



FIPS 140-2 Non-Proprietary Security Policy

CLEAR Cryptosystem

Software Version 3.0.2.1

Document Version 1.0

March 2, 2023

Prepared For:



Quantum Knight, INC.
3175 Hanover Street
Palo Alto, CA 94304
www.quantumknight.io

Prepared By:



SafeLogic Inc.
530 Lytton Ave, Suite 200
Palo Alto, CA 94301
www.safelogic.com

Overview

This document provides a non-proprietary FIPS 140-2 Security Policy for CLEAR Cryptosystem.

Table of Contents

Overview	2
1 Introduction	5
1.1 About FIPS 140	5
1.2 About this Document.....	5
1.3 External Resources	5
1.4 Notices.....	5
2 CLEAR Cryptosystem	6
2.1 Cryptographic Module Specification	6
2.1.1 Validation Level Detail	6
2.1.2 Modes of Operation.....	7
2.1.3 Module Configuration.....	7
2.1.4 Approved Cryptographic Algorithms	9
2.1.5 Non-Approved But Allowed Cryptographic Algorithms.....	14
2.1.6 Non-Approved Mode of Operation	14
2.2 Critical Security Parameters and Public Keys	16
2.2.1 Critical Security Parameters.....	16
2.2.2 Public Keys	18
2.3 Module Interfaces	19
2.4 Roles, Services, and Authentication	20
2.4.1 Assumption of Roles	20
2.4.2 Services	21
2.5 Physical Security.....	26
2.6 Operational Environment.....	26
2.6.1 Use of External RNG.....	27
2.7 Self-Tests	27
2.7.1 Power-Up Self-Tests.....	28
2.7.2 Conditional Self-Tests	29
2.8 Mitigation of Other Attacks	29
3 Security Rules and Guidance	31
3.1 Basic Enforcement.....	31
3.2 Additional Enforcement with a Java SecurityManager	31
3.3 Basic Guidance	31
3.4 Enforcement and Guidance for AES GCM IVs.....	32
3.5 Enforcement and Guidance for Use of the Approved PBKDF	32
3.6 Rules for Setting the N and the S String in cSHAKE	33
3.7 Guidance for the Use of DRBGs and Configuring the JVM's Entropy Source	33
3.8 Software Installation	34
4 References and Acronyms	35
4.1 References.....	35
4.2 Acronyms.....	37

List of Tables

Table 1 - Validation Level by FIPS 140-2 Section	6
Table 2 - Available Java Permissions.....	7
Table 3 - FIPS Approved Algorithm Certificates.....	9
Table 4 - Approved Cryptographic Functions Implemented with Vendor Affirmation	13
Table 5 - Non-Approved But Allowed Cryptographic Algorithms.....	14
Table 6 - Non-Approved Cryptographic Functions for Use in non-Approved mode Only	14
Table 7 - Critical Security Parameters.....	16
Table 8 - Public Keys	18
Table 9 - Logical Interface / Physical Interface Mapping.....	20
Table 10 - Description of Roles	21
Table 11 - Module Services, Descriptions, and Roles	21
Table 12 - CSP Access Rights within Services.....	24
Table 13 - Tested Environments	26
Table 14 - Power-Up Self-Tests.....	28
Table 15 - Conditional Self-Tests	29
Table 16 – References	35
Table 17 - Acronyms and Terms	37

List of Figures

Figure 1 – Module Boundary and Interfaces Diagram.....	19
--------------------------------------------------------	----

1 Introduction

1.1 About FIPS 140

Federal Information Processing Standards Publication 140-2 — Security Requirements for Cryptographic Modules specifies requirements for cryptographic modules to be deployed in a Sensitive but Unclassified environment. The National Institute of Standards and Technology (NIST) and Canadian Centre for Cyber Security (CCCS) Cryptographic Module Validation Program (CMVP) run the FIPS 140 program. The NVLAP accredits independent testing labs to perform FIPS 140 testing; the CMVP validates modules meeting FIPS 140 validation. *Validated* is the term given to a module that is documented and tested against the FIPS 140 criteria.

More information is available on the CMVP website at <https://csrc.nist.gov/projects/cryptographic-module-validation-program>.

1.2 About this Document

This non-proprietary Cryptographic Module Security Policy for CLEAR Cryptosystem from Quantum Knight, INC. (“Quantum Knight”) provides an overview of the product and a high-level description of how it meets the overall Level 1 security requirements of FIPS 140-2.

CLEAR Cryptosystem may also be referred to as the “module” in this document.

1.3 External Resources

The Quantum Knight website (www.quantumknight.io) contains information on Quantum Knight services and products. The Cryptographic Module Validation Program website contains links to the FIPS 140-2 certificate and Quantum Knight contact information.

1.4 Notices

This document may be freely reproduced and distributed in its entirety without modification.

2 CLEAR Cryptosystem

2.1 Cryptographic Module Specification

CLEAR Cryptosystem™ is a standards-based cryptographic engine that enables the protection of data requiring absolute compliance with federal standards. The module delivers core cryptographic functions for boosting the strength and speed of cryptographic protection while guaranteeing data integrity, data at rest encryption, and streaming data transmissions. CLEAR Cryptosystem™ provides flexible modes of operation that include multi-factor authentication (MFA) and embedded access control lists (ACL) for controlled access to data.

The module's software version is 3.0.2.1. The module's logical cryptographic boundary is the Java Archive (JAR) file (ccj-3.0.2.1.jar).

The module is a software module that relies on the physical characteristics of the host platform. The module's physical cryptographic boundary is defined by the enclosure of the host platform, which is the General Purpose Device that the module is installed on. For the purposes of FIPS 140-2 validation, the module's embodiment type is defined as multi-chip standalone.

All operations of the module occur via calls from host applications and their respective internal daemons/processes. As such there are no untrusted services calling the services of the module.

2.1.1 Validation Level Detail

The following table lists the module's level of validation for each area in FIPS 140-2:

Table 1 - Validation Level by FIPS 140-2 Section

FIPS 140-2 Section Title	Validation Level
Cryptographic Module Specification	1
Cryptographic Module Ports and Interfaces	1
Roles, Services, and Authentication	1
Finite State Model	1
Physical Security	N/A
Operational Environment	1
Cryptographic Key Management	1
Electromagnetic Interference / Electromagnetic Compatibility	1
Self-Tests	1
Design Assurance	1
Mitigation of Other Attacks	1

2.1.2 Modes of Operation

The module supports two modes of operation: FIPS Approved mode and non-Approved mode. The module will be in FIPS Approved mode when the appropriate transition method is called. To verify that a module is in the FIPS Approved mode of operation, the user can call a FIPS Approved mode status method (*CryptoServicesRegistrar.isInApprovedOnlyMode()*). If the module is configured to allow FIPS Approved mode and non-Approved mode operations, a call to *CryptoServicesRegistrar.setApprovedMode(true)* will switch the current thread of user control into FIPS Approved mode.

In FIPS Approved mode, the module will not provide non-Approved algorithms, therefore, exceptions will be called if the user tries to access non-Approved algorithms in the FIPS Approved mode.

2.1.3 Module Configuration

In default operation, the module will start with both FIPS Approved mode and non-Approved mode enabled.

If the module detects that the system property *com.safelogic.cryptocomply.fips.approved_only* is set to *true* the module will start in FIPS Approved mode and non-Approved mode functionality will not be available.

If the underlying JVM is running with a Java Security Manager installed, the module will be running in FIPS Approved mode with secret and private key export disabled.

Use of the module with a Java Security Manager requires the setting of some basic permissions to allow the module HMAC-SHA-256 software integrity test to take place as well as to allow the module itself to examine secret and private keys. The basic permissions required for the module to operate correctly with a Java Security Manager are indicated by a Y in the **Req** column of Table 2 - Available Java Permissions.

