

SSPs imported, generated, derived, or otherwise established by the module are stored in RAM while the module is operational. The operator application can use these SSPs to perform cryptographic operations, or export them as described in Section 9.2.

The module maintains internal separation of the SSPs (including CSPs) in approved and non-approved modes of operation using an internal isFIPS flag for each SSP. This flag indicates whether the SSP can be used in approved or non-approved services.

The module does not perform persistent storage of SSPs.

### 9.2 SSP Input-Output Methods

| Name                              | From                             | To                               | Format Type | Distribution Type | Entry Type | SFI or Algorithm              |
|-----------------------------------|----------------------------------|----------------------------------|-------------|-------------------|------------|-------------------------------|
| API input parameters (plaintext)  | Calling application within TOEPP | Cryptographic module             | Plaintext   | Manual            | Electronic |                               |
| API input parameters (encrypted)  | Calling application within TOEPP | Cryptographic module             | Encrypted   | Manual            | Electronic | Key Unwrapping with AES (KTS) |
| API output parameters (plaintext) | Cryptographic module             | Calling application within TOEPP | Plaintext   | Manual            | Electronic |                               |
| API output parameters (encrypted) | Cryptographic module             | Calling application within TOEPP | Encrypted   | Manual            | Electronic | Key Wrapping with AES (KTS)   |

Table 16: SSP Input-Output Methods

CSPs (with the exception of passwords) can only be imported to and exported from the module when they are wrapped using an approved security function (e.g. AES KW/KWP or AES-GCM). PSPs can be imported and exported in plaintext. Import and export is performed using API input and output parameters.

### 9.3 SSP Zeroization Methods

| Zeroization Method           | Description                                                | Rationale                                                                                                                                                                                     | Operator Initiation                      |
|------------------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| Destroy Object               | Destroys the SSP represented by the object                 | Memory occupied by SSPs is overwritten with zeroes, which renders the SSP values irretrievable. The completion of the zeroization routine indicates that the zeroization procedure succeeded. | By calling the C_DestroyObject function. |
| Automatic                    | Automatically zeroized by the module when no longer needed | Memory occupied by SSPs is overwritten with zeroes, which renders the SSP values irretrievable.                                                                                               | N/A                                      |
| Remove power from the module | De-allocates the volatile memory used to store SSPs        | Volatile memory used by the module is overwritten within nanoseconds when power is removed. Module power off indicates that the zeroization procedure succeeded.                              | By removing power                        |

Table 17: SSP Zeroization Methods

All data output is inhibited during zeroization.

### 9.4 SSPs

| Name          | Description                                                                                   | Size - Strength                         | Type - Category     | Generated By                            | Established By | Used By                                                                                                                                        |
|---------------|-----------------------------------------------------------------------------------------------|-----------------------------------------|---------------------|-----------------------------------------|----------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| Symmetric Key | Symmetric key generated by the module                                                         | 112-4096 bits - 112-256 bits            | Symmetric key - CSP | Symmetric Key Generation with Hash_DRBG |                | Symmetric Key Generation with Hash_DRBG                                                                                                        |
| AES Key       | AES key used for encryption, decryption, wrapping, unwrapping, and for message authentication | 128, 192, 256 bits - 128, 192, 256 bits | Symmetric key - CSP |                                         |                | Encryption with AES<br>Decryption with AES<br>Authenticated Encryption with AES<br>Authenticated Decryption with AES<br>Message Authentication |

| Name                   | Description                                            | Size - Strength                         | Type - Category             | Generated By | Established By                                                                           | Used By                                                                                      |
|------------------------|--------------------------------------------------------|-----------------------------------------|-----------------------------|--------------|------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
|                        |                                                        |                                         |                             |              |                                                                                          | n with CMAC                                                                                  |
| AES Key-Encryption Key | AES key used for wrapping CSPs                         | 128, 192, 256 bits - 128, 192, 256 bits | Symmetric key - CSP         |              |                                                                                          | Key Wrapping with AES (KTS)                                                                  |
| AES Key-Decryption Key | AES key used for unwrapping CSPs                       | 128, 192, 256 bits - 128, 192, 256 bits | Symmetric key - CSP         |              |                                                                                          | Key Unwrapping with AES (KTS)                                                                |
| Wrapped CSP            | Wrapped CSP imported/exported through AES key wrapping | 112-8192 bits - 112-256 bits            | Imported/Exported CSP - CSP |              | Key Wrapping with AES (KTS)<br>Key Unwrapping with AES (KTS)                             | Key Wrapping with AES (KTS)<br>Key Unwrapping with AES (KTS)                                 |
| HMAC Key               | HMAC key used for message authentication               | 112-256 bits - 112-256 bits             | Symmetric key - CSP         |              |                                                                                          | Message Authentication with HMAC                                                             |
| Key-Derivation Key     | Symmetric key used to derive symmetric keys            | 112-4096 bits - 112-256 bits            | Symmetric key - CSP         |              |                                                                                          | Key Derivation with KBKDF                                                                    |
| Shared Secret          | Shared secret generated by (EC) Diffie-Hellman         | 256-8192 bits - 112-256 bits            | Shared secret - CSP         |              | Shared Secret Computation with KAS-FFC-SSC<br>Shared Secret Computation with KAS-ECC-SSC | Key Derivation with HKDF<br>Key Derivation with TLS 1.2 KDF<br>Key Derivation with IKEv2 KDF |
| Password               | Password used to derive symmetric keys                 | 8-128 characters - N/A                  | Password - CSP              |              |                                                                                          | Key Derivation with PBKDF2                                                                   |

