



**F5, Inc.**

## **F5OS-C Cryptographic Module**

**FIPS 140-3 Non-Proprietary Security Policy**

**Document Version 1.0**

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Prepared by:



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# 1 General

## 1.1 Overview

This non-proprietary FIPS 140-3 Security Policy for the *F5OS Cryptographic Module Version C-1.8.1 VELOS Chassis*, version 1.8.1 describes how the module meets the security requirements specified in FIPS 140-3 for an overall security level 2 module and outlines the security rules and operating procedures required to maintain compliance.

## 1.2 Security Levels

| Section | Title                                   | Security Level |
|---------|-----------------------------------------|----------------|
| 1       | General                                 | 2              |
| 2       | Cryptographic module specification      | 2              |
| 3       | Cryptographic module interfaces         | 2              |
| 4       | Roles, services, and authentication     | 3              |
| 5       | Software/Firmware security              | 2              |
| 6       | Operational environment                 | 2              |
| 7       | Physical security                       | 2              |
| 8       | Non-invasive security                   | N/A            |
| 9       | Sensitive security parameter management | 2              |
| 10      | Self-tests                              | 2              |
| 11      | Life-cycle assurance                    | 2              |
| 12      | Mitigation of other attacks             | N/A            |
|         | Overall Level                           | 2              |

Table 1: Security Levels

## 2 Cryptographic Module Specification

### 2.1 Description

#### Purpose and Use:

The *F5OS Cryptographic Module Version C-1.8.1 VELOS Chassis* (hereafter referred to as “the module”) is a firmware module operating in a chassis platform which provides platform layer services components for the VELOS system controllers and chassis partitions.

**Module Type:** Firmware

**Module Embodiment:** Multi-Chip Standalone

**Cryptographic Boundary:** The cryptographic boundary for the module is defined as the F5OS-C image, which contains the operating system, all calling applications, and implements all approved services.

### F5OS-C Block Diagram

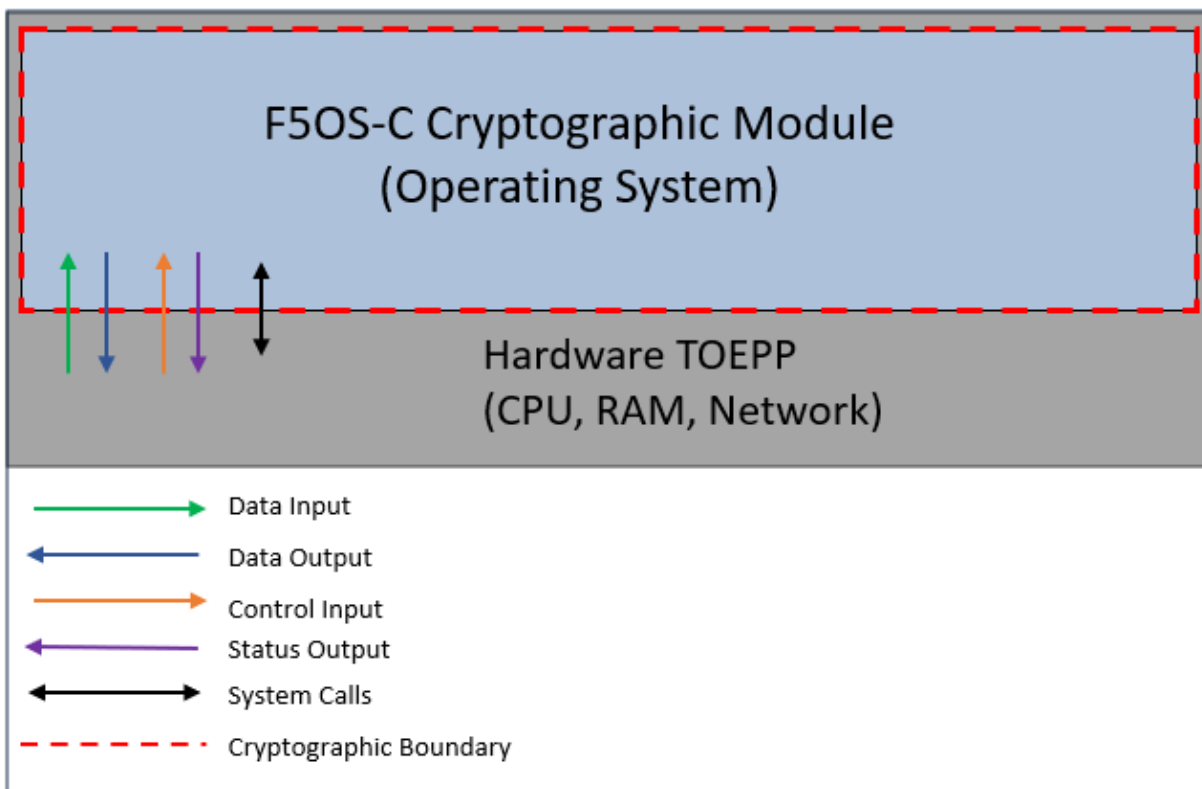


Figure 1: The F5OS-C Cryptographic Module block diagram

The Tested Operational Environment’s Physical Perimeter (TOEPP) for the module is defined as the module outer metal chassis of the F5 CX1610 and CX410 models and all components within the chassis, excluding the traffic blades. The CX1610 and CX410 Chassis models are pictured below.



Figure 2: The F5 CX1610 Chassis, fully populated with 16 BX520 traffic blades



Figure 3: The F5 CX410 Chassis, fully populated with 8 BX110 traffic blades

## 2.2 Tested and Vendor Affirmed Module Version and Identification

### Tested Module Identification – Software, Firmware, Hybrid (Executable Code Sets):

| Package or File Name | Software/ Firmware Version | Features | Integrity Test |
|----------------------|----------------------------|----------|----------------|
| F5OS-C               | 1.8.1                      | N/A      | HMAC-SHA2-384  |

Table 2: Tested Module Identification – Software, Firmware, Hybrid (Executable Code Sets)

### Tested Operational Environments - Software, Firmware, Hybrid:

| Operating System | Hardware Platform                                | Processors               | PAA/PAI | Hypervisor or Host OS | Version(s) |
|------------------|--------------------------------------------------|--------------------------|---------|-----------------------|------------|
| F5OS-C 1.8.1     | CX1610 (chassis) with SX1610 (system controller) | Intel Denverton-NS C3958 | Yes     | N/A                   | 1.8.1      |
| F5OS-C 1.8.1     | CX410 (chassis) with SX410 (system controller)   | Intel Denverton-NS C3758 | Yes     | N/A                   | 1.8.1      |

Table 3: Tested Operational Environments - Software, Firmware, Hybrid

CMVP makes no statement as to the correct operation of the module or the security strengths of the generated keys when so ported if the specific operational environment is not listed on the validation certificate.

## 2.3 Excluded Components

The module does not exclude any components within the cryptographic boundary.

## 2.4 Modes of Operation

### Modes List and Description:

| Mode Name     | Description                    | Type     | Status Indicator                                    |
|---------------|--------------------------------|----------|-----------------------------------------------------|
| Approved Mode | The approved mode of operation | Approved | Global ("FIPS Module: F5OS-C Cryptographic Module") |

Table 4: Modes List and Description

The module only supports approved mode of operation. The operator can confirm that the module is operating in the approved mode by invoking the following commands:

“Show system security fips-module” (which will output the following:)

“System security fips-module state name “FIPS Module: F5OS-C Cryptographic Module”

“show system licensing” (which will output the following:)

“FIPS 140 Compliant Mode, <CX1610 / CX410>”



## 2.5 Algorithms

### Approved Algorithms:

| Algorithm                | CAVP Cert | Properties                                                                                                                                                                                                                                                                                                                       | Reference         |
|--------------------------|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| AES-CBC                  | A4783     | Direction - Decrypt, Encrypt<br>Key Length - 128, 192, 256                                                                                                                                                                                                                                                                       | SP 800-38A        |
| AES-CTR                  | A4783     | Direction - Decrypt, Encrypt<br>Key Length - 128, 192, 256<br>Payload Length - Payload Length: 8-128<br>Increment 8<br>Supports Counter larger than maximum value - Yes<br>Incremental Counter - Yes<br>Counter Tests Performed - Yes                                                                                            | SP 800-38A        |
| AES-GCM                  | A4783     | Direction - Decrypt, Encrypt<br>IV Generation - Internal<br>IV Generation Mode - 8.2.1<br>Key Length - 128, 192, 256<br>Tag Length - 104, 112, 120, 128, 32, 64, 96<br>IV Length - IV Length: 96<br>Payload Length - Payload Length: 128, 256, 104, 408<br>AAD Length - AAD Length: 128, 384, 160, 720, 0                        | SP 800-38D        |
| Counter DRBG             | A4783     | Prediction Resistance - No<br>Supports Reseed - Yes<br>Mode - AES-256<br>Derivation Function Enabled - Yes<br>Additional Input - Additional Input: 0<br>Entropy Input - Entropy Input: 256<br>Nonce - Nonce: 128<br>Personalization String Length - Personalization String Length: 0-256<br>Increment 256<br>Returned Bits - 512 | SP 800-90A Rev. 1 |
| ECDSA KeyGen (FIPS186-5) | A4782     | Curve - P-256, P-384<br>Secret Generation Mode - testing candidates                                                                                                                                                                                                                                                              | FIPS 186-5        |
| ECDSA SigGen (FIPS186-5) | A4782     | Curve - P-256, P-384<br>Hash Algorithm - SHA2-256, SHA2-384, SHA2-512<br>Component - No                                                                                                                                                                                                                                          | FIPS 186-5        |
| ECDSA SigVer (FIPS186-5) | A4782     | Component - No<br>Curve - P-256, P-384<br>Hash Algorithm - SHA2-256, SHA2-384, SHA2-512                                                                                                                                                                                                                                          | FIPS 186-5        |