Table 2 - Available Java Permissions

Permission	Settings	Req	Usage
RuntimePermission	"getProtectionDomain"	Y	Allows checksum to be carried out on jar
RuntimePermission	"accessDeclaredMembers"	Y	Allows use of reflection API within the provider
PropertyPermission	"java.runtime.name", "read"	N	Only if configuration properties are used
SecurityPermission	"putProviderProperty.BCFIPS"	N	Only if provider installed during execution
CryptoServicesPermission	"unapprovedModeEnabled"	N	Only if non-Approved mode algorithms required
CryptoServicesPermission	"changeToApprovedModeEnabled"	N	Only if threads allowed to change modes

Permission	Settings	Req	Usage
CryptoServicesPermission	"exportSecretKey"	N	To allow export of secret keys only
CryptoServicesPermission	"exportPrivateKey"	N	To allow export of private keys only
CryptoServicesPermission	"exportKeys"	Y	Required to be applied for the module itself. Optional for any other codebase.
CryptoServicesPermission	"tlsNullDigestEnabled"	N	Only required for TLS digest calculations
CryptoServicesPermission	"tlsPKCS15KeyWrapEnabled"	N	Only required if TLS is used with RSA encryption
CryptoServicesPermission	"tlsAlgorithmsEnabled"	N	Enables both NullDigest and PKCS15KeyWrap
CryptoServicesPermission	"defaultRandomConfig"	N	Allows setting of default SecureRandom
CryptoServicesPermission	"threadLocalConfig"	N	Required to set a thread local property in the CryptoServicesRegistrar
CryptoServicesPermission	"globalConfig"	N	Required to set a global property in the CryptoServicesRegistrar

2.1.4 Approved Cryptographic Algorithms

2.1.4.1 CAVP Tested Approved Algorithms

The module’s cryptographic algorithm implementations have received the following certificate numbers from the Cryptographic Algorithm Validation Program (CAVP).

Table 3 - FIPS Approved Algorithm Certificates

CAVP Cert.	Algorithm	Standard	Mode/Method	Key Lengths, Curves or Moduli	Use
A2720	AES	FIPS 197 SP 800-38A	CBC, ECB, CFB8, CFB128, CTR, OFB	128, 192, 256	Encryption, Decryption
A2720	AES CCM	SP 800-38C	CCM	128, 192, 256	Generation, Authentication
A2720	AES CMAC	SP 800-38B	CMAC	128, 192, 256	Generation, Authentication
A2720	AES GCM/GMAC ¹	SP 800-38D	GCM/GMAC	128, 192, 256	Generation, Authentication
A2720	CVL: KDF, Existing Application-Specific ²	SP 800-135	TLS v1.0/1.1 KDF, TLS 1.2 KDF, SSH KDF, X9.63 KDF, IKEv2 KDF, SRTP KDF	Various (See #A2720 for details)	KDF Services
A2720	DRBG	SP 800-90A	Hash DRBG HMAC DRBG CTR DRBG	112, 128, 192, 256 (SHA-1, SHA-2, 3-Key Triple DES, AES)	Random Bit Generation

¹ GCM encryption with an internally generated IV, see Security Policy section 3.4 concerning external IVs. IV generation is compliant with IG A.5.

² These protocols have not been reviewed or tested by the CAVP and CMVP.

CAVP Cert.	Algorithm	Standard	Mode/Method	Key Lengths, Curves or Moduli	Use
A2720	DSA ³	FIPS 186-4	Key Pair Generation, PQG Generation, PQG Verification, Signature Generation, Signature Verification	(1024, 160) ⁴ (2048, 224) (2048, 256) (3072, 256)	Digital Signature Services
A2720	ECDSA	FIPS 186-4	Key Generation, Signature Generation, Signature Verification, Public Key Validation, Signature Generation Component (CVL)	P-192 ⁵ , P-224, P-256, P-384, P- 521, K-163 ⁶ , K-233, K-283, K-409, K-571, B-163 ⁷ , B-233, B-283, B-409, B-571	Digital Signature Services
A2720	HMAC	FIPS 198-1	HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512, HMAC-SHA-512/224, HMAC-SHA-512/256, HMAC-SHA3-224, HMAC-SHA3-256, HMAC-SHA3-384, HMAC-SHA3-512	Various (KS<BS, KS=BS, KS>BS)	HMAC Generation, HMAC Authentication

³ DSA signature generation with SHA-1 is only for use with protocols

⁴ Key size only used for Signature Verification

⁵ Curves only used for Signature Verification and Public Key Validation

⁶ Curves only used for Signature Verification and Public Key Validation

⁷ Curves only used for Signature Verification and Public Key Validation

CAVP Cert.	Algorithm	Standard	Mode/Method	Key Lengths, Curves or Moduli	Use
A2720	KBKDF, using Pseudorandom Functions	SP 800-108	Counter Mode, Feedback Mode, Double-Pipeline Iteration Mode	CMAC-based KDF: AES (128, 192, 256), 3-key Triple-DES HMAC-based KDF: SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 ⁸	KDF Services
A2720 (AES)	KTS: Key Wrapping Using AES ⁹	SP 800-38F	AES KW, AES KWP	128, 192, 256	Key Transport For AES, the key establishment methodology provides between 128 and 256 bits of encryption strength
A2720 (TDES)	KTS: Key Wrapping Using TDES ¹⁰	SP 800-38F	TKW	3-key Triple-DES	Key Transport For Triple-DES, key establishment methodology provides 112 bits of encryption strength
A2720	RSA	FIPS 186-4 SP 800-56B Section 7.1.2	Key Pair Generation: 2048, 3072 Signature Generation (ANSI X9.31, PKCS 1.5, and PKCSPSS): 2048, 3072 Signature Verification (ANSI X9.31, PKCS 1.5, and PKCSPSS): 1024, 2048, 3072, 4096 RSA Signature Primitive Component (CVL): 2048 RSA Decryption Primitive Component (CVL) per SP 800-56B: 2048	Digital Signature Services, Key Transport (per SP 800-56B)	
		FIPS 186-2	Signature Verification (ANSI X9.31, PKCS 1.5, and PKCSPSS): 1024, 1536, 2048, 3072, 4096 bits		

⁸ Note: CAVP testing is not provided for use of the PRFs SHA-512/224 and SHA-512/256. These must not be used in FIPS Approved mode.

⁹ Keys are not established directly into the module using key unwrapping.

¹⁰ Keys are not established directly into the module using key unwrapping.

CAVP Cert.	Algorithm	Standard	Mode/Method	Key Lengths, Curves or Moduli	Use
A2720	SHA-3, SHAKE	FIPS 202	SHA3-224, SHA3-256, SHA3-384, SHA3-512, SHAKE128, SHAKE256,	N/A	Digital Signature Generation, Digital Signature Verification, non-Digital Signature Applications
A2720	SHS	FIPS 180-4	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, SHA-512/256	N/A	Digital Signature Generation, Digital Signature Verification, non-Digital Signature Applications
A2720	Triple-DES	SP 800-67	TCBC, TCFB8, TCFB64, TECB, TOFB, CTR	2-key ¹¹ , 3-key ¹²	Encryption, Decryption
A2720	Triple-DES CMAC	SP 800-38B	Triple-DES	Triple-DES with 2-key ¹³ , 3-key	Generation, Authentication

¹¹ 2²⁰ block limit is enforced by the module, 2-key encryption is disabled.

¹² 3-key Triple-DES encryption must not be used for more than 2²⁰ blocks for any given key.

¹³ 2²⁰ block limit is enforced by the module. In FIPS Approved mode, the use of 2-key Triple-DES to generate MACs for anything other than verification purposes is non-compliant.

2.1.4.2 Vendor Affirmed Approved Algorithms

The following Approved cryptographic algorithms were implemented with vendor affirmation.