| Name                       | Description                                                  | Size - Strength              | Type - Category      | Generated By                            | Established By | Used By                                 |
|----------------------------|--------------------------------------------------------------|------------------------------|----------------------|-----------------------------------------|----------------|-----------------------------------------|
| KBKDF Derived Key          | Symmetric key derived from a key-derivation key              | 112-4096 bits - 112-256 bits | Symmetric key - CSP  | Key Derivation with KBKDF               |                |                                         |
| PBKDF2 Derived Key         | Symmetric key derived from a password                        | 112-4096 bits - 112-256 bits | Symmetric key - CSP  | Key Derivation with PBKDF2              |                |                                         |
| HKDF Derived Key           | Symmetric key derived from a shared secret using HKDF        | 112-4096 bits - 112-256 bits | Symmetric key - CSP  | Key Derivation with HKDF                |                |                                         |
| TLS Derived Key            | Symmetric key derived from a shared secret using TLS 1.2 KDF | 112-4096 bits - 112-256 bits | Symmetric key - CSP  | Key Derivation with TLS 1.2 KDF         |                |                                         |
| IKE Derived Key            | Symmetric key derived from a shared secret using IKEv2 KDF   | 112-4096 bits - 112-256 bits | Symmetric key - CSP  | Key Derivation with IKEv2 KDF           |                |                                         |
| Entropy Input              | Entropy input used to seed the DRBG (IG D.L compliant)       | 440-880 bits - 390-781 bits  | Entropy input - CSP  | Random Number Generation with Hash_DRBG |                | Random Number Generation with Hash_DRBG |
| DRBG Seed                  | DRBG seed derived from entropy input (IG D.L compliant)      | 440 bits - 256 bits          | Seed - CSP           | Random Number Generation with Hash_DRBG |                | Random Number Generation with Hash_DRBG |
| DRBG Internal State (V, C) | Internal state of the Hash_DRBG (IG D.L compliant)           | 880 bits - 256 bits          | Internal state - CSP | Random Number Generation with Hash_DRBG |                | Random Number Generation with Hash_DRBG |

| Name                             | Description                                      | Size - Strength                          | Type - Category   | Generated By                         | Established By | Used By                                                                      |
|----------------------------------|--------------------------------------------------|------------------------------------------|-------------------|--------------------------------------|----------------|------------------------------------------------------------------------------|
| Module-generated FFC Private Key | KAS-FFC-SSC Private key generated by the module  | 2048-8192 bits - 112-200 bits            | Private key - CSP | Key Pair Generation with Safe Primes |                | Key Pair Generation with Safe Primes                                         |
| Module-generated FFC Public Key  | KAS-FFC-SSC Public key generated by the module   | 2048-8192 bits - 112-200 bits            | Public key - PSP  | Key Pair Generation with Safe Primes |                | Key Pair Generation with Safe Primes                                         |
| FFC Private Key                  | Private key used for Diffie-Hellman              | 2048-8192 bits - 112-200 bits            | Private key - CSP |                                      |                | Shared Secret Computation with KAS-FFC-SSC                                   |
| FFC Public Key                   | Public key used for Diffie-Hellman               | 2048-8192 bits - 112-200 bits            | Public key - PSP  |                                      |                | Shared Secret Computation with KAS-FFC-SSC                                   |
| Module-generated EC Private Key  | EC Private key generated by the module           | P-256, P-384, P-521 - 128, 192, 256 bits | Private key - CSP | Key Pair Generation with ECDSA       |                | Key Pair Generation with ECDSA                                               |
| Module-generated EC Public Key   | EC Public key generated by the module            | P-256, P-384, P-521 - 128, 192, 256 bits | Public key - PSP  | Key Pair Generation with ECDSA       |                | Key Pair Generation with ECDSA                                               |
| EC Private Key                   | Private key used for EC Diffie-Hellman and ECDSA | P-256, P-384, P-521 - 128, 192, 256 bits | Private key - CSP |                                      |                | Shared Secret Computation with KAS-ECC-SSC Signature Generation with ECDSA   |
| EC Public Key                    | Public key used for EC Diffie-Hellman and ECDSA  | P-256, P-384, P-521 - 128, 192, 256 bits | Public key - PSP  |                                      |                | Shared Secret Computation with KAS-ECC-SSC Signature Verification with ECDSA |