| Algorithm                     | CAVP Cert | Properties                                                                                                                                                                                                                                                    | Reference         |
|-------------------------------|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| HMAC-SHA-1                    | A4783     | MAC - MAC: 160<br>Key Length - Key Length: 8, 16, 64, 128, 1024                                                                                                                                                                                               | FIPS 198-1        |
| HMAC-SHA2-256                 | A4783     | MAC - MAC: 256<br>Key Length - Key Length: 8, 16, 64, 128, 1024                                                                                                                                                                                               | FIPS 198-1        |
| HMAC-SHA2-384                 | A4783     | MAC - MAC: 384<br>Key Length - Key Length: 8, 16, 64, 128, 1024                                                                                                                                                                                               | FIPS 198-1        |
| KAS-ECC-SSC<br>Sp800-56Ar3    | A4782     | Domain Parameter Generation Methods - P-256, P-384<br>Scheme - ephemeralUnified -<br>KAS Role - initiator, responder                                                                                                                                          | SP 800-56A Rev. 3 |
| KDF SSH (CVL)                 | A4782     | Cipher - AES-128, AES-256<br>Hash Algorithm - SHA2-256, SHA2-384                                                                                                                                                                                              | SP 800-135 Rev. 1 |
| RSA KeyGen<br>(FIPS186-5)     | A4782     | Key Generation Mode - probable<br>Modulo - 2048, 3072, 4096<br>p mod 8 - 0<br>Primality Tests - 2powSecStr<br>q mod 8 - 0<br>Fixed Public Exponent - 010001<br>Info Generated By Server - No<br>Private Key Format - standard<br>Public Exponent Mode - fixed | FIPS 186-5        |
| RSA SigGen<br>(FIPS186-5)     | A4782     | Hash Pair -<br>Hash Algorithm - SHA2-256<br>Modulo - 2048, 3072, 4096<br>Signature Type - pkcs1v1.5                                                                                                                                                           | FIPS 186-5        |
| RSA SigVer<br>(FIPS186-5)     | A4782     | Hash Pair -<br>Hash Algorithm - SHA2-256<br>Modulo - 2048, 3072, 4096<br>Signature Type - pkcs1v1.5<br>Fixed Public Exponent - 010001<br>Public Exponent Mode - fixed                                                                                         | FIPS 186-5        |
| SHA-1                         | A4783     | Message Length - Message Length: 0-65536 Increment 8                                                                                                                                                                                                          | FIPS 180-4        |
| SHA2-256                      | A4783     | Message Length - Message Length: 0-65536 Increment 8                                                                                                                                                                                                          | FIPS 180-4        |
| SHA2-384                      | A4783     | Message Length - Message Length: 0-65536 Increment 8                                                                                                                                                                                                          | FIPS 180-4        |
| TLS v1.2 KDF<br>RFC7627 (CVL) | A4782     | Hash Algorithm - SHA2-256, SHA2-384<br>Key Block Length - Key Block Length: 1024                                                                                                                                                                              | SP 800-135 Rev. 1 |

Table 5: Approved Algorithms -

## Entropy Source Conditioning Component

| Algorithm | CAVP Cert | Properties                                              | Reference |
|-----------|-----------|---------------------------------------------------------|-----------|
| SHA3-256  | A4093     | Message Length - Message Length: 0-65536<br>Increment 8 | FIPS 202  |

Table 6: Approved Algorithms - Entropy Source Conditioning Component

## Vendor-Affirmed Algorithms:

| Name | Properties             | Implementation | Reference                                    |
|------|------------------------|----------------|----------------------------------------------|
| CKG  | Key<br>Type:Asymmetric | N/A            | NIST SP800-133rev2 - Section 4,<br>example 1 |

Table 7: Vendor-Affirmed Algorithms

## Non-Approved, Allowed Algorithms:

N/A for this module.

The module does not implement any non-approved algorithms, allowed in the approved mode of operation.

## Non-Approved, Allowed Algorithms with No Security Claimed:

N/A for this module.

The module does not implement any non-approved algorithms, with no security claimed.

## Non-Approved, Not Allowed Algorithms:

N/A for this module.

The module does not implement any non-approved, not allowed algorithms.

## 2.6 Security Function Implementations

| Name         | Type                          | Description                                      | Properties | Algorithms                                                                                              |
|--------------|-------------------------------|--------------------------------------------------|------------|---------------------------------------------------------------------------------------------------------|
| RSA KeyGen   | AsymKeyPair-<br>KeyGen<br>CKG | Generation of<br>RSA public and<br>private key   |            | RSA KeyGen<br>(FIPS186-5):<br>(A4782)<br>Counter DRBG:<br>(A4783)<br>CKG: ()<br>Key Type:<br>Asymmetric |
| RSA SigGen   | DigSig-SigGen                 | Generation of<br>an RSA<br>signature             |            | RSA SigGen<br>(FIPS186-5):<br>(A4782)                                                                   |
| RSA SigVer   | DigSig-SigVer                 | Verification of<br>an RSA<br>signature           |            | RSA SigVer<br>(FIPS186-5):<br>(A4782)                                                                   |
| ECDSA KeyGen | AsymKeyPair-<br>KeyGen<br>CKG | Generation of<br>ECDSA public<br>and private key |            | ECDSA KeyGen<br>(FIPS186-5):<br>(A4782)<br>Counter DRBG:                                                |

| Name         | Type          | Description                                                                               | Properties                                                                                                                                                                                      | Algorithms                                                                              |
|--------------|---------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
|              |               |                                                                                           |                                                                                                                                                                                                 | (A4783)<br>CKG: ()<br>Key Type:<br>Asymmetric                                           |
| ECDSA SigGen | DigSig-SigGen | Generation of an ECDSA signature                                                          |                                                                                                                                                                                                 | ECDSA SigGen (FIPS186-5): (A4782)                                                       |
| ECDSA SigVer | DigSig-SigVer | Verification of an ECDSA signature                                                        |                                                                                                                                                                                                 | ECDSA SigVer (FIPS186-5): (A4782)                                                       |
| KAS-TLS      | KAS-Full      | Computation of a shared secret using EC Diffie-Hellman and derivation of TLS session keys | IG:D.F Scenario 2, path (2), split Key confirmation:no Key derivation:IG 2.4.B SP 800-135rev1 (CVL) Caveat:Key establishment methodology provides between 128 and 192 bits of security strength | KAS-ECC-SSC Sp800-56Ar3: (A4782) Scheme: ephemeralUnified TLS v1.2 KDF RFC7627: (A4782) |
| KAS-SSH      | KAS-Full      | Computation of a shared secret using EC Diffie-Hellman and derivation of SSH session keys | IG:D.F Scenario 2, path (2), split Key confirmation:no Key derivation:IG 2.4.B SP 800-135rev1 (CVL) Caveat:Key establishment methodology provides between 128 and 192 bits of security strength | KAS-ECC-SSC Sp800-56Ar3: (A4782) Scheme: ephemeralUnified KDF SSH: (A4782)              |
| AES-CBC      | BC-UnAuth     | AES encryption and decryption                                                             |                                                                                                                                                                                                 | AES-CBC: (A4783) Key Length: 128, 192                                                   |
| AES-CTR      | BC-UnAuth     | AES encryption and decryption                                                             |                                                                                                                                                                                                 | AES-CTR: (A4783) Key Length: 128, 192                                                   |

| Name      | Type                | Description                                                                                       | Properties | Algorithms                                                                                                                          |
|-----------|---------------------|---------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------|
| AES-GCM   | BC-Auth             | Authenticated AES encryption and decryption, deterministic IV construction per NIST 800-38D 8.2.1 |            | AES-GCM: (A4783)<br>Key Length: 128, 192                                                                                            |
| HMAC      | MAC                 | Message authentication code generation                                                            |            | HMAC-SHA-1: (A4783)<br>HMAC-SHA2-256: (A4783)<br>HMAC-SHA2-384: (A4783)<br>SHA-1: (A4783)<br>SHA2-256: (A4783)<br>SHA2-384: (A4783) |
| DRBG Seed | ENT-Cond<br>ENT-ESV | Generate DRBG Seed                                                                                |            | SHA3-256: (A4093)                                                                                                                   |

Table 8: Security Function Implementations

## 2.7 Algorithm Specific Information

### AES-GCM – IG C.H, Scenario 1:

#### TLS v1.2:

The Module is compliant with **TLS v1.2** and SP800-52 Rev2, Section 3.3.1. The Module supports TLS 1.2 GCM Cipher Suites for TLS, as described in RFC5288 and shall only be used for the TLS protocol version 1.2 to be compliant with FIPS 140-3 IG C.H, Scenario 1a.

No more than  $2^{64}-1$  AES-GCM encryptions may be performed in the same session and if the invocation counter reaches its maximum value  $2^{64}-1$ , the next AES-GCM encryption is performed with the invocation counter set to 0.

When a session is terminated for any reason, the keys and IVs are zeroised and cannot be used again.

In case the module's power is lost and then restored, new keys and IVs for use with the AES-GCM are established.

#### SSHv2:

This module is compliant with RFCs 4252, 4253 and the rules for using AES-GCM documented in RFC 5647. The IV is only used in the context of the AES-GCM mode encryptions within the SSHv2 protocol.

The fixed field is 4-bytes in length and is derived from the SSH-KDF, ensuring a unique fixed field for each SSH session.