Table 4 - Approved Cryptographic Functions Implemented with Vendor Affirmation

Algorithm	IG Reference	Use
AES-CBC Ciphertext Stealing (CS)	Vendor Affirmed per IG A.12	[Addendum to SP 800-38A, Oct 2010] Functions: Encryption, Decryption Modes: CBC-CS1, CBC-CS2, CBC-CS3 Key Sizes: 128, 192, 256
CKG using output from DRBG ¹⁴	Vendor Affirmed per IG D.12	[SP 800-133] Section 6.1 (Asymmetric from DRBG) Section 7.1 (Symmetric from DRBG) Using DRBG #A2720
cSHAKE128, cSHAKE256	Vendor Affirmed per IG A.15	[SP 800-185] Section 3, cSHAKE Using SHA3 #A2720, SHAKE #A2720
KAS-SSC ¹⁵	Vendor Affirmed per IG D.1-rev3	[SP 800-56Ar3] <ul style="list-style-type: none"> • Section 5.6.2.3.1 (Finite Field Cryptography (FFC) Full Public Key Validation Routine) • Section 5.6.2.3.2 (Elliptic Curve Cryptography (ECC) Full Public Key Validation Routine) • Section 5.7 (DLC Primitive) • Section 5.8 (Key Derivation Functions for Key Agreement Schemes) • Section 5.9 (Key Confirmation) • Section 6 (Key Agreement) • Parameter sets/Key sizes <ul style="list-style-type: none"> ○ ECC: Approved P, B, K Curves per Appendix D ○ FFC: Safe primes per Appendix D (safe primes key generation tested under #A2720)
KTS: Key Transport ¹⁶ Using RSA	Vendor Affirmed per IG D.4	[SP 800-56B, Section 7.2.3] RSA-KEM-KWS with, and without, key confirmation Key sizes: 2048, 3072 bits
KTS: Key Transport ¹⁷ Using RSA	Vendor Affirmed per IG D.4	[SP 800-56B, Section 7.2.2] RSA-OAEP with, and without, key confirmation Key sizes: 2048, 3072 bits

¹⁴ The resulting key or a generated seed is an unmodified output from a DRBG

¹⁵ Keys are not directly established into the module using key agreement or transport techniques.

¹⁶ Keys are not directly established into the module using key agreement or transport techniques.

¹⁷ Keys are not directly established into the module using key agreement or transport techniques.

Algorithm	IG Reference	Use
PBKDF, password-based key derivation	Vendor Affirmed per IG D.6	[SP 800-132] Options: PBKDF with Option 1a Functions: HMAC-based KDF using SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 Using HMAC #A2720 Refer also to Security Policy section 3.5 - Enforcement and Guidance for Use of the Approved PBKDF
RSA	Vendor Affirmed per IG A.14	[SP 800-131Ar2] Section 3 Key sizes: 4096 - 16384 bits Using mechanism tested in #A2720

2.1.5 Non-Approved But Allowed Cryptographic Algorithms

The module supports the following FIPS 140-2 non-Approved but allowed algorithms that may be used in the FIPS Approved mode of operation.

Table 5 - Non-Approved But Allowed Cryptographic Algorithms

Algorithm	Use
MD5 within TLS	[IG D.2, IG 1.23 example 2a]
NDRNG	[IG 7.15, IG 7.14 example 1b] Non-deterministic random number generator. The module generates cryptographic keys whose strengths are modified by available entropy
RSA Key Wrapping, Non-SP 800-56B compliant	[IG D.9] RSA may be used by a calling application as part of a key encapsulation scheme. Key sizes: 4096 - 16384 bits (key wrapping; key establishment methodology provides between 150 and 256 bits of encryption strength)

2.1.6 Non-Approved Mode of Operation

The module supports a non-Approved mode of operation. The algorithms listed in this section are not to be used by the operator in the FIPS Approved mode of operation.

Table 6 - Non-Approved Cryptographic Functions for Use in non-Approved mode Only

Algorithm	Use
AES (non-compliant ¹⁸)	Encryption, Decryption
ARC4 (RC4)	Encryption, Decryption
Blowfish	Encryption, Decryption

¹⁸ Support for additional modes of operation.

Algorithm	Use
Camellia	Encryption, Decryption
CAST5	Encryption, Decryption
DES	Encryption, Decryption
DSA (non-compliant ¹⁹)	Public Key Cryptography
DSTU4145	Public Key Cryptography
ECDSA (non-compliant ²⁰)	Public Key Cryptography
EdDSA	Public Key Cryptography
ElGamal	Public Key Cryptography
GOST28147	Encryption, Decryption
GOST3410-1994	Hashing
GOST3410-2001	Hashing
GOST3411	Hashing
HMAC-GOST3411	Hashing
HMAC-MD5	Hashing
HMAC-RIPEMD128	Hashing
HMAC-RIPEMD160	Hashing
HMAC-RIPEMD256	Hashing
HMAC-RIPEMD320	Hashing
HMAC-TIGER	Hashing
HMAC-WHIRLPOOL	Hashing
IDEA	Encryption, Decryption
KAS ²¹ , Diffie-Hellman (non-compliant ²²)	Key Agreement
KAS ²³ using SHA-512/224 or SHA-512/256	Key Agreement
KBKDF using SHA-512/224 or SHA-512/256 (non-compliant)	KDF
MD5	Hashing
OpenSSL PBKDF (non-compliant)	KDF
PKCS#12 PBKDF (non-compliant)	KDF
PKCS#5 Scheme 1 PBKDF (non-compliant)	KDF
PRNG X9.31	Random Number Generation
RC2	Encryption, Decryption
RIPEMD128	Hashing
RIPEMD160	Hashing
RIPEMD256	Hashing
RIPEMD320	Hashing
RSA (non-compliant ²⁴)	Public Key Cryptography
RSA KTS (non-compliant ²⁵)	Public Key Cryptography
SCrypt	KDF

¹⁹ Deterministic signature calculation, support for additional digests, and key sizes.

²⁰ Deterministic signature calculation, support for additional digests, and key sizes.

²¹ Keys are not directly established into the module using key agreement or transport techniques.

²² Support for additional key sizes and the establishment of keys of less than 112 bits of security strength.

²³ Keys are not directly established into the module using key agreement or transport techniques.

²⁴ Support for additional digests and signature formats, PKCS#1 1.5 key wrapping, support for additional key sizes.

²⁵ Support for additional key sizes and the establishment of keys of less than 112 bits of security strength.

Algorithm	Use
SEED	Encryption, Decryption
Serpent	Encryption, Decryption
SipHash	Hashing
SHACAL-2	Encryption, Decryption
TIGER	Hashing
Triple DES (non-compliant ²⁶)	Encryption, Decryption
Twofish	Encryption, Decryption
WHIRLPOOL	Hashing
XDH	Key Agreement

2.2 Critical Security Parameters and Public Keys

2.2.1 Critical Security Parameters

The table below provides a complete list of Critical Security Parameters used within the module:

Table 7 - Critical Security Parameters

CSP	Description / Usage
AES Encryption Key	[FIPS 197, SP 800-38A, SP 800-38C, SP 800-38D, Addendum to SP 800-38A] AES (128/192/256) encrypt key ²⁷
AES Decryption Key	[FIPS 197, SP 800-38A, SP 800-38C, SP 800-38D, Addendum to SP 800-38A] AES (128/192/256) decrypt key
AES Authentication Key	[FIPS 197] AES (128/192/256) CMAC/GMAC key
AES Wrapping Key	[SP 800-38F] AES (128/192/256) key wrapping key
DH Agreement Key	[SP 800-56Ar3] Diffie-Hellman (160 - 512 bits) private key agreement key
DRBG (CTR AES)	V (128 bits) and AES key (128/192/256), entropy input (length dependent on security strength)
DRBG (CTR Triple-DES)	V (64 bits) and Triple-DES key (192), entropy input (length dependent on security strength)
DRBG (Hash)	V (440/888 bits) and C (440/888 bits), entropy input (length dependent on security strength)
DRBG (HMAC)	V (160/224/256/384/512 bits) and Key (160/224/256/384/512 bits), entropy input (length dependent on security strength)
DSA Signing Key	[FIPS 186-4] DSA (2048/3072) signature generation key

²⁶ Support for additional modes of operation

²⁷ The AES GCM key and IV are generated randomly per IG A.5, and the Initialization Vector (IV) is a minimum of 96 bits. In the event module power is lost and restored, the consuming application must ensure that any of its AES GCM keys used for encryption or decryption are re-distributed. Refer also to Security Policy section 3.4.