| Name                              | Description                                                                   | Size - Strength                                                                                                                                    | Type - Category          | Generated By                                             | Established By | Used By                                                                                                              |
|-----------------------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------------------------------|----------------|----------------------------------------------------------------------------------------------------------------------|
| Module-generated RSA Private Key  | RSA Private key generated by the module                                       | 2048, 3072, 4096 bits - 112-150 bits                                                                                                               | Private key - CSP        | Key Pair Generation with RSA                             |                | Key Pair Generation with RSA                                                                                         |
| Module-generated RSA Public Key   | RSA Public key generated by the module                                        | 2048, 3072, 4096 bits - 112-150 bits                                                                                                               | Public key - PSP         | Key Pair Generation with RSA                             |                | Key Pair Generation with RSA                                                                                         |
| RSA Private Key                   | Private key used for signature generation and asymmetric decryption with RSA  | 2048, 3072, 4096 bits - 112-150 bits                                                                                                               | Private key - CSP        |                                                          |                | Signature Generation with RSA<br>Authenticated Decryption with RSA                                                   |
| RSA Public Key                    | Public key used for signature verification and asymmetric encryption with RSA | SigVer: 1024, 1280, 1536, 1792, 2048, 3072, 4096 bits;<br>Other usages: 2048, 3072, 4096 bits - SigVer: 80-150 bits;<br>Other usages: 112-150 bits | Public key - PSP         |                                                          |                | Signature Verification with RSA<br>Signature Verification with RSA (legacy use)<br>Authenticated Encryption with RSA |
| Intermediate key generation value | Temporary value generated during key generation services                      | 256-8192 bits - 112-256 bits                                                                                                                       | Intermediate value - CSP | Key Pair Generation with RSA<br>Key Pair Generation with |                | Key Pair Generation with RSA<br>Key Pair Generation with ECDSA                                                       |

| Name | Description | Size - Strength | Type - Category | Generated By                               | Established By | Used By                              |
|------|-------------|-----------------|-----------------|--------------------------------------------|----------------|--------------------------------------|
|      |             |                 |                 | ECDSA Key Pair Generation with Safe Primes |                | Key Pair Generation with Safe Primes |

Table 18: SSP Table 1

| Name                   | Input - Output                                                        | Storage       | Storage Duration                      | Zeroization                                    | Related SSPs                                                             |
|------------------------|-----------------------------------------------------------------------|---------------|---------------------------------------|------------------------------------------------|--------------------------------------------------------------------------|
| Symmetric Key          | API output parameters (encrypted)                                     | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module |                                                                          |
| AES Key                | API input parameters (encrypted)                                      | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module |                                                                          |
| AES Key-Encryption Key | API input parameters (encrypted)                                      | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module |                                                                          |
| AES Key-Decryption Key | API input parameters (encrypted)                                      | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module |                                                                          |
| Wrapped CSP            | API input parameters (encrypted)<br>API output parameters (encrypted) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | AES Key-Encryption Key:Wrapped by<br>AES Key-Decryption Key:Unwrapped by |
| HMAC Key               | API input parameters (encrypted)                                      | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module |                                                                          |

| Name               | Input - Output                                                        | Storage       | Storage Duration                      | Zeroization                                    | Related SSPs                                                                                                                                                                                                                 |
|--------------------|-----------------------------------------------------------------------|---------------|---------------------------------------|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Key-Derivation Key | API input parameters (encrypted)                                      | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | KBKDF Derived Key:Derivation Of                                                                                                                                                                                              |
| Shared Secret      | API input parameters (encrypted)<br>API output parameters (encrypted) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | FFC Private Key:Derived From<br>FFC Public Key:Derived From<br>EC Private Key:Derived From<br>EC Public Key:Derived From<br>HKDF Derived Key:Derivation Of<br>TLS Derived Key:Derivation Of<br>IKE Derived Key:Derivation Of |
| Password           | API input parameters (plaintext)                                      | RAM:Plaintext | For the duration of the service       | Destroy Object<br>Remove power from the module | PBKDF2 Derived Key:Derivation Of                                                                                                                                                                                             |
| KBKDF Derived Key  | API output parameters (encrypted)                                     | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | Key-Derivation Key:Derived From                                                                                                                                                                                              |
| PBKDF2 Derived Key | API output parameters (encrypted)                                     | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | Password:Derived From                                                                                                                                                                                                        |
| HKDF Derived Key   | API output parameters (encrypted)                                     | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | Shared Secret:Derived From                                                                                                                                                                                                   |
| TLS Derived Key    | API output parameters (encrypted)                                     | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove                       | Shared Secret:Derived From                                                                                                                                                                                                   |

| Name                             | Input - Output                    | Storage       | Storage Duration                           | Zeroization                                    | Related SSPs                                                                                     |
|----------------------------------|-----------------------------------|---------------|--------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------------------------|
|                                  |                                   |               |                                            | power from the module                          |                                                                                                  |
| IKE Derived Key                  | API output parameters (encrypted) | RAM:Plaintext | Until explicitly zeroized by operator      | Destroy Object<br>Remove power from the module | Shared Secret:Derived From                                                                       |
| Entropy Input                    |                                   | RAM:Plaintext | From generation until DRBG Seed is created | Automatic Remove power from the module         | DRBG Seed:Derivation Of                                                                          |
| DRBG Seed                        |                                   | RAM:Plaintext | While the DRBG is instantiated             | Automatic Remove power from the module         | Entropy Input:Derived From<br>DRBG Internal State (V, C):Generation Of                           |
| DRBG Internal State (V, C)       |                                   | RAM:Plaintext | While the module is operational            | Remove power from the module                   | DRBG Seed:Generated From                                                                         |
| Module-generated FFC Private Key | API output parameters (encrypted) | RAM:Plaintext | Until explicitly zeroized by operator      | Destroy Object<br>Remove power from the module | Module-generated FFC Public Key:Paired With<br>Intermediate key generation value:Generated From  |
| Module-generated FFC Public Key  | API output parameters (plaintext) | RAM:Plaintext | Until explicitly zeroized by operator      | Destroy Object<br>Remove power from the module | Module-generated FFC Private Key:Paired With<br>Intermediate key generation value:Generated From |
| FFC Private Key                  | API input parameters (encrypted)  | RAM:Plaintext | Until explicitly zeroized by operator      | Destroy Object<br>Remove power from the module | FFC Public Key:Paired With<br>Shared Secret:Derivation Of                                        |
| FFC Public Key                   | API input parameters (plaintext)  | RAM:Plaintext | Until explicitly zeroized by operator      | Destroy Object<br>Remove                       | FFC Private Key:Paired With<br>Shared Secret:Derivation Of                                       |