The invocation field is 8-bytes in length and is incremented each time the AES-GCM function is invoked. No more than  $2^{64}-1$  AES-GCM encryptions may be performed in the same session and if the invocation counter reaches its maximum value  $2^{64}-1$ , the next AES-GCM encryption is performed with the invocation counter set to 0.

When a session is terminated for any reason, the keys and IVs are zeroised and cannot be used again.

In case the module's power is lost and then restored, new keys and IVs for use with the AES-GCM are established

## 2.8 RBG and Entropy

| Cert Number | Vendor Name |
|-------------|-------------|
| E85         | F5, inc.    |

Table 9: Entropy Certificates

| Name           | Type         | Operational Environment                                                                                   | Sample Size | Entropy per Sample | Conditioning Component |
|----------------|--------------|-----------------------------------------------------------------------------------------------------------|-------------|--------------------|------------------------|
| CPU Jitter RNG | Non-Physical | F5OS-C 1.8.1 on CX1610 (Controller) on Intel Denverton-NS C3958, Intel Denverton-NS C3758 on F5OS-C 1.8.1 | 256         | Full entropy       | A4093                  |

Table 10: Entropy Sources

The module uses "CPU Jitter RNG, version 3.4.1" to seed the DRBG during the modules' boot process and to periodically reseed the DRBG. The entropy loaded into the approved SP800-90Arev1-compliant CTR\_DRBG is 256 bits per call. The entropy source implements a vetted SHA3-256 post-conditioning component, which is applied to all output from the noise source.

## 2.9 Key Generation

The module implements asymmetric key generation services compliant with FIPS 186-5 to generate RSA and ECDSA keys. Seeds for these services are generated from the unmodified output of the module's approved DRBG.

## 2.10 Key Establishment

The module implements the following approved key agreement methods per 140-3 IG D.F:

### Elliptic Curves

The module establishes EC DH shared secrets compliant to SP 800-56Arev3, using elliptic-curves specified in Appendix D of SP 800-186.

## 2.11 Industry Protocols

The module implements compliant key derivation functions (KDFs) as part of its implementations of the following protocols:

- TLS 1.2
- SSH

No parts of these protocols, other than the approved cryptographic algorithms and KDFs, have been tested by the CAVP or CMVP.

## 3 Cryptographic Module Interfaces

### 3.1 Ports and Interfaces

| Physical Port              | Logical Interface(s)                                        | Data That Passes                                                                                                                                                                                             |
|----------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MGMT                       | Data Input<br>Data Output<br>Control Input<br>Status Output | TLS/SSH protocol input/output messages, Configuration commands for interface management, API which controls system state (e.g. reset system, power off system), API which provides system status information |
| Power Interface (AC or DC) | Power                                                       | Power                                                                                                                                                                                                        |
| Status LEDs                | Status Output                                               | System health information, Non-security relevant                                                                                                                                                             |
| LED Touchscreen            | Status Output                                               | Networking information, Non-security relevant                                                                                                                                                                |
| Backplane Connection       | Data Input<br>Data Output                                   | Module configuration, Module SSPs                                                                                                                                                                            |
| USB                        | None                                                        | None in the approved mode of operation                                                                                                                                                                       |
| CONSOLE                    | None                                                        | None in the approved mode of operation                                                                                                                                                                       |

Table 11: Ports and Interfaces

The module contains the logical interfaces described in the table above.

The module does not implement a control output interface.

## 4 Roles, Services, and Authentication

### 4.1 Authentication Methods

| Method Name | Description                  | Security Mechanism                 | Strength Each Attempt | Strength per Minute |
|-------------|------------------------------|------------------------------------|-----------------------|---------------------|
| Password    | Username and password pair   | Operator Authentication (Password) | 1 in 676,000,000      | 3 in 676,000,000    |
| ECDSA Key   | ECDSA Signature Verification | ECDSA SigVer                       | 1 in $2^{128}$        | 3 in 676,000,000    |

Table 12: Authentication Methods

The module implements the following identity-based authentication mechanisms:

**Password:** The minimum password length is 8 characters, enforced by the module. A password must contain at least 1 lower case letter, 1 upper case letter, and 2 numbers. Therefore, the weakest possible password consists of 1 upper case letter, 1 lower case letter, and 6 numbers.

Given the above, the probability of guessing the correct password in the worst-case scenario would be  $(1/10)^6 \cdot (1/26) \cdot (1/26)$ , or 1 in 676,000,000. If authentication by password fails 3 times, the account is locked out and must be unlocked by an Administrator (CO).

**ECDSA key-pair:** The smallest curve used by the module for key-based authentication is P-256, giving a security strength of 128 bits. Therefore, the chance of success for an authentication attempt using a randomly generated key is 1 in  $2^{128}$ , which is smaller than 1 in 1,000,000. If key-based authentication fails, the user is prompted for a password. If authentication by password fails 3 times, the account is locked out and must be unlocked by an Administrator (CO).

User can authenticate to the module using the following interfaces:

**CLI:** The module offers a CLI connection to users over the SSHv2 protocol, using an ethernet connection.

**GUI:** The module offers a Web-based user interface to users over the HTTPS (TLS 1.2) protocol, using an ethernet connection.

The module does not maintain authenticated sessions upon power cycling. Power cycling the platform will require users to establish a new connection and reauthenticate to the module using one of the above methods.

### 4.2 Roles

| Name           | Type     | Operator Type | Authentication Methods |
|----------------|----------|---------------|------------------------|
| Administrator  | Identity | CO            | Password<br>ECDSA Key  |
| Resource Admin | Identity | User          | Password               |
| Operator       | Identity | User          | Password               |
| User           | Identity | User          | Password               |

Table 13: Roles

The module provides the following roles:

- **Administrator:** The main administrator role for the cryptographic module and the defined crypto officer role. Has access to all administrative functions and services of the module.



- Resource Admin: An administrative user role that has access to a subset of Administrator services, such as viewing audit logs.
- Operator: A user role that has access to a subset of module services, such as read-only informational services, modifying the user's own password, and establishing connections to the module.
- User: A limited user role that has access to read-only informational services only.

The module supports a configurable concurrent user limit, which applies to all roles except for the Administrator (CO) role. The default number of concurrent user connections handled by the module is 10, but the limit can be modified by the Administrator (CO). Connections from the Administrator role (CO) contributes to the number of concurrent connections, but the Administrator role is not bound by the concurrent user limit. (i.e. The default limit is 10 total users +  $n$  Administrators)

### 4.3 Approved Services

The abbreviations of the access rights to SSPs have the following interpretation:

**G = Generate:** The module generates or derives the SSP.

**R = Read:** The SSP is read from the module (e.g., the SSP is output).

**W = Write:** The SSP is updated, imported, or written to the module.

**E = Execute:** The module uses the SSP in performing a cryptographic operation.

**Z = Zeroize:** The module zeroizes the SSP.

| Name                   | Description                       | Indicator                            | Inputs                                                                        | Outputs                          | Security Functions | SSP Access                                          |
|------------------------|-----------------------------------|--------------------------------------|-------------------------------------------------------------------------------|----------------------------------|--------------------|-----------------------------------------------------|
| List Users             | Display list of all user accounts | Implicit, i.e. completion of service | Command                                                                       | List of user accounts            | None               | Administrator<br>Resource Admin<br>Operator<br>User |
| Create Additional User | Create additional user            | Implicit, i.e. completion of service | Username, password, role                                                      | Confirmation of account creation | None               | Administrator<br>- Password: W                      |
| Modify Existing Users  | Modify Existing Users             | Implicit, i.e. completion of service | Username, modification (new username, role, password expiry data/tally count) | Confirmation of account creation | None               | Administrator<br>- Password: W                      |
| Delete User            | Delete existing user              | Implicit, i.e. completion            | Username                                                                      | Confirmation of deletion         | None               | Administrator                                       |

| Name                      | Description                                           | Indicator                            | Inputs                                 | Outputs                              | Security Functions | SSP Access                                                                                                              |
|---------------------------|-------------------------------------------------------|--------------------------------------|----------------------------------------|--------------------------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------|
|                           |                                                       | on of service                        |                                        |                                      |                    |                                                                                                                         |
| Unlock User               | Remove lock from user who has exceeded login attempts | Implicit, i.e. completion of service | Username                               | Confirmation of unlock               | None               | Administrator                                                                                                           |
| Update Own Password       | Update own password                                   | Implicit, i.e. completion of service | Username, password                     | Confirmation of password update      | None               | Administrator<br>- Password: W<br>Resource Admin<br>- Password: W<br>Operator<br>- Password: W<br>User<br>- Password: W |
| Update Others Password    | Update others password                                | Implicit, i.e. completion of service | Username, password                     | Confirmation of password update      | None               | Administrator<br>- Password: W                                                                                          |
| Configure Password Policy | Set password policy features                          | Implicit, i.e. completion of service | New password policy                    | Confirmation of configuration change | None               | Administrator                                                                                                           |
| Create TLS Certificate    | Self-signed certificate creation                      | Implicit, i.e. completion of service | Certificate identification information | Confirmation of certificate creation | None               | Administrator<br>- TLS RSA public key: E<br>- TLS RSA private key: E<br>- TLS ECDSA public key:                         |