CSP	Description / Usage
EC Agreement Key	[SP 800-56Ar3] EC (P-224, P-256, P-384, P-521, K-233, K-283, K-409, K-571, B-233, B-283, B-409 and B-571) private key agreement key
EC Signing Key	[FIPS 186-4] ECDSA (P-224, P-256, P-384, P-521, K-233, K-283, K-409, K-571, B-233, B-283, B-409 and B-571) signature generation key
HMAC Authentication Key	[FIPS 198-1] Keyed-Hash key (SHA-1, SHA-2, SHA-3). Key size determined by security strength required (≥ 112 bits)
IKEv2 Derivation Function Secret Value	[SP 800-135] Secret value used in construction of key for the specified IKEv2 PRF
PBKDF Secret Value	[SP 800-132] Secret value used in construction of Keyed-Hash key for the specified PRF
RSA Signing Key	[FIPS 186-4] RSA (2048 - 16384 bits) signature generation key
RSA Key Transport Key	[SP 800-56B] RSA (2048 - 16384 bits) key transport (decryption) key
SP 800-56C Concatenation Derivation Function Secret Value	[SP 800-56C] Secret value used in construction of key for underlying PRF
SP 800-108 KDF Secret Value	[SP 800-108] Secret value used in construction of key for the specified PRF
SRTP Derivation Function Secret Value	[SP 800-135] Secret value used in construction of key for the specified SRTP PRF
SSH Derivation Function Secret Value	[SP 800-135] Secret value used in construction of key for the specified SSH PRF
TLS KDF Secret Value	[SP 800-135] Secret value used in construction of Keyed-Hash key for the specified TLS PRF
Triple-DES Encryption Key	[SP 800-67] Triple-DES (192 bits) encryption key
Triple-DES Decryption Key	[SP 800-67] Triple-DES (128/192 bits) decryption key
Triple-DES Authentication Key	[SP 800-67] Triple-DES (128/192 bits) CMAC key
Triple-DES Wrapping Key	[SP 800-38F] Triple-DES key wrapping (192 bits)/unwrapping key (128/192 bits)
X9.63 KDF Secret Value	[SP 800-135] Secret value used in construction of Keyed-Hash key for the specified X9.63 PRF

2.2.2 Public Keys

The table below provides a complete list of the public keys used within the module:

Table 8 - Public Keys

Public Key	Description / Usage
DH Agreement Key	[SP 800-56Ar3] Diffie-Hellman (2048 and 3072) public key agreement key
DSA Verification Key	[FIPS 186-4] DSA (1024/2048/3072) signature verification key
EC Agreement Key	[SP 800-56Ar3] EC (P-224, P-256, P-384, P-521, K-233, K-283, K-409, K-571, B-233, B-283, B-409 and B-571) public key agreement key
EC Verification Key	[FIPS 186-4] ECDSA (P-192, P-224, P-256, P-384, P-521, K-163, K-233, K-283, K-409, K-571, B-163, B-233, B-283, B-409 and B-571) signature verification key
RSA Key Transport Key	[SP 800-56B] RSA (2048 - 16384) key transport (encryption) key
RSA Verification Key	[FIPS 186-4] RSA (1024 - 16384) signature verification key

2.3 Module Interfaces

The figure below shows the module’s physical and logical block diagram:

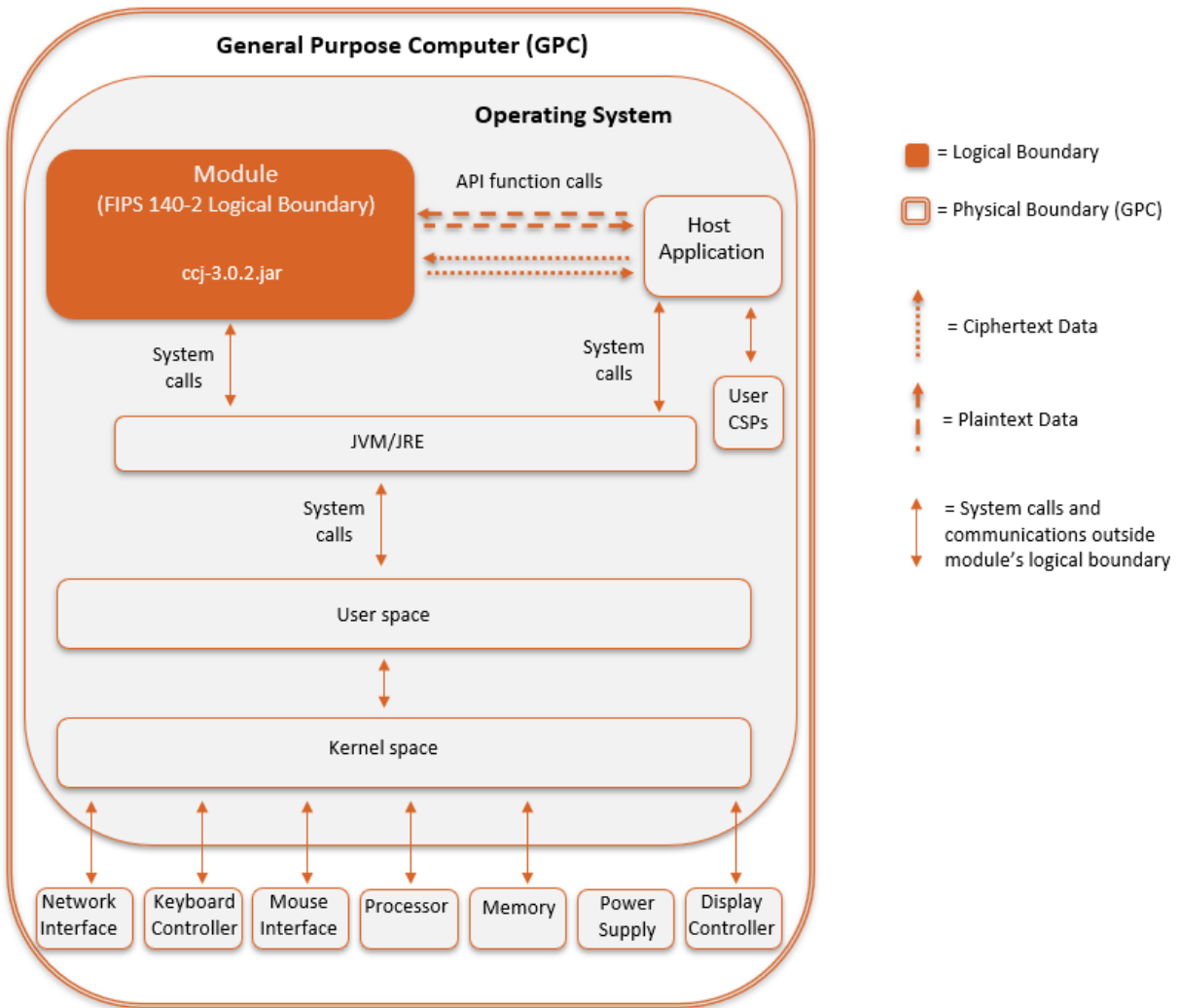


Figure 1 – Module Boundary and Interfaces Diagram

The module’s physical boundary is the boundary of the General Purpose Computer (GPC) that the module is installed on, which includes a processor and memory. The interfaces (ports) for the physical boundary include the computer’s network port, keyboard port, mouse port, power plug, and display. When operational, the module does not transmit any information across these physical ports because it is a software cryptographic module. Therefore, the module’s interfaces are purely logical.