| Name                             | Input - Output                    | Storage       | Storage Duration                      | Zeroization                                    | Related SSPs                                                                                  |
|----------------------------------|-----------------------------------|---------------|---------------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------------------|
|                                  |                                   |               |                                       | power from the module                          |                                                                                               |
| Module-generated EC Private Key  | API output parameters (encrypted) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | Module-generated EC Public Key:Paired With Intermediate key generation value:Generated From   |
| Module-generated EC Public Key   | API output parameters (plaintext) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | Module-generated EC Private Key:Paired With Intermediate key generation value:Generated From  |
| EC Private Key                   | API input parameters (encrypted)  | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | EC Public Key:Paired With Shared Secret:Derivation Of                                         |
| EC Public Key                    | API input parameters (plaintext)  | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | EC Private Key:Paired With Shared Secret:Derivation Of                                        |
| Module-generated RSA Private Key | API output parameters (encrypted) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | Module-generated RSA Public Key:Paired With Intermediate key generation value:Generated From  |
| Module-generated RSA Public Key  | API output parameters (plaintext) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | Module-generated RSA Private Key:Paired With Intermediate key generation value:Generated From |

| Name                              | Input - Output                   | Storage       | Storage Duration                      | Zeroization                                    | Related SSPs                                                                                                                                                                                                                                                                                        |
|-----------------------------------|----------------------------------|---------------|---------------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| RSA Private Key                   | API input parameters (encrypted) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | RSA Public Key:Paired With                                                                                                                                                                                                                                                                          |
| RSA Public Key                    | API input parameters (plaintext) | RAM:Plaintext | Until explicitly zeroized by operator | Destroy Object<br>Remove power from the module | RSA Private Key:Paired With                                                                                                                                                                                                                                                                         |
| Intermediate key generation value |                                  | RAM:Plaintext | For the duration of the service       | Automatic                                      | Module-generated FFC Private Key:Generation Of<br>Module-generated FFC Public Key:Generation Of<br>Module-generated EC Private Key:Generation Of<br>Module-generated EC Public Key:Generation Of<br>Module-generated RSA Private Key:Generation Of<br>Module-generated RSA Public Key:Generation Of |

Table 19: SSP Table 2

## 9.5 Transitions

The SHA-1 algorithm as implemented by the module will be non-approved for all purposes, starting January 1, 2031.

## 10 Self-Tests

Upon initialization, the module immediately performs all libfreeblpriv3.so cryptographic algorithm self-tests (CASTs) as specified in the Conditional Self-Tests table. These self-tests include the CAST for the HMAC-SHA2-256 algorithm that is used to verify the integrity of the module's shared libraries.

When all those self-tests pass successfully, the module automatically performs the pre-operational integrity test on the libfreeblpriv3.so file using its associated check value.

Then, the module performs the RSA CAST in the libsoftokn3.so library, followed by the pre-operational integrity test on the libsoftokn3.so file using its associated check value.

Finally, all remaining CASTs for the algorithms implemented in libsoftokn3.so are executed (see the Conditional Self-Tests table).

Only if all CASTs and pre-operational integrity tests passed successfully, the module transitions to the operational state. No operator intervention is required to reach this point.

While the module is executing the self-tests, services are not available, and data output (via the data output interface) is inhibited until the tests are successfully completed. If any of the self-tests fails, an error message is returned, and the module transitions to an error state.

### 10.1 Pre-Operational Self-Tests

| Algorithm or Test | Test Properties | Test Method            | Test Type       | Indicator                                                     | Details                                                 |
|-------------------|-----------------|------------------------|-----------------|---------------------------------------------------------------|---------------------------------------------------------|
| HMAC-SHA2-256     | 256-bit key     | Message authentication | SW/FW Integrity | Module becomes operational and services are available for use | Integrity test for libsoftokn3.so and libfreeblpriv3.so |