| Name                       | Description                           | Indicator                            | Inputs                                     | Outputs                                  | Security Functions                      | SSP Access                                                                                                                                                                                                          |
|----------------------------|---------------------------------------|--------------------------------------|--------------------------------------------|------------------------------------------|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                            |                                       |                                      |                                            |                                          |                                         | E<br>- TLS<br>ECDSA<br>private<br>key: E                                                                                                                                                                            |
| Create TLS Key             | Used for the SSL certificate key file | Implicit, i.e. completion of service | Key identification information             | Confirmation of key creation             | RSA KeyGen<br>ECDSA KeyGen<br>DRBG Seed | Administrator<br>- TLS RSA public key: G<br>- TLS RSA private key: G<br>- TLS ECDSA public key: G<br>- TLS ECDSA private key: G<br>- DRBG seed: E<br>- DRBG internal state V: W,E<br>- DRBG internal state key: W,E |
| Delete TLS Certificate/Key | Self-signed certificate/key deletion  | Implicit, i.e. completion of service | Certificate/Key identification information | Confirmation of certificate/key deletion | None                                    | Administrator<br>- TLS RSA public key: W<br>- TLS RSA private key: W<br>- TLS ECDSA public key: W<br>- TLS ECDSA private key: W                                                                                     |

| Name              | Description                 | Indicator                            | Inputs  | Outputs           | Security Functions | SSP Access                                                                                                                                                                                                                                                                          |
|-------------------|-----------------------------|--------------------------------------|---------|-------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| List Certificate  | List device TLS certificate | Implicit, i.e. completion of service | Command | List certificates | None               | Administrator<br>- TLS RSA public key: R<br>- TLS ECDSA public key: R<br>Resource Admin<br>- TLS RSA public key: R<br>- TLS ECDSA public key: R<br>Operator<br>- TLS RSA public key: R<br>- TLS ECDSA public key: R<br>User<br>- TLS RSA public key: R<br>- TLS ECDSA public key: R |
| List Private Keys | List device TLS private key | Implicit, i.e. completion of service | Command | List private keys | None               | Administrator<br>- TLS RSA private key: R<br>- TLS ECDSA private key: R<br>Resource Admin<br>- TLS RSA private                                                                                                                                                                      |

| Name                               | Description                                         | Indicator                            | Inputs             | Outputs                                              | Security Functions | SSP Access                                                                                                                                                                   |
|------------------------------------|-----------------------------------------------------|--------------------------------------|--------------------|------------------------------------------------------|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                    |                                                     |                                      |                    |                                                      |                    | key: R<br>- TLS ECDSA private key: R<br>Operator<br>- TLS RSA private key: R<br>- TLS ECDSA private key: R<br>User<br>- TLS RSA private key: R<br>- TLS ECDSA private key: R |
| View List of System Audit Logs     | Display list of logs/files of configuration changes | Implicit, i.e. completion of service | Command            | List of log file names                               | None               | Administrator<br>Resource Admin<br>Operator<br>User                                                                                                                          |
| View Contents of System Audit Logs | Display list of logs/files of configuration changes | Implicit, i.e. completion of service | Command            | Content of log file                                  | None               | Administrator<br>Resource Admin                                                                                                                                              |
| Configure SSH Access               | Enable/Disable SSH access                           | Implicit, i.e. completion of service | SSH access options | Confirmation of configuration of SSH access options  | None               | Administrator<br>- SSH ECDSA public key: W                                                                                                                                   |
| Configure SSH IP Address List      | Configure IP address allow list                     | Implicit, i.e. completion of service | IP address list    | Confirmation of configuration of SSH IP address list | None               | Administrator<br>Resource Admin                                                                                                                                              |

| Name                             | Description                                             | Indicator                            | Inputs                     | Outputs                                                 | Security Functions | SSP Access                                                                                                                                                                                                                                                                                    |
|----------------------------------|---------------------------------------------------------|--------------------------------------|----------------------------|---------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Configure SSH User Configuration | Update ssh/authorized_keys file for user authentication | Implicit, i.e. completion of service | SSH ECDSA public key       | Confirmation of configuration of SSH user configuration | None               | Administrator<br>- SSH ECDSA public key: W                                                                                                                                                                                                                                                    |
| Create a Tenant                  | Create tenant deployment                                | Implicit, i.e. completion of service | Tenant-console credentials | Confirmation of creation of tenant and tenant-console   | None               | Administrator<br>Resource Admin                                                                                                                                                                                                                                                               |
| Reboot System                    | Restart system, Zeroize SSPs stored in RAM              | Implicit, i.e. completion of service | Command                    | Confirmation of system reboot                           | None               | Administrator<br>- TLS EC Diffie-Hellman public key: Z<br>- TLS EC Diffie-Hellman private key: Z<br>- TLS pre-primary secret: Z<br>- TLS primary secret: Z<br>- TLS derived session key: Z<br>- SSH EC Diffie-Hellman public key: Z<br>- SSH EC Diffie-Hellman private key: Z<br>- SSH shared |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                           |
|------|-------------|-----------|--------|---------|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | secret: Z<br>- SSH derived session key: Z<br>- Password: Z<br>- Entropy input string: Z<br>- DRBG seed: Z<br>- DRBG internal state V: Z<br>- DRBG internal state key: Z<br>- AES-GCM IV: Z<br>Resource Admin<br>- TLS EC Diffie-Hellman public key: Z<br>- TLS EC Diffie-Hellman private key: Z<br>- TLS pre-primary secret: Z<br>- TLS primary secret: Z<br>- TLS derived session key: Z<br>- SSH EC Diffie-Hellman |

| Name         | Description             | Indicator                            | Inputs  | Outputs                     | Security Functions | SSP Access                                                                                                                                                                                                                                                         |
|--------------|-------------------------|--------------------------------------|---------|-----------------------------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|              |                         |                                      |         |                             |                    | public key: Z<br>- SSH EC Diffie-Hellman private key: Z<br>- SSH shared secret: Z<br>- SSH derived session key: Z<br>- Password: Z<br>- Entropy input string: Z<br>- DRBG seed: Z<br>- DRBG internal state V: Z<br>- DRBG internal state key: Z<br>- AES-GCM IV: Z |
| Secure Erase | Full system zeroization | Implicit, i.e. completion of service | Command | Confirmation of zeroization | None               | Administrator<br>- TLS RSA public key: Z<br>- TLS RSA private key: Z<br>- TLS ECDSA public key: Z<br>- TLS ECDSA private key: Z                                                                                                                                    |



| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|------|-------------|-----------|--------|---------|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | <ul style="list-style-type: none"> <li>- TLS EC Diffie-Hellman public key: Z</li> <li>- TLS EC Diffie-Hellman private key: Z</li> <li>- TLS pre-primary secret: Z</li> <li>- TLS primary secret: Z</li> <li>- TLS derived session key: Z</li> <li>- SSH ECDSA private key: Z</li> <li>- SSH EC Diffie-Hellman public key: Z</li> <li>- SSH EC Diffie-Hellman private key: Z</li> <li>- SSH shared secret: Z</li> <li>- SSH derived session key: Z</li> <li>- Password: Z</li> <li>- Entropy input string: Z</li> </ul> |

| Name                | Description                        | Indicator                            | Inputs                                                        | Outputs                                   | Security Functions                                                                                            | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                            |
|---------------------|------------------------------------|--------------------------------------|---------------------------------------------------------------|-------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                     |                                    |                                      |                                                               |                                           |                                                                                                               | <ul style="list-style-type: none"> <li>- DRBG seed: Z</li> <li>- DRBG internal state V: Z</li> <li>- DRBG internal state key: Z</li> </ul>                                                                                                                                                                                                                                                                            |
| SSH Session Service | Establish and maintain SSH session | Implicit, i.e. completion of service | User, address, password, algorithm, key sizes, primary secret | Confirmation of SSH session establishment | ECDSA KeyGen<br>ECDSA SigGen<br>ECDSA SigVer<br>KAS-SSH<br>AES-CBC<br>AES-CTR<br>AES-GCM<br>HMAC<br>DRBG Seed | Administrator<br><ul style="list-style-type: none"> <li>- SSH ECDSA public key: G,W</li> <li>- SSH ECDSA private key: G,W</li> <li>- SSH EC Diffie-Hellman public key: G,W</li> <li>- SSH EC Diffie-Hellman private key: G</li> <li>- SSH shared secret: G,E</li> <li>- SSH derived session key: G,E</li> <li>- DRBG seed: E</li> <li>- DRBG internal state V: W,E</li> <li>- DRBG internal state key: W,E</li> </ul> |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|------|-------------|-----------|--------|---------|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | <ul style="list-style-type: none"> <li>- Password: R,W</li> <li>- AES-GCM IV: G,E</li> <li>Resource Admin</li> <li>- SSH ECDSA public key: G,W</li> <li>- SSH ECDSA private key: G,W</li> <li>- SSH EC Diffie-Hellman public key: G,W</li> <li>- SSH EC Diffie-Hellman private key: G</li> <li>- SSH shared secret: G,E</li> <li>- SSH derived session key: G,E</li> <li>- DRBG seed: E</li> <li>- DRBG internal state V: W,E</li> <li>- DRBG internal state key: W,E</li> <li>- Password: R,W</li> </ul> |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|------|-------------|-----------|--------|---------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | <ul style="list-style-type: none"> <li>- AES-GCM IV: G,E Operator</li> <li>- SSH ECDSA public key: G,W</li> <li>- SSH ECDSA private key: G,W</li> <li>- SSH EC Diffie-Hellman public key: G,W</li> <li>- SSH EC Diffie-Hellman private key: G,W</li> <li>- SSH shared secret: G,W</li> <li>- SSH derived session key: G</li> <li>- DRBG seed: E</li> <li>- DRBG internal state V: W,E</li> <li>- DRBG internal state key: W,E</li> <li>- Password: R,W</li> <li>- AES-GCM IV: G,E User</li> </ul> |