Figure 1 shows the logical relationship of the cryptographic module to the other software and hardware components of the GPC. The module classes are executed on the Java Virtual Machine (JVM) using the classes of the Java Runtime Environment (JRE). The JVM is the interface to the computer’s Operating System (OS), which is the interface to the various physical components of the computer. The logical

interface is provided through an Application Programming Interface (API) that a calling daemon can operate. The API itself defines the module’s logical boundary, i.e. all access to the module is through this API. The API provides functions that may be called by an application (see Section 2.4 – Roles, Services, and Authentication for the list of available functions). The module distinguishes between logical interfaces by logically separating the information according to the defined API.

The API provided by the module is mapped onto the FIPS 140- 2 logical interfaces, which relate to the module’s callable interface as follows:

Table 9 - Logical Interface / Physical Interface Mapping

FIPS 140-2 Interface	Logical Interface	Module Physical Interface
Data Input	API input parameters – plaintext and/or ciphertext data	Network Interface
Data Output	API output parameters and return values – plaintext and/or ciphertext data	Network Interface
Control Input	API method calls – method calls, or input parameters, that specify commands and/or control data used to control the operation of the module	Network Interface, Keyboard Interface, Mouse Interface
Status Output	API output parameters and return/error codes that provide status information used to indicate the state of the module	Display Controller, Network Interface
Power	None	Power Supply

When the module performs self-tests, is in an error state, is generating keys, or performing zeroization, the module prevents all output on the logical data output interface as only the thread performing the operation has access to the data. The module is single-threaded, and in an error state, the module does not return any output data, only an error value.

2.4 Roles, Services, and Authentication

2.4.1 Assumption of Roles

The module supports two distinct operator roles, which are the User and Crypto Officer (CO), as indicated in Table 10 - Description of Roles. The cryptographic module implicitly maps the two roles to the services. A user is considered the owner of the thread that instantiates the module and, therefore, only one concurrent user is allowed.

The module does not support a Maintenance role or bypass capability. The module does not support authentication.

Table 10 - Description of Roles

Role	Role Description	Authentication Type
CO	Crypto Officer – Powers the module on and off	N/A – Authentication is not a requirement for FIPS 140 Level 1
User	User – The user of the complete API	N/A – Authentication is not a requirement for FIPS 140 Level 1

2.4.2 Services

All services implemented by the module are listed in Table 11 - Module Services, Descriptions. The second column provides a description of each service, and availability to the Crypto Officer and User is indicated in columns three and four, respectively. Table 12 - CSP Access Rights within Services describes all CSP usage by services.

Table 11 - Module Services, Descriptions, and Roles

Service	Description	CO	User
Initialize Module and Run Self-Tests on Demand	The JRE will call the static constructor for self-tests on module initialization.	X	
Show Status	A user can call <i>FipsStatus.IsReady()</i> at any time to determine if the module is ready. <i>CryptoServicesRegistrar.IsInApprovedOnlyMode()</i> can be called to determine the FIPS mode of operation.		X
Zeroize / Power-off	The module uses the JVM garbage collector on thread termination.		X
Data Encryption	Used to encrypt data.		X
Data Decryption	Used to decrypt data.		X
MAC Calculation	Used to calculate data integrity codes with CMAC.		X
Signature Generation	Used to generate digital signatures (DSA, ECDSA, RSA).		X
Signature Verification	Used to verify digital signatures (DSA, ECDSA, RSA).		X
DRBG (SP 800-90A) output	Used for random number, IV and key generation.		X
Message Hashing	Used to generate a SHA-1, SHA-2, or SHA-3 message digest, SHAKE output.		X
Keyed Message Hashing	Used to calculate data integrity codes with HMAC.		X
TLS Key Derivation Function	(secret input) (outputs secret) Used to calculate a value suitable to be used for a master secret in TLS from a pre-master secret and additional input.		X
SP 800-108 KBKDF	(secret input) (outputs secret) Used to calculate a value suitable to be used for a secret key from an input secret and additional input.		X
SSH Derivation Function	(secret input) (outputs secret) Used to calculate a value suitable to be used for a secret key from an input secret and additional input.		X

Service	Description	CO	User
X9.63 Derivation Function	(secret input) (outputs secret) Used to calculate a value suitable to be used for a secret key from an input secret and additional input.		X
SP 800-56C Concatenation Derivation Function	(secret input) (outputs secret) Used to calculate a value suitable to be used for a secret key from an input secret and additional input.		X
IKEv2 Derivation Function	(secret input) (outputs secret) Used to calculate a value suitable to be used for a secret key from an input secret and additional input.		X
SRTP Derivation Function	(secret input) (outputs secret) Used to calculate a value suitable to be used for a secret key from an input secret and additional input.		X
PBKDF	(secret input) (outputs secret) Used to generate a key using an encoding of a password and an additional function such as a message hash.		X
Key Agreement Schemes	Used to calculate key agreement values (SP 800-56Ar3, key agreement in non-Approved mode)		X
Key Wrapping/Transport	Used to encrypt a key value. (RSA, AES, Triple-DES)		X
Key Unwrapping	Used to decrypt a key value. (RSA, AES, Triple-DES)		X
NDRNG Callback	Gathers entropy in a passive manner from a user-provided function.		X
Utility	Miscellaneous utility functions, does not access CSPs.		X

Note: The module services are the same in the FIPS Approved and non-Approved modes of operation. The only difference is the function(s) used (Approved/allowed or non-Approved/non-allowed).

Services in the module are accessed via the public APIs of the Jar file. The ability of a thread to invoke non-Approved services depends on whether it has been registered with the module as FIPS Approved mode only. In FIPS Approved only mode, no non-Approved services are accessible. In the presence of a Java SecurityManager FIPS Approved mode services specific to a context (such as DSA and ECDSA for use in TLS) require specific permissions to be configured in the JVM configuration by the Crypto Officer or User.

In the absence of a Java SecurityManager specific services related to protocols such as TLS are available, however must only be used in relation to those protocols.

Table 12 - CSP Access Rights within Services defines the relationship between access to CSPs and the different module services. The modes of access shown in the table are defined as:

- **G** = Generate: The module generates the CSP.
- **R** = Read: The module reads the CSP. The read access is typically performed before the module uses the CSP.
- **E** = Execute: The module executes using the CSP.

- **W** = Write: The module writes the CSP. The write access is typically performed after a CSP is imported into the module, when the module generates a CSP, or when the module overwrites an existing CSP.
- **Z** = Zeroize: The module zeroizes the CSP.