Table 20: Pre-Operational Self-Tests

### 10.2 Conditional Self-Tests

| Algorithm or Test | Test Properties | Test Method | Test Type | Indicator                                                     | Details        | Conditions            |
|-------------------|-----------------|-------------|-----------|---------------------------------------------------------------|----------------|-----------------------|
| SHA2-224 (A6443)  | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest | Module initialization |
| SHA2-224 (A6447)  | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest | Module initialization |

| Algorithm or Test     | Test Properties | Test Method | Test Type | Indicator                                                     | Details                | Conditions            |
|-----------------------|-----------------|-------------|-----------|---------------------------------------------------------------|------------------------|-----------------------|
| SHA2-256 (A6443)      | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest         | Module initialization |
| SHA2-256 (A6447)      | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest         | Module initialization |
| SHA2-384 (A6443)      | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest         | Module initialization |
| SHA2-384 (A6447)      | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest         | Module initialization |
| SHA2-512 (A6443)      | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest         | Module initialization |
| SHA2-512 (A6447)      | 512-bit message | KAT         | CAST      | Module becomes operational and services are available for use | Message Digest         | Module initialization |
| HMAC-SHA2-224 (A6443) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |

| Algorithm or Test     | Test Properties | Test Method | Test Type | Indicator                                                     | Details                | Conditions            |
|-----------------------|-----------------|-------------|-----------|---------------------------------------------------------------|------------------------|-----------------------|
| HMAC-SHA2-224 (A6447) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| HMAC-SHA2-256 (A6443) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| HMAC-SHA2-256 (A6447) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| HMAC-SHA2-384 (A6443) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| HMAC-SHA2-384 (A6447) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| HMAC-SHA2-512 (A6443) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| HMAC-SHA2-512 (A6447) | 288-bit key     | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |

| Algorithm or Test         | Test Properties       | Test Method | Test Type | Indicator                                                     | Details    | Conditions            |
|---------------------------|-----------------------|-------------|-----------|---------------------------------------------------------------|------------|-----------------------|
| AES-ECB - Encrypt (A6443) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Encryption | Module initialization |
| AES-ECB - Encrypt (A6445) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Encryption | Module initialization |
| AES-ECB - Decrypt (A6443) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Decryption | Module initialization |
| AES-ECB - Decrypt (A6445) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Decryption | Module initialization |
| AES-CBC - Encrypt (A6443) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Encryption | Module initialization |
| AES-CBC - Encrypt (A6445) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Encryption | Module initialization |
| AES-CBC - Decrypt (A6443) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Decryption | Module initialization |

| Algorithm or Test         | Test Properties       | Test Method | Test Type | Indicator                                                     | Details    | Conditions            |
|---------------------------|-----------------------|-------------|-----------|---------------------------------------------------------------|------------|-----------------------|
| AES-CBC - Decrypt (A6445) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Decryption | Module initialization |
| AES-GCM - Encrypt (A6443) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Encryption | Module initialization |
| AES-GCM - Encrypt (A6445) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Encryption | Module initialization |
| AES-GCM - Encrypt (A6446) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Encryption | Module initialization |
| AES-GCM - Decrypt (A6443) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Decryption | Module initialization |
| AES-GCM - Decrypt (A6445) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Decryption | Module initialization |
| AES-GCM - Decrypt (A6446) | 128, 192, 256-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Decryption | Module initialization |

| Algorithm or Test            | Test Properties                                                     | Test Method | Test Type | Indicator                                                     | Details                | Conditions            |
|------------------------------|---------------------------------------------------------------------|-------------|-----------|---------------------------------------------------------------|------------------------|-----------------------|
| AES-CMAC (A6443)             | 128, 192, 256-bit key                                               | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| AES-CMAC (A6445)             | 128, 192, 256-bit key                                               | KAT         | CAST      | Module becomes operational and services are available for use | Message Authentication | Module initialization |
| KDF SP800-108 (A6443)        | HMAC-SHA2-256 in counter mode                                       | KAT         | CAST      | Module becomes operational and services are available for use | Key Derivation         | Module initialization |
| KDA HKDF SP800-56Cr2 (A6442) | SHA2-256                                                            | KAT         | CAST      | Module becomes operational and services are available for use | Key Derivation         | Module initialization |
| TLS v1.2 KDF RFC7627 (A6443) | SHA2-256                                                            | KAT         | CAST      | Module becomes operational and services are available for use | Key Derivation         | Module initialization |
| KDF IKEv2 (A6444)            | SHA-1, SHA-256, SHA-384, SHA-512                                    | KAT         | CAST      | Module becomes operational and services are available for use | Key Derivation         | Module initialization |
| PBKDF (A6443)                | SHA2-256 with 5 iterations, 128-bit salt and 14 characters password | KAT         | CAST      | Module becomes operational and services are available for use | Key Derivation         | Module initialization |