| Name                | Description       | Indicator                            | Inputs  | Outputs                             | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|---------------------|-------------------|--------------------------------------|---------|-------------------------------------|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                     |                   |                                      |         |                                     |                    | <ul style="list-style-type: none"> <li>- SSH ECDSA public key: G,W</li> <li>- SSH ECDSA private key: G,W</li> <li>- SSH EC Diffie-Hellman public key: G,W</li> <li>- SSH EC Diffie-Hellman private key: G</li> <li>- SSH shared secret: G,E</li> <li>- SSH derived session key: G,E</li> <li>- DRBG seed: E</li> <li>- DRBG internal state V: W,E</li> <li>- DRBG internal state key: W,E</li> <li>- Password: R,W</li> <li>- AES-GCM IV: G,E</li> </ul> |
| Closing SSH Session | Close SSH session | Implicit, i.e. completion of service | Command | Confirmation of SSH session closure | None               | Administrator <ul style="list-style-type: none"> <li>- SSH EC Diffie-Hellman</li> </ul>                                                                                                                                                                                                                                                                                                                                                                  |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                |
|------|-------------|-----------|--------|---------|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | public key: Z<br>- SSH EC Diffie-Hellman private key: Z<br>- SSH shared secret: Z<br>- SSH derived session key: Z<br>Resource Admin<br>- SSH EC Diffie-Hellman public key: Z<br>- SSH EC Diffie-Hellman private key: Z<br>- SSH shared secret: Z<br>- SSH derived session key: Z<br>Operator<br>- SSH EC Diffie-Hellman public key: Z<br>- SSH EC Diffie-Hellman private key: Z<br>- SSH shared secret: Z |

| Name                | Description                        | Indicator                            | Inputs                    | Outputs                                      | Security Functions                                                                                                                              | SSP Access                                                                                                                                                                                                                                                                                            |
|---------------------|------------------------------------|--------------------------------------|---------------------------|----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                     |                                    |                                      |                           |                                              |                                                                                                                                                 | <ul style="list-style-type: none"> <li>- SSH derived session key: Z</li> <li>- User</li> <li>- SSH EC Diffie-Hellman public key: Z</li> <li>- SSH EC Diffie-Hellman private key: Z</li> <li>- SSH shared secret: Z</li> <li>- SSH derived session key: Z</li> </ul>                                   |
| TLS Session Service | Establish and maintain TLS session | Implicit, i.e. completion of service | Address, algorithms, keys | Confirmation of establishment of TLS session | RSA KeyGen<br>RSA SigGen<br>RSA SigVer<br>ECDSA KeyGen<br>ECDSA SigGen<br>ECDSA SigVer<br>KAS-TLS<br>AES-CBC<br>AES-GCM<br>HMAC<br>DRBG<br>Seed | Administrator<br><ul style="list-style-type: none"> <li>- TLS RSA public key: G,W</li> <li>- TLS RSA private key: G,W</li> <li>- TLS ECDSA public key: G,W</li> <li>- TLS ECDSA private key: G,W</li> <li>- TLS EC Diffie-Hellman public key: G,W</li> <li>- TLS EC Diffie-Hellman private</li> </ul> |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                             |
|------|-------------|-----------|--------|---------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | key: G<br>- TLS pre-primary secret: G,E<br>- TLS primary secret: G,E<br>- TLS derived session key: G,E<br>- DRBG seed: E<br>- DRBG internal state V: W,E<br>- DRBG internal state key: W,E<br>- AES-GCM IV: G,E<br>Resource Admin<br>- TLS RSA public key: G,W<br>- TLS RSA private key: G,W<br>- TLS ECDSA public key: G,W<br>- TLS ECDSA private key: G,W<br>- TLS EC Diffie-Hellman public key: G,W |



| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|------|-------------|-----------|--------|---------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | <ul style="list-style-type: none"> <li>- TLS EC Diffie-Hellman private key: G</li> <li>- TLS pre-primary secret: G,E</li> <li>- TLS primary secret: G,E</li> <li>- TLS derived session key: G,E</li> <li>- DRBG seed: E</li> <li>- DRBG internal state V: W,E</li> <li>- DRBG internal state key: W,E</li> <li>- AES-GCM IV: G,E</li> <li>Operator</li> <li>- TLS RSA public key: G,W</li> <li>- TLS RSA private key: G,W</li> <li>- TLS ECDSA public key: G,W</li> <li>- TLS ECDSA private key: G,W</li> <li>- TLS EC Diffie-</li> </ul> |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|------|-------------|-----------|--------|---------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | Hellman<br>public key: G,W<br>- TLS EC<br>Diffie-<br>Hellman<br>private<br>key: G<br>- TLS pre-<br>primary<br>secret:<br>G,E<br>- TLS<br>primary<br>secret:<br>G,E<br>- TLS<br>derived<br>session<br>key: G,E<br>- DRBG<br>seed: E<br>- DRBG<br>internal<br>state V:<br>W,E<br>- DRBG<br>internal<br>state key:<br>W,E<br>- AES-<br>GCM IV:<br>G,E<br>User<br>- TLS RSA<br>public key:<br>G,W<br>- TLS RSA<br>private<br>key: G,W<br>- TLS<br>ECDSA<br>public key:<br>G,W<br>- TLS<br>ECDSA<br>private |

| Name                | Description       | Indicator                            | Inputs  | Outputs                             | Security Functions | SSP Access                                                                                                                                                                                                                                                                                             |
|---------------------|-------------------|--------------------------------------|---------|-------------------------------------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                     |                   |                                      |         |                                     |                    | key: G,W<br>- TLS EC Diffie-Hellman public key: G,W<br>- TLS EC Diffie-Hellman private key: G<br>- TLS pre-primary secret: G,E<br>- TLS primary secret: G,E<br>- TLS derived session key: G,E<br>- DRBG seed: E<br>- DRBG internal state V: W,E<br>- DRBG internal state key: W,E<br>- AES-GCM IV: G,E |
| Closing TLS Session | Close TLS session | Implicit, i.e. completion of service | Command | Confirmation of TLS session closure | None               | Administrator<br>- TLS EC Diffie-Hellman public key: Z<br>- TLS EC Diffie-Hellman private                                                                                                                                                                                                              |

| Name | Description | Indicator | Inputs | Outputs | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                                                                                                                    |
|------|-------------|-----------|--------|---------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      |             |           |        |         |                    | key: Z<br>- TLS pre-primary secret: Z<br>- TLS primary secret: Z<br>- TLS derived session key: Z<br>Resource Admin<br>- TLS EC Diffie-Hellman public key: Z<br>- TLS EC Diffie-Hellman private key: Z<br>- TLS pre-primary secret: Z<br>- TLS primary secret: Z<br>- TLS derived session key: Z<br>Operator<br>- TLS EC Diffie-Hellman public key: Z<br>- TLS EC Diffie-Hellman private key: Z<br>- TLS pre-primary secret: Z |

| Name         | Description                        | Indicator                            | Inputs  | Outputs                             | Security Functions | SSP Access                                                                                                                                                                                                                                                                                                                               |
|--------------|------------------------------------|--------------------------------------|---------|-------------------------------------|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|              |                                    |                                      |         |                                     |                    | <ul style="list-style-type: none"> <li>- TLS primary secret: Z</li> <li>- TLS derived session key: Z</li> <li>User</li> <li>- TLS EC Diffie-Hellman public key: Z</li> <li>- TLS EC Diffie-Hellman private key: Z</li> <li>- TLS pre-primary secret: Z</li> <li>- TLS primary secret: Z</li> <li>- TLS derived session key: Z</li> </ul> |
| Show Version | Return the module name and version | Implicit, i.e. completion of service | Command | Version information and module name | None               | Administrator<br>Resource Admin<br>Operator<br>User                                                                                                                                                                                                                                                                                      |
| Show License | Return license information         | Implicit, i.e. completion of service | Command | FIPS license information            | None               | Administrator<br>Resource Admin<br>Operator<br>User                                                                                                                                                                                                                                                                                      |
| Show Status  | Return the module status           | Implicit, i.e. completion of service | Command | Status of the module                | None               | Administrator<br>Resource Admin<br>Operator<br>User                                                                                                                                                                                                                                                                                      |

| Name            | Description                                | Indicator                            | Inputs  | Outputs                                   | Security Functions | SSP Access                   |
|-----------------|--------------------------------------------|--------------------------------------|---------|-------------------------------------------|--------------------|------------------------------|
| Self-Test       | Execute integrity test and CASTs           | Implicit, i.e. completion of service | Command | Results of the self-tests                 | HMAC               | Administrator Resource Admin |
| Show Tenant     | Lists tenant information                   | Implicit, i.e. completion of service | Command | List tenant information                   | None               | Administrator Resource Admin |
| Firmware Update | Loads a new version of the module firmware | Implicit, i.e. completion of service | Command | Success or Fail message, Automatic reboot | RSA SigVer         | Administrator                |

Table 14: Approved Services

As the module implements only approved services and has a global approved mode indicator, successful completion of any module service acts as the implicit *approved service* indicator.

#### 4.4 Non-Approved Services

The module does not provide any non-approved services.

#### 4.5 External Software/Firmware Loaded

The module supports the updating of the entire firmware image, which has been signed internally by F5. Firmware images are transferred to the module by the crypto officer from the administrative GUI over HTTPS. This GUI firmware loading menu only accepts ISO files in the proper format for the F5 device. On loading, the system validates the authenticity of the introduced ISO using an RSA 8192-bit signature verification. Successful verification will trigger installation of the new firmware image and an eventual reboot of the device.

Any firmware image that is not shown on the module certificate is out of scope of this validation and requires a separate FIPS 140-3 validation.

## 5 Software/Firmware Security

### 5.1 Integrity Techniques

The module uses HMAC-SHA2-384 as the approved integrity technique for the verification of firmware. During startup, the module computes the MAC values of the installed module firmware image and compares against the stored MAC value computed at build time. If the values do not match, the module enters the Error state.