Table 12 - CSP Access Rights within Services

Services	CSPs																											
	AES Encryption Key	AES Decryption Key	AES Authentication Key	AES Wrapping Key	DH Agreement Key	DRBG (CTR AES)	DRBG (CTR Triple-DES)	DRBG (Hash)	DRBG (HMAC)	DSA Signing Key	EC Agreement Key	EC Signing Key	HMAC Authentication Key	IKEv2 DF Secret	PBKDF Secret	RSA Signing Key	RSA Key Transport Key	SP 800-56C Concat. DF Secret	SP 800-108 KDF Secret	SRTP DF Secret	SSH DF Secret Value	TLS KDF Secret	Triple-DES Encryption Key	Triple-DES Decryption Key	Triple-DES Authentication Key	Triple-DES Wrapping Key	X9.63 KDF Secret Value	
Initialize Module and Run Self-Tests on Demand																												
Show Status																												
Zeroize / Power-off	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	
Data Encryption	R																						R					
Data Decryption		R																						R				
MAC Calculation			R																						R			
Signature Generation										R		R					R											
Signature Verification										R		R					R											
DRBG (SP 800-90A) output	G	G	G	G	G	G	G	G	G	G	G	G				G	G						G	G	G	G		
Message Hashing																												
Keyed Message Hashing													R															
TLS Key Derivation Function																						R						
SP 800-108 KBKDF																				R								

Services	CSPs																											
	AES Encryption Key	AES Decryption Key	AES Authentication Key	AES Wrapping Key	DH Agreement Key	DRBG (CTR AES)	DRBG (CTR Triple-DES)	DRBG (Hash)	DRBG (HMAC)	DSA Signing Key	EC Agreement Key	EC Signing Key	HMAC Authentication Key	IKEv2 DF Secret	PBKDF Secret	RSA Signing Key	RSA Key Transport Key	SP 800-56C Concat. DF Secret	SP 800-108 KDF Secret	SRTTP DF Secret	SSH DF Secret Value	TLS KDF Secret	Triple-DES Encryption Key	Triple-DES Decryption Key	Triple-DES Authentication Key	Triple-DES Wrapping Key	X9.63 KDF Secret Value	
SSH Derivation Function																					R							
X9.63 Derivation Function					G					G						G												R
SP 800-56C Concatenation Derivation Function					G					G						G		R										
IKEv2 Derivation Function														R														
SRTTP Derivation Function																				R								
PBKDF												G R			R													
Key Agreement Schemes	G	G	G	G	R					R	G					R							G	G	G	G		
Key Wrapping/ Transport				R								R				R											R	
Key Unwrapping				R								R				R											R	
NDRNG Callback						G	G	G	G																			
Utility																												

2.5 Physical Security

The module is a software-only module and does not have physical security mechanisms.

2.6 Operational Environment

The module operates in a modifiable operational environment under the FIPS 140-2 definitions.

The module runs on a GPC running one of the operating systems specified in the approved operational environment list in this section. Each approved operating system manages processes and threads in a logically separated manner. The module’s user is considered the owner of the calling application that instantiates the module within the process space of the Java Virtual Machine.

The module optionally uses the Java Security Manager and starts in FIPS Approved mode by default when used with the Java Security Manager. When the module is not used within the context of the Java Security Manager, it will start by default in the non-Approved mode.

The module was tested on the following platforms:

Table 13 - Tested Environments

Operating System	Hardware Platform	Processor (CPU)
VMware Photon OS 2.0 with JDK 11 on VMware ESXi 6.7	Dell PowerEdge R830	Intel Xeon E5

FIPS 140-2 validation compliance is maintained for other compatible operating systems (in single user mode) where the module source code is unmodified, and the requirements outlined in NIST IG G.5 are met. No claim can be made as to the correct operation of the module or the security strengths of the generated keys when ported to an operational environment which is not listed on the validation certificate.

The module, when compiled from the same unmodified source code, is vendor-affirmed to be FIPS 140-2 compliant when running one of the Java SE Runtime environments on any of the following on the following supported single-user operating systems for which operational testing and algorithm testing were not performed:

- Windows XP, Windows Vista, Windows 7, Windows 8, Windows 10, Windows 11
- OS X Mavericks (10.9), OS X Yosemite (10.10), OS X El Capitan (10.11)
- macOS Sierra (10.12), macOS High Sierra (10.13), macOS Mojave (10.14), macOS Catalina (10.15), macOS Big Sur (11), macOS Monterey (12)
- Red Hat Enterprise Linux 7, Red Hat Enterprise Linux 8, Red Hat Enterprise Linux 9
- Ubuntu 12.04 LTS (Precise Pangolin), Ubuntu 12.10 (Quantal Quetzal), Ubuntu 13.04 (Raring Ringtail), Ubuntu 13.10 (Saucy Salamander), Ubuntu 14.04 LTS (Trusty Tahr), Ubuntu 14.10

(Utopic Unicorn), Ubuntu 15.04 (Vivid Vervet), Ubuntu 15.10 (Wily Werewolf), Ubuntu 16.04 LTS (Xenial Xerus), Ubuntu 16.10 (Yakkety Yak), Ubuntu 17.04 (Zesty Zapus), Ubuntu 17.10 (Artful Aardvark), Ubuntu 18.04 LTS (Bionic Beaver), Ubuntu 18.10 (Cosmic Cuttlefish), Ubuntu 19.04 (Disco Dingo), Ubuntu 19.10 (Eoan Ermine), Ubuntu 20.04 LTS (Focal Fossa), Ubuntu 20.10 (Groovy Gorilla), Ubuntu 21.04 (Hirsute Hippo), Ubuntu 21.10 (Impish Indri)

- CentOS 6.8, CentOS 6.9, CentOS 6.10, CentOS 7.1, CentOS 7.2, CentOS 7.3, CentOS 7.4, CentOS 7.5, CentOS 7.6, CentOS 7.7, CentOS 7.8, CentOS 7.9, CentOS 8.0, CentOS 8.1, CentOS 8.2, CentOS 8.3, CentOS 8.4, CentOS 8.5
- CentOS Stream 8, CentOS Stream 9
- Debian 7 (Wheezy), Debian 8 (Jessie), Debian 9 (Stretch), Debian 10 (Buster), Debian 11 (Bullseye)
- Oracle Solaris 11.1, Oracle Solaris 11.2, Oracle Solaris 11.3, Oracle Solaris 11.4
- HP-UX 11i v3, HP-UX 11i v4
- AIX 7.1, AIX 7.2
- Raspbian Jessie, Raspbian Stretch, Raspberry Pi OS Buster, Raspberry Pi OS Bullseye

2.6.1 Use of External RNG

The module makes use of the JVM's configured `SecureRandom` entropy source to provide entropy when required. The module will request entropy as appropriate to the security strength and seeding configuration for the DRBG that is using it and for the default DRBG will request a minimum of 256 bits of entropy. In approved mode the minimum amount of entropy that can be requested by a DRBG is 112 bits. The module will wait until the `SecureRandom.generateSeed()` returns the requested amount of entropy, blocking if necessary.

2.7 Self-Tests

Each time the module is powered up, it tests that the cryptographic algorithms still operate correctly and that sensitive data has not been damaged. Power-up self-tests are available on demand by power cycling the module.

On power-up or reset, the module performs the self-tests that are described in Table 14 - Power-Up Self-Tests. All KATs must be completed successfully prior to any other use of cryptography by the module. If one of the KATs fails, the module enters the Self-Test Failure error state. The module will output a detailed error message when `FipsStatus.isReady()` is called. The error state can only be cleared by reloading the module and calling `FipsStatus.isReady()` again to confirm successful completion of the KATs.

2.7.1 Power-Up Self-Tests

Table 14 - Power-Up Self-Tests

Test Target	Description
Software Integrity Check	HMAC-SHA-256 (HMAC Cert. #A2720)
AES	KATs: Encryption, Decryption Modes: ECB Key sizes: 128 bits
AES CCM	KATs: Generation, Verification Key sizes: 128 bits
AES CMAC	KATs: Generation, Verification Key sizes: 128 bits
AES GCM/GMAC	KATs: Generation, Verification Key sizes: 128 bits
DRBG	KATs: HASH_DRBG, HMAC_DRBG, CTR_DRBG Security Strengths: 256 bits
DSA	KAT: Signature Generation, Signature Verification Key sizes: 2048 bits
ECDSA	KAT: Signature Generation, Signature Verification Curves/Key sizes: P-256
HMAC	KATs: Generation, Verification SHA sizes: SHA-256, SHA-512, SHA3-256
KAS: FFC ²⁸	KATs: Per IG 9.6 – Primitive “Z” Computation Parameter Sets/Key sizes: FB
KAS: ECC ²⁹	KATs: Per IG 9.6 – Primitive “Z” Computation Parameter Sets/Key sizes: EC
KBKDF (SP 800-108)	KATs: Per IG 9.4 – Output Verification Modes: Counter, Feedback, Double Pipeline PRFs: AES-CMAC, Triple-DES-CMAC, SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, SHA-512/256
RSA	KATs: Signature Generation, Signature Verification Key sizes: 2048 bits
RSA, Key Transport	KATs: SP 800-56B specific KATs per IG D.4 Key sizes: 2048 bits
RSA, Key Wrapping	KATs: SP 800-56B specific KATs per IG D.4 Key sizes: 2048 bits
SHS	KATs: Output Verification SHA sizes: SHA-1, SHA-256, SHA-512
Triple-DES	KATs: Encryption, Decryption Modes: TECB Key sizes: 3-Key

²⁸ Implemented by the module, though not required per IG D.1-rev3. The KAS is vendor affirmed to SP 800-56Ar3.