| Algorithm or Test                | Test Properties                                                 | Test Method | Test Type | Indicator                                                     | Details                                                                              | Conditions            |
|----------------------------------|-----------------------------------------------------------------|-------------|-----------|---------------------------------------------------------------|--------------------------------------------------------------------------------------|-----------------------|
| Hash DRBG (A6443)                | SHA-256 without prediction resistance                           | KAT         | CAST      | Module becomes operational and services are available for use | Instantiate Generate; Reseed Generate (compliant to SP 800-90A Rev. 1, Section 11.3) | Module initialization |
| KAS-FFC-SSC Sp800-56Ar3 (A6443)  | ffdhe2048                                                       | KAT         | CAST      | Module becomes operational and services are available for use | Shared Secret Computation                                                            | Module initialization |
| KAS-ECC-SSC Sp800-56Ar3 (A6443)  | P-256                                                           | KAT         | CAST      | Module becomes operational and services are available for use | Shared Secret Computation                                                            | Module initialization |
| RSA SigGen (FIPS186-5) (A6443)   | PKCS#1 v1.5 with SHA2-256, SHA2-384, SHA2-512, and 2048-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Signature Generation                                                                 | Module initialization |
| RSA SigVer (FIPS186-5) (A6443)   | PKCS#1 v1.5 with SHA2-256, SHA2-384, SHA2-512, and 2048-bit key | KAT         | CAST      | Module becomes operational and services are available for use | Signature Verification                                                               | Module initialization |
| ECDSA SigGen (FIPS186-5) (A6443) | SHA2-256 and P-256                                              | KAT         | CAST      | Module becomes operational and services are available for use | Signature Generation                                                                 | Module initialization |
| ECDSA SigVer (FIPS186-5) (A6443) | SHA2-256 and P-256                                              | KAT         | CAST      | Module becomes operational and services are available for use | Signature Verification                                                               | Module initialization |

| Algorithm or Test                                  | Test Properties          | Test Method | Test Type | Indicator                      | Details                                                 | Conditions          |
|----------------------------------------------------|--------------------------|-------------|-----------|--------------------------------|---------------------------------------------------------|---------------------|
| RSA KeyGen (FIPS186-5) (A6443)                     | PKCS#1 v1.5 with SHA-256 | PCT         | PCT       | Successful key pair generation | Signature Generation and Signature Verification         | Key Pair Generation |
| ECDSA KeyGen (FIPS186-5) - SSC (A6443)             | N/A                      | PCT         | PCT       | Successful key pair generation | PCT according to section 5.6.2.1.4 of SP 800-56A Rev. 3 | Key Pair Generation |
| ECDSA KeyGen (FIPS186-5) - SigGen / SigVer (A6443) | SHA-256                  | PCT         | PCT       | Successful key pair generation | Signature Generation and Signature Verification         | Key Pair Generation |
| Safe Primes Key Generation (A6443)                 | N/A                      | PCT         | PCT       | Successful key pair generation | PCT according to section 5.6.2.1.4 of SP 800-56A Rev. 3 | Key Pair Generation |

Table 21: Conditional Self-Tests

### 10.3 Periodic Self-Test Information

| Algorithm or Test | Test Method            | Test Type       | Period    | Periodic Method |
|-------------------|------------------------|-----------------|-----------|-----------------|
| HMAC-SHA2-256     | Message authentication | SW/FW Integrity | On demand | Manually        |

Table 22: Pre-Operational Periodic Information

| Algorithm or Test | Test Method | Test Type | Period    | Periodic Method |
|-------------------|-------------|-----------|-----------|-----------------|
| SHA2-224 (A6443)  | KAT         | CAST      | On demand | Manually        |
| SHA2-224 (A6447)  | KAT         | CAST      | On demand | Manually        |
| SHA2-256 (A6443)  | KAT         | CAST      | On demand | Manually        |
| SHA2-256 (A6447)  | KAT         | CAST      | On demand | Manually        |
| SHA2-384 (A6443)  | KAT         | CAST      | On demand | Manually        |
| SHA2-384 (A6447)  | KAT         | CAST      | On demand | Manually        |
| SHA2-512 (A6443)  | KAT         | CAST      | On demand | Manually        |
| SHA2-512 (A6447)  | KAT         | CAST      | On demand | Manually        |

| Algorithm or Test         | Test Method | Test Type | Period    | Periodic Method |
|---------------------------|-------------|-----------|-----------|-----------------|
| HMAC-SHA2-224 (A6443)     | KAT         | CAST      | On demand | Manually        |
| HMAC-SHA2-224 (A6447)     | KAT         | CAST      | On demand | Manually        |
| HMAC-SHA2-256 (A6443)     | KAT         | CAST      | On demand | Manually        |
| HMAC-SHA2-256 (A6447)     | KAT         | CAST      | On demand | Manually        |
| HMAC-SHA2-384 (A6443)     | KAT         | CAST      | On demand | Manually        |
| HMAC-SHA2-384 (A6447)     | KAT         | CAST      | On demand | Manually        |
| HMAC-SHA2-512 (A6443)     | KAT         | CAST      | On demand | Manually        |
| HMAC-SHA2-512 (A6447)     | KAT         | CAST      | On demand | Manually        |
| AES-ECB - Encrypt (A6443) | KAT         | CAST      | On demand | Manually        |
| AES-ECB - Encrypt (A6445) | KAT         | CAST      | On demand | Manually        |
| AES-ECB - Decrypt (A6443) | KAT         | CAST      | On demand | Manually        |
| AES-ECB - Decrypt (A6445) | KAT         | CAST      | On demand | Manually        |
| AES-CBC - Encrypt (A6443) | KAT         | CAST      | On demand | Manually        |
| AES-CBC - Encrypt (A6445) | KAT         | CAST      | On demand | Manually        |
| AES-CBC - Decrypt (A6443) | KAT         | CAST      | On demand | Manually        |
| AES-CBC - Decrypt (A6445) | KAT         | CAST      | On demand | Manually        |
| AES-GCM - Encrypt (A6443) | KAT         | CAST      | On demand | Manually        |
| AES-GCM - Encrypt (A6445) | KAT         | CAST      | On demand | Manually        |