If the module TOEPP contains 2 system controllers, each system controller contains an instance of the module firmware, and each instance separately performs the module integrity check on its own firmware. This ensures that no matter which system controller is currently active, the module firmware is checked.

### 5.2 Initiate on Demand

The on-demand pre-operational self-tests, including the approved integrity check and all module CASTs are performed as part of startup and can be initialized by rebooting the module.

Pairwise consistency tests can be invoked on demand by invoking a service that generates asymmetric keys within the module.

## 6 Operational Environment

### 6.1 Operational Environment Type and Requirements

**Type of Operational Environment:** Limited

The module's operational environment is considered limited as additional firmware can be loaded to the device in the form of an F5OS-C build, which replaces the existing build, but not all firmware components within the device.

The module contains the entire operating system (OS) for the host device. Therefore, the module itself has control over all SSPs contained within the cryptographic boundary and all spawned processes. The module's modern OS supports and enforces memory separation between application processes.



## 7 Physical Security

### 7.1 Mechanisms and Actions Required

| Mechanism            | Inspection Frequency | Inspection Guidance                                                    |
|----------------------|----------------------|------------------------------------------------------------------------|
| Tamper evident seals | Once per Quarter     | Inspect tamper-evident seals for signs of tamper / unauthorized access |

Table 15: Mechanisms and Actions Required

### 7.2 User Placed Tamper Seals – CX410 Chassis

**Number: 6**

**Placement: Shown in figures 4-7**

**Surface Preparation: Yes**

The surfaces should be cleaned with 70% Isopropyl alcohol to remove dirt and oil before applying the seals. Ensure that the surface is clean and dry before applying seals. Allow 72 hours for seals to adhere before operating the module.

**Operator Responsible for Securing Unused Seals: Administrator (Crypto Officer)**

**Part Numbers: F5-UPG-FB-STICKER-1**



Figure 4: The front side of the module shows the placement of seals # 1, 2, and 3.



Figure 5: The left side of the module shows the placement of seals # 4 and 5.



Figure 6: The rear side of the module shows the placement of seal #4, securing the fan unit to the chassis.



Figure 7: The right side of the module shows the placement of seal #6.

### 7.3 User Placed Tamper Seals – CX1610 Chassis

**Number: 8**

**Placement: Shown in figures 8-11**

**Surface Preparation: Yes**

The surfaces should be cleaned with 70% Isopropyl alcohol to remove dirt and oil before applying the seals. Ensure that the surface is clean and dry before applying seals. Allow 72 hours for seals to adhere before operating the module.

**Operator Responsible for Securing Unused Seals: Administrator (Crypto Officer)**

**Part Numbers: F5-UPG-FB-STICKER-1**



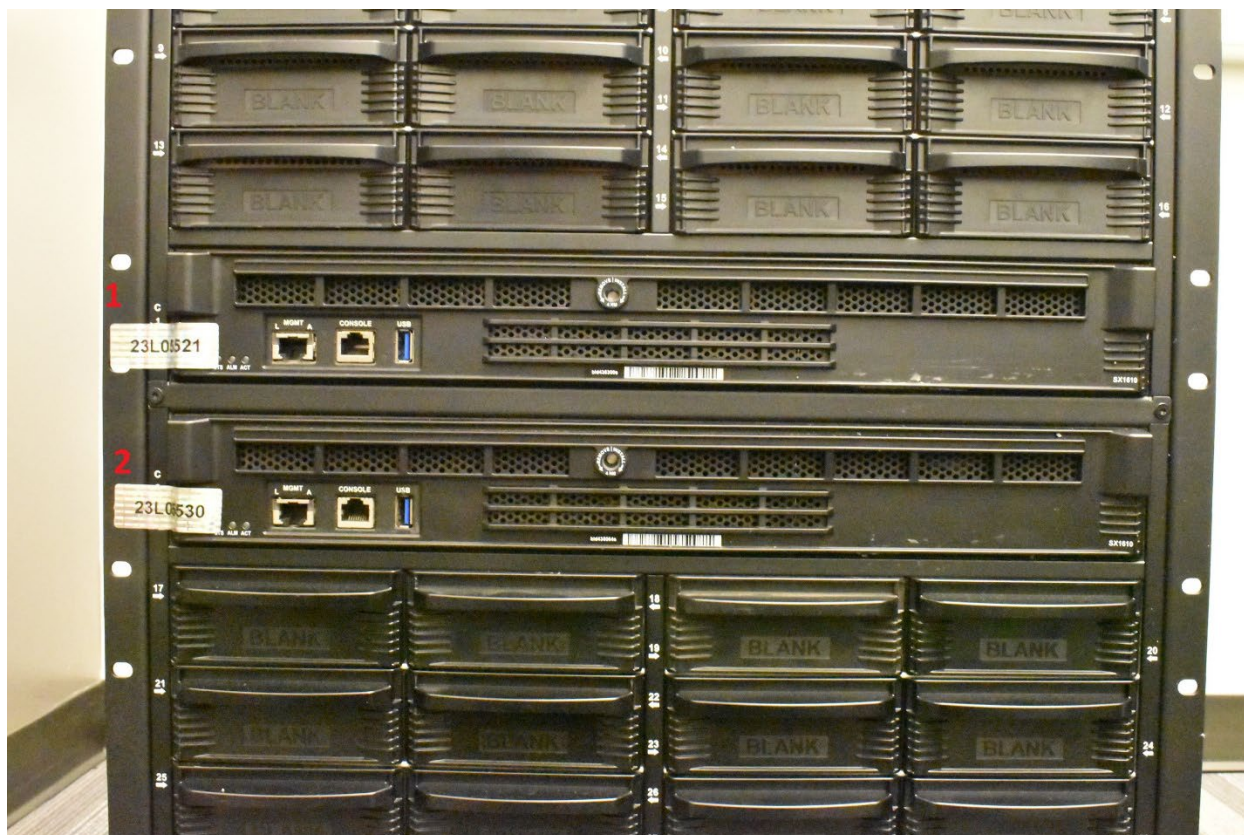


Figure 8: The front side of the module shows the placement of seals #1 and 2, securing the System Controller units to the module.



Figure 9: The left side of the module shows the placement of seal #3.



Figure 10: The right side of the module shows the placement of seals #4, 5, 6, 7, and 8.



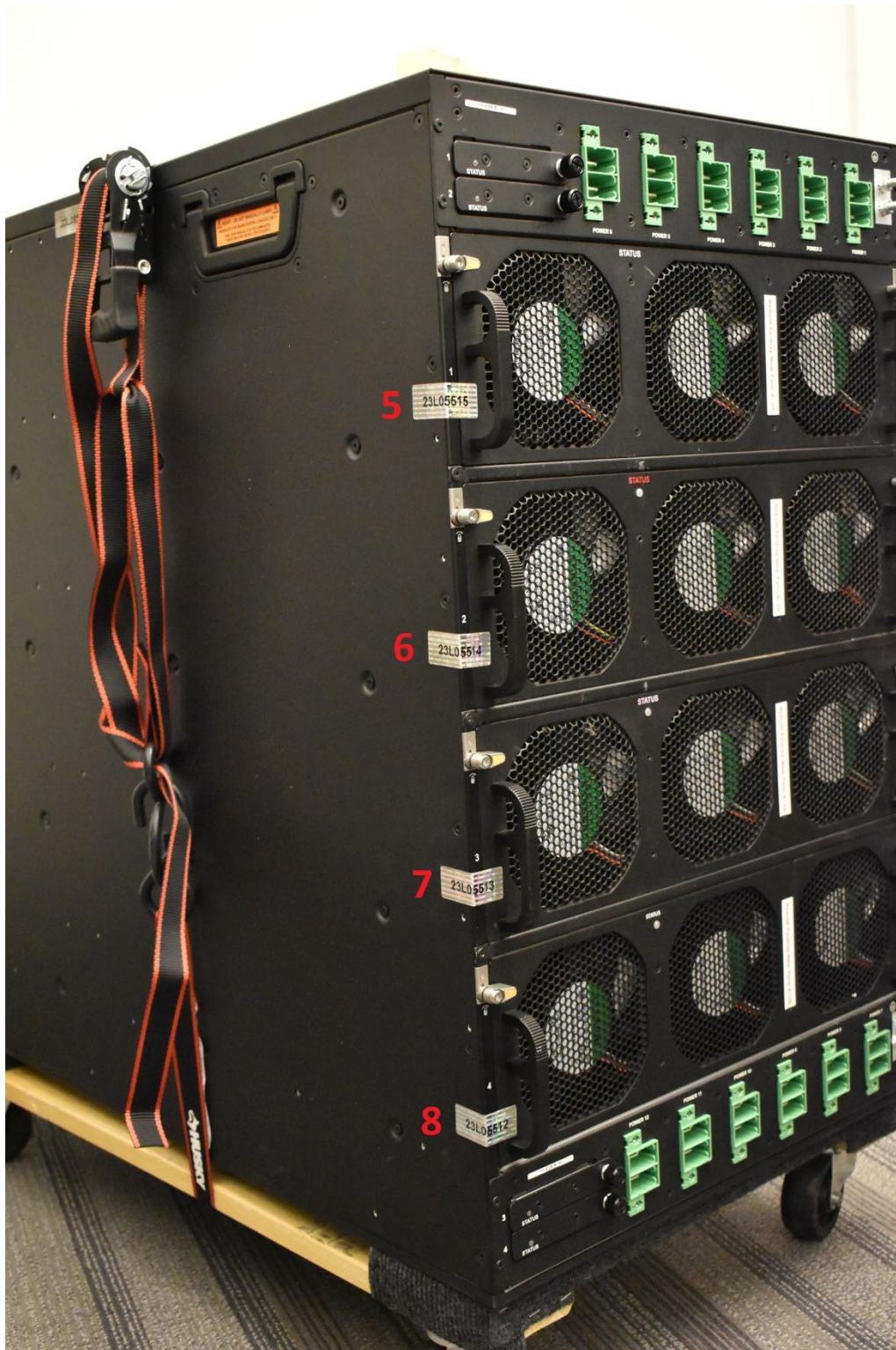


Figure 11: A rear-right angle shot of the module shows the placement of seals #5, 6, 7, and 8.