²⁹ Implemented by the module, though not required per IG D.1-rev3. The KAS is vendor affirmed to SP 800-56Ar3.

Test Target	Description
Triple-DES CMAC	KATs: Generation, Verification Key sizes: 3-Key
XOF (Extendable-Output functions)	KATs: Output Verification XOFs: SHAKE256

2.7.2 Conditional Self-Tests

The module implements the following conditional self-tests upon key generation, or random number generation (respectively):

Table 15 - Conditional Self-Tests

Test Target	Description
DRBG	DRBG Continuous Test performed when a random value is requested from the DRBG.
DRBG Health Checks	Performed conditionally on DRBG, per SP 800-90A Section 11.3.
DSA	DSA Pairwise Consistency Test performed on every DSA key pair generation.
ECDSA	ECDSA Pairwise Consistency Test performed on every EC key pair generation.
KAS: DH	DH Pairwise Consistency Test performed on every DH key pair generation.
KAS: ECDH/ECCDH	EC DH Pairwise Consistency Test performed on every ECDH/ECCDH key pair generation.
KAS: SP 800-56A Assurances ³⁰	Performed conditionally per SP 800-56A Sections 5.5.2, 5.6.2, and/or 5.6.3
NDRNG	NDRNG Continuous Test performed when a random value is requested from the NDRNG.
RSA	RSA Pairwise Consistency Test performed on every RSA key pair generation.

2.8 Mitigation of Other Attacks

The module implements basic protections to mitigate against timing-based attacks against its internal implementations. There are two countermeasures used.

³⁰ Implemented by the module, though not required per IG D.1-rev3. The KAS is vendor affirmed to SP 800-56Ar3.

The first countermeasure is Constant Time Comparisons, which protect the digest and integrity algorithms by strictly avoiding “fast fail” comparison of MACs, signatures, and digests so the time taken to compare a MAC, signature, or digest is constant regardless of whether the comparison passes or fails.

The second countermeasure is made up of Numeric Blinding and decryption/signing verification which both protect the RSA algorithm.

Numeric Blinding prevents timing attacks against RSA decryption and signing by providing a random input into the operation which is subsequently eliminated when the result is produced. The random input makes it impossible for a third party observing the private key operation to attempt a timing attack on the operation as they do not have knowledge of the random input and consequently the time taken for the operation tells them nothing about the private value of the RSA key.

Decryption/signing verification is carried out by calculating a primitive encryption or signature verification operation after a corresponding decryption or signing operation before the result of the decryption or signing operation is returned. The purpose of this is to protect against Lenstra's CRT attack by verifying the correctness of the private key calculations involved. Lenstra's CRT attack takes advantage of undetected errors in the use of RSA private keys with CRT values and, if exploitable, can be used to discover the private value of the RSA key.

3 Security Rules and Guidance

3.1 Basic Enforcement

The module design corresponds to the module security rules. This section documents the security rules enforced by the cryptographic module to implement the security requirements of this FIPS 140-2 Level 1 module.

1. The module provides two distinct operator roles: User and Crypto Officer.
2. The module does not provide authentication.
3. The operator may command the module to perform the power up self-tests by cycling power or resetting the module.
4. Power-up self-tests do not require any operator action.
5. Data output is inhibited during self-tests, zeroization, and error states. Output related to keys and their use is inhibited until the key concerned has been fully generated.
6. Status information does not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
7. There are no restrictions on which keys or CSPs are zeroized by the zeroization service.
8. The module does not support concurrent operators.
9. The module does not have any external input/output devices used for entry/output of data.
10. The module does not enter or output plaintext CSPs from the module's physical boundary.
11. The module does not output intermediate key values.

3.2 Additional Enforcement with a Java SecurityManager

In the presence of a Java SecurityManager FIPS Approved mode services specific to a context (such as DSA and ECDSA for use in TLS) require specific policy permissions to be configured in the JVM configuration by the Crypto Officer or User. The Java SecurityManager can also be used to restrict the ability of particular code bases to examine CSPs. See Section 2.1.3 –Module Configuration for further advice on this.

In the absence of a Java SecurityManager specific services related to protocols such as TLS are available, however must only be used in relation to those protocols.

3.3 Basic Guidance

The jar file representing the module needs to be installed in a JVM's class path in a manner appropriate to its use in applications running on the JVM.

Functionality in the module is provided in two ways. At the lowest level there are distinct classes that provide access to the FIPS Approved and non-Approved services provided by the module. A more

abstract level of access can also be gained using strings providing operation names passed into the module's Java cryptography provider through the APIs described in the Java Cryptography Architecture (JCA) and the Java Cryptography Extension (JCE).

When the module is being used in FIPS Approved-only mode, classes providing implementations of algorithms which are not FIPS Approved, or allowed, are explicitly disabled.

3.4 Enforcement and Guidance for AES GCM IVs

IVs for GCM can be generated randomly or via a FipsNonceGenerator. Where an IV is not generated within the module, the module supports the importing of GCM IVs.

In FIPS Approved mode, when a GCM IV is generated randomly, the module enforces the use of an approved DRBG in line with Section 8.2.2 of SP 800-38D.

In FIPS approved mode, when a GCM IV is generated using the FipsNonceGenerator, a counter is used as the basis for the nonce. Rollover of the counter in the FipsNonceGenerator will result in an `IllegalStateException` indicating the FipsNonceGenerator is exhausted. Per IG A.5, where the AES GCM IV is used for TLS, rollover will terminate any TLS session in process using the current key. The exception can only be recovered from by using a new handshake and creating a new FipsNonceGenerator.

In FIPS Approved mode, importing a GCM IV for encryption that originates from outside the module is non-conformant unless the source of the IV is also FIPS approved for GCM IV generation.

Per IG A.5, Section 2.2.1 of this Security Policy also states that in the event module power is lost and restored, the consuming application must ensure that any of its AES GCM keys used for encryption or decryption are re-distributed.

3.5 Enforcement and Guidance for Use of the Approved PBKDF

In line with the requirements for SP 800-132, keys generated using the approved PBKDF must only be used for storage applications. Any other use of the approved PBKDF is non-conformant.

In FIPS Approved mode the module enforces that any password used must encode to at least 14 bytes (112 bits) and that the salt is at least 16 bytes (128 bits) long. The iteration count associated with the PBKDF should be as large as practical.

As the module is a general purpose software module, it is not possible to anticipate all the levels of use for the PBKDF, however a user of the module should also note that a password should at least contain enough entropy to be unguessable and also contain enough entropy to reflect the security strength required for the key being generated. In the event a password encoding is simply based on ASCII, a 14-byte password is unlikely to contain sufficient entropy for most purposes. Users are referred to

Appendix A, "Security Considerations" in SP 800-132 for further information on password, salt, and iteration count selection.

For users interested in introducing memory hardness as a layer on top of the PBKDF, the script augmentation to PBKDF based on HMAC-SHA-256 (as described in RFC 7914) is also available.