| Algorithm or Test                | Test Method | Test Type | Period    | Periodic Method |
|----------------------------------|-------------|-----------|-----------|-----------------|
| AES-GCM - Encrypt (A6446)        | KAT         | CAST      | On demand | Manually        |
| AES-GCM - Decrypt (A6443)        | KAT         | CAST      | On demand | Manually        |
| AES-GCM - Decrypt (A6445)        | KAT         | CAST      | On demand | Manually        |
| AES-GCM - Decrypt (A6446)        | KAT         | CAST      | On demand | Manually        |
| AES-CMAC (A6443)                 | KAT         | CAST      | On demand | Manually        |
| AES-CMAC (A6445)                 | KAT         | CAST      | On demand | Manually        |
| KDF SP800-108 (A6443)            | KAT         | CAST      | On demand | Manually        |
| KDA HKDF SP800-56Cr2 (A6442)     | KAT         | CAST      | On demand | Manually        |
| TLS v1.2 KDF RFC7627 (A6443)     | KAT         | CAST      | On demand | Manually        |
| KDF IKEv2 (A6444)                | KAT         | CAST      | On demand | Manually        |
| PBKDF (A6443)                    | KAT         | CAST      | On demand | Manually        |
| Hash DRBG (A6443)                | KAT         | CAST      | On demand | Manually        |
| KAS-FFC-SSC Sp800-56Ar3 (A6443)  | KAT         | CAST      | On demand | Manually        |
| KAS-ECC-SSC Sp800-56Ar3 (A6443)  | KAT         | CAST      | On demand | Manually        |
| RSA SigGen (FIPS186-5) (A6443)   | KAT         | CAST      | On demand | Manually        |
| RSA SigVer (FIPS186-5) (A6443)   | KAT         | CAST      | On demand | Manually        |
| ECDSA SigGen (FIPS186-5) (A6443) | KAT         | CAST      | On demand | Manually        |

| Algorithm or Test                                           | Test Method | Test Type | Period    | Periodic Method |
|-------------------------------------------------------------|-------------|-----------|-----------|-----------------|
| ECDSA SigVer<br>(FIPS186-5)<br>(A6443)                      | KAT         | CAST      | On demand | Manually        |
| RSA KeyGen<br>(FIPS186-5)<br>(A6443)                        | PCT         | PCT       | On demand | Manually        |
| ECDSA KeyGen<br>(FIPS186-5) - SSC<br>(A6443)                | PCT         | PCT       | On demand | Manually        |
| ECDSA KeyGen<br>(FIPS186-5) -<br>SigGen / SigVer<br>(A6443) | PCT         | PCT       | On demand | Manually        |
| Safe Primes Key<br>Generation<br>(A6443)                    | PCT         | PCT       | On demand | Manually        |

Table 23: Conditional Periodic Information

## 10.4 Error States

| Name           | Description                                                  | Conditions                                      | Recovery Method       | Indicator                                                 |
|----------------|--------------------------------------------------------------|-------------------------------------------------|-----------------------|-----------------------------------------------------------|
| Power-On Error | An error occurred during the self-tests executed on power-on | Software integrity test failure or CAST failure | Restart of the module | Module will not load                                      |
| PCT Error      | An error occurred during a PCT                               | PCT failure                                     | Restart of the module | Module stops functioning (sftk_fatalError is set to TRUE) |

Table 24: Error States

In any error state, the output interface is inhibited, and the module accepts no more inputs or requests.

## 10.5 Operator Initiation of Self-Tests

The software integrity tests and CASTs can be invoked on demand by unloading and subsequently re-initializing the module. The PCTs can be invoked on demand by requesting the Key Pair Generation service.

# 11 Life-Cycle Assurance

## 11.1 Installation, Initialization, and Startup Procedures

The module is delivered as part of the following RPM packages:

- Red Hat Enterprise Linux 8 system:
  - `nss-sofotkn-3.101.0-11.el8_8.x86_64`
  - `nss-sofotkn-freebl-3.101.0-11.el8_8.x86_64`

Before these packages are installed, the Red Hat Enterprise Linux 8 system must operate in the FIPS validated configuration. This can be achieved by:

- Adding the `fips=1` option to the kernel command line during the system installation. During the software selection stage, do not install any third-party software.
- Switching the system into the FIPS validated configuration after the installation. Execute the `fips-mode-setup --enable` command. Restart the system.

In both cases, once the system has rebooted, the Crypto Officer must verify the system operates in the FIPS validated configuration by executing the `fips-mode-setup --check` command, which should output “FIPS mode is enabled.”

After the completion of the above-mentioned system configuration steps, the `nss-sofotkn-3.101.0-11.el8_8.x86_64` and the `nss-sofotkn-freebl-3.101.0-11.el8_8.x86_64` RPM packages can be installed using any of the package manager tools specified in Section 11.2.