## 7.4 Filler Panels

While the module does include blank filler panels for the traffic blades bays, no security relevant components can be seen in either module chassis when the blank filler panels are not present. The module does not rely on the blank filler panels to meet physical security requirements, including opacity.

## 8 Non-Invasive Security

The Module does not implement any mitigation methods against non-invasive attacks.

## 9 Sensitive Security Parameters Management

### 9.1 Storage Areas

| Storage Area Name | Description                                 | Persistence Type |
|-------------------|---------------------------------------------|------------------|
| RAM               | RAM of the module system controller         | Dynamic          |
| SSD               | SSD storage of the module system controller | Static           |

Table 16: Storage Areas

### 9.2 SSP Input-Output Methods

| Name                                | From     | To       | Format Type | Distribution Type | Entry Type | SFI or Algorithm |
|-------------------------------------|----------|----------|-------------|-------------------|------------|------------------|
| Password                            | External | RAM      | Plaintext   | Manual            | Electronic |                  |
| Module SSD to External Entity (TLS) | SSD      | External | Encrypted   | Automated         | Electronic | KAS-TLS          |
| Module SSD to External Entity       | SSD      | External | Plaintext   | Automated         | Electronic |                  |
| Module RAM to External entity       | RAM      | External | Plaintext   | Automated         | Electronic |                  |
| External Entity to Module           | External | RAM      | Plaintext   | Manual            | Electronic |                  |

Table 17: SSP Input-Output Methods

### 9.3 SSP Zeroization Methods

| Zeroization Method                            | Description                                   | Rationale                                                                                 | Operator Initiation                                                                     |
|-----------------------------------------------|-----------------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| Secure Erase                                  | Zeroizes all SSPs present in module           | The CLI command overwrites the storage location keys with 0's, making them irretrievable. | Issue CLI command or select option in GUI menu                                          |
| Close TLS Session                             | Zeroizes all TLS session keys                 | The protocol implementation zeroizes all session keys stored temporarily, in RAM          | Close session, or remove power from connected device                                    |
| Close SSH Session / Terminate SSH application | Zeroizes all SSH session keys                 | The protocol implementation zeroizes all session keys stored temporarily, in RAM          | Close session, or remove power from connected device                                    |
| Reboot System / Remove power                  | Reboot System / Remove power from host system | SSPs stored temporarily in RAM are zeroized and unretrievable                             | Reboot system (Command, GUI Option, Power Button), Remove power (Power button / Unplug) |

Table 18: SSP Zeroization Methods



When the *Secure Erase*, *Close TLS Session*, and *Close SSH Session / Terminate SSH application* zeroization methods are used, the memory locations occupied by the zeroized SSPs are overwritten with zeros before freeing the memory location for use. Temporary values generated during the module integrity check are zeroized when no longer needed. Procedural zeroization of SSPs stored temporarily in module RAM, must be performed under control of the module operator.

#### 9.4 SSPs

| Name                              | Description                                                                               | Size - Strength                      | Type - Category   | Generated By | Established By | Used By      |
|-----------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------|-------------------|--------------|----------------|--------------|
| TLS RSA public key                | Public portion of RSA key pair used by for establishing TLS (HTTPS) connection            | 2048, 3072, 4096-bits - 112-150-bits | Public Key - PSP  | RSA KeyGen   |                | RSA SigVer   |
| TLS RSA private key               | Private portion of RSA key pair used by for establishing TLS (HTTPS) connection           | 2048, 3072, 4096-bits - 112-150-bits | Private Key - CSP | RSA KeyGen   |                | RSA SigGen   |
| TLS ECDSA public key              | Public portion of ECDSA key pair used by for establishing TLS (HTTPS) connection          | P-256, P-384 - 128, 192-bits         | Public Key - PSP  | ECDSA KeyGen |                | ECDSA SigVer |
| TLS ECDSA private key             | Private portion of ECDSA key pair used by for establishing TLS (HTTPS) connection         | P-256, P-384 - 128, 192-bits         | Private Key - CSP | ECDSA KeyGen |                | ECDSA SigGen |
| TLS EC Diffie-Hellman public key  | Public portion of EC Diffie-Hellman key pair used for key agreement during TLS handshake  | P-256, P-384 - 128, 192-bits         | Public Key - PSP  | ECDSA KeyGen |                | KAS-TLS      |
| TLS EC Diffie-Hellman private key | Private portion of EC Diffie-Hellman key pair used for key agreement during TLS handshake | P-256, P-384 - 128, 192-bits         | Private Key - CSP | ECDSA KeyGen |                | KAS-TLS      |

| Name                              | Description                                                                               | Size - Strength                                                                   | Type - Category     | Generated By | Established By | Used By                    |
|-----------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------------------|--------------|----------------|----------------------------|
| TLS pre-primary secret            | Shared secret computed as a result of EC Diffie-Hellman key agreement                     | 256, 384 bits - 128, 192-bits                                                     | Secret - CSP        |              | KAS-TLS        | KAS-TLS                    |
| TLS primary secret                | Secret value output from TLS KDF                                                          | 384 bits - 192-bits                                                               | Secret - CSP        | KAS-TLS      |                | KAS-TLS                    |
| TLS derived session key           | Encryption and MAC secret keys used for protecting TLS session communications             | 128-256-bits (AES), 112-192-bits (HMAC) - 128-256-bits (AES), 112-192-bits (HMAC) | Symmetric Key - CSP | KAS-TLS      |                | AES-CBC<br>AES-GCM<br>HMAC |
| SSH ECDSA public key              | Public portion of ECDSA key pair used by for establishing SSH connection                  | P-256, P-384 - 128, 192-bits                                                      | Public Key - PSP    | ECDSA KeyGen |                | KAS-SSH                    |
| SSH ECDSA private key             | Private portion of ECDSA key pair used by for establishing SSH connection                 | P-256, P-384 - 128, 192-bits                                                      | Private Key - CSP   | ECDSA KeyGen |                | KAS-SSH                    |
| SSH EC Diffie-Hellman public key  | Public portion of EC Diffie-Hellman key pair used for key agreement during SSH handshake  | P-256, P-384 - 128, 192-bits                                                      | Public Key - PSP    | ECDSA KeyGen |                | KAS-SSH                    |
| SSH EC Diffie-Hellman private key | Private portion of EC Diffie-Hellman key pair used for key agreement during SSH handshake | P-256, P-384 - 128, 192-bits                                                      | Private Key - CSP   | ECDSA KeyGen |                | KAS-SSH                    |

| Name                    | Description                                                                   | Size - Strength                                                                                                   | Type - Category           | Generated By | Established By | Used By                               |
|-------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|---------------------------|--------------|----------------|---------------------------------------|
| SSH shared secret       | Shared secret computed as a result of EC Diffie-Hellman key agreement         | 256-bits, 384-bits - 128, 192-bits                                                                                | Secret - CSP              |              | KAS-SSH        | KAS-SSH                               |
| SSH derived session key | Encryption and MAC secret keys used for protecting SSH session communications | 128, 192 (CBC only), 256 bits (AES) 112-192-bits (HMAC) - 128, 192 (CBC only), 256-bits (AES) 112-192-bits (HMAC) | Symmetric Key - CSP       | KAS-SSH      |                | AES-CBC<br>AES-CTR<br>AES-GCM<br>HMAC |
| Password                | Secret value used by operator to authenticate to the module                   | Variable length, minimum 8 characters - 1 in $2.8 \times 10^{15}$                                                 | Authentication Data - CSP |              |                |                                       |
| Entropy input string    | Random bits obtained by entropy source                                        | 256-bits - 256-bits                                                                                               | Secret - CSP              |              |                | RSA KeyGen<br>ECDSA KeyGen            |
| DRBG seed               | String of bits used as input to DRBG                                          | 440-bits - 256-bits                                                                                               | Secret - CSP              |              |                | RSA KeyGen<br>ECDSA KeyGen            |
| DRBG internal state V   | "V" portion of the DRBG internal state, as defined by SP 800-90Ar1            | 128-bits - 128-bits                                                                                               | Secret - CSP              |              |                | RSA KeyGen<br>ECDSA KeyGen            |

| Name                    | Description                                                          | Size - Strength     | Type - Category             | Generated By | Established By | Used By                    |
|-------------------------|----------------------------------------------------------------------|---------------------|-----------------------------|--------------|----------------|----------------------------|
| DRBG internal state key | "Key" portion of the DRBG internal state, as defined by SP 800-90Ar1 | 256-bits - 256-bits | Secret - CSP                |              |                | RSA KeyGen<br>ECDSA KeyGen |
| AES-GCM IV              | Initialization vector for AES-GCM within SSH and TLS protocols       | 96-bits - -         | Initialization Vector - PSP | AES-GCM      |                | AES-GCM (A4783)            |