3.6 Rules for Setting the N and the S String in cSHAKE

To customize the output of the cSHAKE function, the cSHAKE algorithm permits the operator to input strings for the Function-Name input (N) and the Customization String (S).

The Function-Name input (N) is reserved for values specified by NIST and should only be set to the appropriate NIST specified value. Any other use of N is non-conformant.

The Customization String (S) is available to allow users to customize the cSHAKE function as they wish. The length of S is limited to the available size of a byte array in the JVM running the module.

3.7 Guidance for the Use of DRBGs and Configuring the JVM's Entropy Source

A user can instantiate the default Approved DRBG for the module explicitly by using `SecureRandom.getInstance("DEFAULT", "CCJ")`, or by using a `CryptoComplyFipsProvider` object instead of the provider name as appropriate. This will seed the Approved DRBG from the live entropy source of the JVM, for example `/dev/random` on the tested Linux operational environments, with an appropriate number of bits of entropy for the security level of the default Approved DRBG configured for the module.

An additional option is available using the Approved Hash_DRBG and the process outlined in SP 800-90A, Section 8.6.5. The provider can be configured to use an DRBG chain based on a SHA-512 SP 800-90A DRBG as the internal (source) DRBG providing a seed generation for the external (target) DRBG. To configure this use: `"C:HYBRID;ENABLE{All};"`

The two DRBGs are instantiated in a chain as a "Source DRBG" to seed the "Target DRBG" in accordance with Section 7 of Draft NIST SP 800-90C, where the Target DRBG is the default Approved DRBG used by the module.

The initial seed and the subsequent reseeds for the DRBG chain come from the live entropy source configured for the JVM. The DRBG chain will reseed automatically by pausing for 20 requests (which will usually equate to 5120 bits). An entropy gathering thread reseeds the DRBG chain when it has gathered sufficient entropy (currently 256 bits) from the live entropy source. Once reseeded, the request counter is reset and the reseed process begins again.

The “Source DRBG” in the chain is internal to the module and inaccessible to the user to ensure it is only used for generating seeds for the default Approved DRBG of the module.

The user shall ensure that the Approved entropy source is configured per Section 6.1 of this Security Policy and will block, or fail, if it is unable to provide the amount of entropy requested.

3.8 Software Installation

The module is provided directly to solution developers and is not available for direct download to the general public. Only the compiled module is provided to solution developers. The module and its host application are to be installed on an operating system specified in Section 2.6 or on an operating system where portability is maintained.

4 References and Acronyms

4.1 References

Table 16 – References

Abbreviation	Full Specification Name
ANSI X9.31	X9.31-1998, Digital Signatures using Reversible Public Key Cryptography for the Financial Services Industry (rDSA), September 9, 1998
FIPS 140-2	Security Requirements for Cryptographic modules, May 25, 2001
FIPS 180-4	Secure Hash Standard (SHS)
FIPS 186-2	Digital Signature Standard (DSS)
FIPS 186-4	Digital Signature Standard (DSS)
FIPS 197	Advanced Encryption Standard
FIPS 198-1	The Keyed-Hash Message Authentication Code (HMAC)
FIPS 202	SHA-3 Standard: Permutation-Based Hash and Extendable-Output Functions
IG	Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation Program
PKCS#1 v2.1	RSA Cryptography Standard
PKCS#5	Password-Based Cryptography Standard
PKCS#12	Personal Information Exchange Syntax Standard
SP 800-38A	Recommendation for Block Cipher Modes of Operation: Three Variants of Ciphertext Stealing for CBC Mode
SP 800-38B	Recommendation for Block Cipher Modes of Operation: The CMAC Mode for Authentication
SP 800-38C	Recommendation for Block Cipher Modes of Operation: The CCM Mode for Authentication and Confidentiality
SP 800-38D	Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC
SP 800-38F	Recommendation for Block Cipher Modes of Operation: Methods for Key Wrapping
SP 800-56Ar3	Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography
SP 800-56B	Recommendation for Pair-Wise Key Establishment Schemes Using Integer Factorization Cryptography
SP 800-56C	Recommendation for Key Derivation through Extraction-then- Expansion
SP 800-67	Recommendation for the Triple Data Encryption Algorithm (TDEA) Block Cipher
SP 800-89	Recommendation for Obtaining Assurances for Digital Signature Applications
SP 800-90A	Recommendation for Random Number Generation Using Deterministic Random Bit Generators
SP 800-108	Recommendation for Key Derivation Using Pseudorandom Functions

SP 800-131Ar2	Transitioning the Use of Cryptographic Algorithms and Key Lengths
SP 800-132	Recommendation for Password-Based Key Derivation
SP 800-133	Recommendation for Cryptographic Key Generation
SP 800-135	Recommendation for Existing Application–Specific Key Derivation Functions
SP 800-185	SHA-3 Derived Functions: cSHAKE, KMAC, TupleHash, and ParallelHash

4.2 Acronyms

The following table defines acronyms found in this document:

Table 17 - Acronyms and Terms

Acronym	Term
AES	Advanced Encryption Standard
API	Application Programming Interface
CBC	Cipher-Block Chaining
CCM	Counter with CBC-MAC
CCCS	Canadian Centre for Cyber Security
CDH	Computational Diffie-Hellman
CFB	Cipher Feedback Mode
CMAC	Cipher-based Message Authentication Code
CMVP	Cryptographic Module Validation Program
CO	Crypto Officer
CPU	Central Processing Unit
CS	Ciphertext Stealing
CSP	Critical Security Parameter
CTR	Counter Mode
CVL	Component Validation List
DES	Data Encryption Standard
DH	Diffie-Hellman
DRAM	Dynamic Random Access Memory
DRBG	Deterministic Random Bit Generator
DSA	Digital Signature Algorithm
DSTU4145	Ukrainian DSTU-4145-2002 Elliptic Curve Scheme
EC	Elliptic Curve
ECB	Electronic Code Book
ECC	Elliptic Curve Cryptography
ECDSA	Elliptic Curve Digital Signature Algorithm
EdDSA	Edwards Curve DSA using Ed25519, Ed448
EMC	Electromagnetic Compatibility
EMI	Electromagnetic Interference
FIPS	Federal Information Processing Standard
GCM	Galois/Counter Mode
GMAC	Galois Message Authentication Code
GOST	Gosudarstvennyi Standard Soyuza SSR/Government Standard of the Union of Soviet Socialist Republics
GPC	General Purpose Computer
HMAC	(Keyed-) Hash Message Authentication Code
IG	Implementation Guidance
IV	Initialization Vector
JAR	Java ARchive
JCA	Java Cryptography Architecture

JCE	Java Cryptography Extension
JDK	Java Development Kit
JRE	Java Runtime Environment
JVM	Java Virtual Machine
KAS	Key Agreement Scheme
KAT	Known Answer Test
KDF	Key Derivation Function
KW	Key Wrap
KWP	Key Wrap with Padding
MAC	Message Authentication Code
MD5	Message Digest algorithm MD5
N/A	Not Applicable
NDRNG	Non Deterministic Random Number Generator
OCB	Offset Codebook Mode
OFB	Output Feedback
OS	Operating System
PBKDF	Password-Based Key Derivation Function
PKCS	Public-Key Cryptography Standards
PQG	Diffie-Hellman Parameters P, Q and G
RC	Rivest Cipher, Ron's Code
RIPEMD	RACE Integrity Primitives Evaluation Message Digest
RSA	Rivest, Shamir, and Adleman
SHA	Secure Hash Algorithm
TCBC	TDEA Cipher-Block Chaining
TCFB	TDEA Cipher Feedback Mode
TDEA	Triple Data Encryption Algorithm
TDES	Triple Data Encryption Standard
TECB	TDEA Electronic Codebook
TOFB	TDEA Output Feedback
TLS	Transport Layer Security
USB	Universal Serial Bus
XDH	Edwards Curve Diffie-Hellman using X25519, X448
XOF	Extendable-Output Function