After the RPM packages are installed, the Crypto Officer must execute the “Show name and version” service by accessing the `CKA_NSS_VALIDATION_MODULE_ID` attribute of the `CKO_NSS_VALIDATION` object in the default slot. The object attribute must contain the value:

Red Hat Enterprise Linux 8 nss 3.101.0-36157fa50e4c0485

Alternatively, the `/usr/lib64/nss/unsupported-tools/validation` tool is provided as a convenience by the `nss-tools-3.101.0-11.el8_8.x86_64` package. This tool performs the same steps, and also outputs the FIPS module identifier as above.

To operate as the FIPS 140-3 validated module, the output of both the `fips-mode-setup --check` command and the “Show Name and Version” service must match the values specified above. If either output differs, the module cannot be considered the FIPS 140-3 validated module.

## 11.2 Administrator Guidance

The version of the RPMs containing the FIPS validated Module is stated in Section 11.1. The RPM packages forming the Module can be installed by standard tools recommended for the installation of RPM packages on a Red Hat Enterprise Linux system (for example, `dnf`, `rpm`, and the RHN remote management tool). All RPM packages are signed with the Red Hat build key, which is an RSA 4096-bit key using SHA-256 signatures. The signature is automatically verified upon installation of the RPM package. If the signature cannot be validated, the RPM tool rejects the installation of the package. In such a case, the Crypto Officer is requested to obtain a new copy of the module's RPMs from Red Hat.

## 11.3 Non-Administrator Guidance

There is no non-administrator guidance.

## 11.4 End of Life

As the module does not persistently store SSPs, secure sanitization of the module consists of unloading the module. This will zeroize all SSPs in volatile memory. Then, if desired, both the `nss-softokn-3.101.0-11.el8_8.x86_64` and the `nss-softokn-freebl-3.101.0-11.el8_8.x86_64` RPM packages can be uninstalled from the Red Hat Enterprise Linux 8 system.

## 12 Mitigation of Other Attacks

### 12.1 Attack List

#### Timing attacks on RSA

- RSA blinding: timing attack on RSA was first demonstrated by Paul Kocher in 1996, who contributed the mitigation code to our module. Most recently Boneh and Brumley showed that RSA blinding is an effective defense against timing attacks on RSA.
  - Specific Limit: None

#### Cache-timing attacks on the modular exponentiation operation used in RSA

- Cache invariant module exponentiation: this is a variant of a modular exponentiation implementation that Colin Percival showed to defend against cache-timing attacks
  - Specific Limit: this mechanism requires intimate knowledge of the cache line sizes of the processor. The mechanism may be ineffective when the module is running on a processor whose cache line sizes are unknown.

#### Arithmetic errors in RSA signatures

- Double-checking RSA signatures: arithmetic errors in RSA signatures might leak the private key. Ferguson and Schneier recommend that every RSA signature generation should verify the signature just generated.
  - Specific Limit: None

## Appendix A. Glossary and abbreviations

|       |                                              |
|-------|----------------------------------------------|
| AES   | Advanced Encryption Standard                 |
| API   | Application Programming Interface            |
| CAST  | Cryptographic Algorithm Self-Test            |
| CAVP  | Cryptographic Algorithm Validation Program   |
| CBC   | Cipher Block Chaining                        |
| CKG   | Cryptographic Key Generation                 |
| CMAC  | Cipher-based Message Authentication Code     |
| CMVP  | Cryptographic Module Validation Program      |
| CSP   | Critical Security Parameter                  |
| CTR   | Counter                                      |
| CTS   | Ciphertext Stealing                          |
| DH    | Diffie-Hellman                               |
| DRBG  | Deterministic Random Bit Generator           |
| ECB   | Electronic Code Book                         |
| ECC   | Elliptic Curve Cryptography                  |
| ECDH  | Elliptic Curve Diffie-Hellman                |
| ECDSA | Elliptic Curve Digital Signature Algorithm   |
| FFC   | Finite Field Cryptography                    |
| FIPS  | Federal Information Processing Standards     |
| GCM   | Galois Counter Mode                          |
| HKDF  | HMAC-based Key Derivation Function           |
| HMAC  | Keyed-Hash Message Authentication Code       |
| IFC   | Integer Factorization Cryptography           |
| IKE   | Internet Key Exchange                        |
| KAS   | Key Agreement Scheme                         |
| KAT   | Known Answer Test                            |
| KBKDF | Key-based Key Derivation Function            |
| KTS   | Key Transport Scheme                         |
| KW    | Key Wrap                                     |
| KWP   | Key Wrap with Padding                        |
| MAC   | Message Authentication Code                  |
| NIST  | National Institute of Science and Technology |

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|        |                                           |
|--------|-------------------------------------------|
| PAA    | Processor Algorithm Acceleration          |
| PAI    | Processor Algorithm Implementation        |
| PCT    | Pair-wise Consistency Test                |
| PBKDF2 | Password-based Key Derivation Function v2 |
| PKCS   | Public-Key Cryptography Standards         |
| PSS    | Probabilistic Signature Scheme            |
| RSA    | Rivest, Shamir, Addleman                  |
| SHA    | Secure Hash Algorithm                     |
| SSC    | Shared Secret Computation                 |
| SSP    | Sensitive Security Parameter              |
| TLS    | Transport Layer Security                  |
| XOF    | Extendable Output Function                |

## Appendix B. References

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March 2019  
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23 October 2024  
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August 2015  
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|               |                                                                                                                                                                                                                                                                                                            |
|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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