Table 19: SSP Table 1

| Name                              | Input - Output                                             | Storage       | Storage Duration | Zeroization                                                          | Related SSPs                                  |
|-----------------------------------|------------------------------------------------------------|---------------|------------------|----------------------------------------------------------------------|-----------------------------------------------|
| TLS RSA public key                | Module SSD to External Entity                              | SSD:Plaintext |                  | Secure Erase                                                         | TLS RSA private key:Paired With               |
| TLS RSA private key               | Module SSD to External Entity (TLS)                        | SSD:Plaintext |                  | Secure Erase                                                         | TLS RSA public key:Paired With                |
| TLS ECDSA public key              | Module SSD to External Entity                              | SSD:Plaintext |                  | Secure Erase                                                         | TLS ECDSA private key:Paired With             |
| TLS ECDSA private key             | Module SSD to External Entity (TLS)                        | SSD:Plaintext |                  | Secure Erase                                                         | TLS ECDSA public key:Paired With              |
| TLS EC Diffie-Hellman public key  | Module RAM to External entity<br>External Entity to Module | RAM:Plaintext |                  | Secure Erase<br>Close TLS Session<br>Reboot System /<br>Remove power | TLS EC Diffie-Hellman private key:Paired With |
| TLS EC Diffie-Hellman private key |                                                            | RAM:Plaintext |                  | Secure Erase<br>Close TLS Session<br>Reboot System /<br>Remove power | TLS EC Diffie-Hellman public key:Paired With  |
| TLS pre-primary secret            |                                                            | RAM:Plaintext |                  | Secure Erase<br>Close TLS Session                                    |                                               |

| Name                              | Input - Output                                             | Storage       | Storage Duration | Zeroization                                                                                   | Related SSPs                                  |
|-----------------------------------|------------------------------------------------------------|---------------|------------------|-----------------------------------------------------------------------------------------------|-----------------------------------------------|
|                                   |                                                            |               |                  | Reboot System / Remove power                                                                  |                                               |
| TLS primary secret                |                                                            | RAM:Plaintext |                  | Secure Erase<br>Close TLS Session<br>Reboot System / Remove power                             | TLS pre-primary secret:Derived From           |
| TLS derived session key           |                                                            | RAM:Plaintext |                  | Secure Erase<br>Close TLS Session<br>Reboot System / Remove power                             | TLS primary secret:Derived From               |
| SSH ECDSA public key              | Module SSD to External Entity                              | SSD:Plaintext |                  | N/A                                                                                           | SSH ECDSA private key:Paired With             |
| SSH ECDSA private key             |                                                            | SSD:Plaintext |                  | Secure Erase<br>Close SSH Session / Terminate SSH application<br>Reboot System / Remove power | SSH ECDSA public key:Paired With              |
| SSH EC Diffie-Hellman public key  | Module RAM to External entity<br>External Entity to Module | RAM:Plaintext |                  | Secure Erase<br>Close SSH Session / Terminate SSH application<br>Reboot System / Remove power | SSH EC Diffie-Hellman private key:Paired With |
| SSH EC Diffie-Hellman private key |                                                            | RAM:Plaintext |                  | Secure Erase<br>Close SSH Session / Terminate SSH application                                 | SSH EC Diffie-Hellman public key:Paired With  |

| Name                    | Input - Output | Storage                         | Storage Duration | Zeroization                                                                                         | Related SSPs                   |
|-------------------------|----------------|---------------------------------|------------------|-----------------------------------------------------------------------------------------------------|--------------------------------|
|                         |                |                                 |                  | Reboot System /<br>Remove power                                                                     |                                |
| SSH shared secret       |                | RAM:Plaintext                   |                  | Secure Erase<br>Close SSH Session /<br>Terminate SSH application<br>Reboot System /<br>Remove power |                                |
| SSH derived session key |                | RAM:Plaintext                   |                  | Secure Erase<br>Close SSH Session /<br>Terminate SSH application<br>Reboot System /<br>Remove power | SSH shared secret:Derived From |
| Password                | Password       | RAM:Plaintext<br>SSD:Obfuscated |                  | Secure Erase<br>Reboot System /<br>Remove power                                                     |                                |
| Entropy input string    |                | RAM:Plaintext                   |                  | Secure Erase<br>Reboot System /<br>Remove power                                                     |                                |
| DRBG seed               |                | RAM:Plaintext                   |                  | Secure Erase<br>Reboot System /<br>Remove power                                                     |                                |
| DRBG internal state V   |                | RAM:Plaintext                   |                  | Secure Erase<br>Reboot System /<br>Remove power                                                     |                                |
| DRBG internal state key |                | RAM:Plaintext                   |                  | Secure Erase<br>Reboot System /                                                                     |                                |

| Name       | Input - Output | Storage       | Storage Duration | Zeroization                                     | Related SSPs                                                           |
|------------|----------------|---------------|------------------|-------------------------------------------------|------------------------------------------------------------------------|
|            |                |               |                  | Remove power                                    |                                                                        |
| AES-GCM IV |                | RAM:Plaintext |                  | Secure Erase<br>Reboot System /<br>Remove power | TLS derived session key:Used With<br>SSH derived session key:Used With |

Table 20: SSP Table 2

## 9.5 Transitions

The module's SHA-1 implementation will be considered non-approved for all uses as of January 1, 2030.

## 10 Self-Tests

### 10.1 Pre-Operational Self-Tests

| Algorithm or Test     | Test Properties | Test Method | Test Type       | Indicator       | Details                                                                               |
|-----------------------|-----------------|-------------|-----------------|-----------------|---------------------------------------------------------------------------------------|
| HMAC-SHA2-384 (A4783) | HMAC-SHA2-384   | MAC         | SW/FW Integrity | Console message | MAC verification (Compare computed HMAC value of entire software image to stored MAC) |

Table 21: Pre-Operational Self-Tests

The module's integrity check is the only pre-operational self-test implemented in the module. This can be invoked on demand by power-cycling the module, or by the Administrator (CO), by inputting the following CLI command:

*system security integrity-check controllers*

### 10.2 Conditional Self-Tests

| Algorithm or Test                | Test Properties | Test Method | Test Type | Indicator       | Details                | Conditions |
|----------------------------------|-----------------|-------------|-----------|-----------------|------------------------|------------|
| AES-GCM (A4783)                  | 256-bit         | KAT         | CAST      | Console message | Encrypt                | On Startup |
| AES-CBC (A4783)                  | 256-bit         | KAT         | CAST      | Console message | Decrypt                | On Startup |
| SHA-1 (A4783)                    | -               | KAT         | CAST      | Console message | Hash                   | On Startup |
| SHA2-256 (A4783)                 | SHA2-256        | KAT         | CAST      | Console message | Hash                   | On Startup |
| HMAC-SHA2-384 (A4783)            | HMAC-SHA2-384   | KAT         | CAST      | Console message | MAC verification       | On Startup |
| ECDSA SigGen (FIPS186-5) (A4782) | P-256           | KAT         | CAST      | Console message | Signature Generation   | On Startup |
| ECDSA SigVer (FIPS186-5) (A4782) | P-256           | KAT         | CAST      | Console message | Signature Verification | On Startup |
| RSA SigGen (FIPS186-5) (A4782)   | 2048-bit        | KAT         | CAST      | Console message | Signature Generation   | On Startup |
| RSA SigVer (FIPS186-5) (A4782)   | 2048-bit        | KAT         | CAST      | Console message | Signature Generation   | On Startup |
| TLS v1.2 KDF RFC7627 (A4782)     | SHA2-256        | KAT         | CAST      | Console message | Key Derivation         | On Startup |



| Algorithm or Test                  | Test Properties | Test Method | Test Type | Indicator       | Details                                                                                                                        | Conditions                                         |
|------------------------------------|-----------------|-------------|-----------|-----------------|--------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| KDF SSH (A4782)                    | SHA-1           | KAT         | CAST      | Console message | Key Derivation                                                                                                                 | On Startup                                         |
| Counter DRBG (A4783)               | AES-256         | KAT         | CAST      | Console message | DRBG CAST exercises the following functions from NIST SP 800-90A: Instantiate (11.3.2), Generate (11.3.3) and Reseed (11.3.4). | On Startup                                         |
| ECDSA KeyGen (FIPS186-5) (A4782)   | P-256           | PCT         | PCT       | Console message | Key Pair generation and validation                                                                                             | Generation of ECDSA Key Pair                       |
| RSA KeyGen (FIPS186-5) (A4782)     | 2048-bit        | PCT         | PCT       | Console message | Key Pair generation and validation                                                                                             | Generation of RSA Key Pair                         |
| KAS-ECC-SSC Sp800-56Ar3 (A4782)    | P-256           | KAT         | CAST      | Console message | Shared Secret Computation                                                                                                      | On Startup                                         |
| KAS-ECC-SSC Sp800-56Ar3 - ECDH PCT | P-256           | PCT         | PCT       | Console message | Signature Verification                                                                                                         | Generation of ECDH Key Pair                        |
| Repetition Count Test (Entropy)    | -               | RCT         | CAST      | Console message | Repetition Count Test on entropy source                                                                                        | On Startup, Continuously on entropy source outputs |
| Adaptive Proportion Test           | -               | APT         | CAST      | Console message | Adaptive Proportion Test on entropy source                                                                                     | On Startup, Continuously on entropy source outputs |
| SHA3-256 (A4093)                   | 256-bit         | KAT         | CAST      | Console message | Hash                                                                                                                           | On Startup                                         |

Table 22: Conditional Self-Tests

The module's cryptographic algorithm self-tests (CAST) are run as part of module startup and can be invoked on demand by power-cycling the module.

## 10.3 Periodic Self-Test Information

| Algorithm or Test     | Test Method | Test Type       | Period    | Periodic Method          |
|-----------------------|-------------|-----------------|-----------|--------------------------|
| HMAC-SHA2-384 (A4783) | MAC         | SW/FW Integrity | On Demand | Manual, by module reboot |

Table 23: Pre-Operational Periodic Information

| Algorithm or Test                | Test Method | Test Type | Period                 | Periodic Method          |
|----------------------------------|-------------|-----------|------------------------|--------------------------|
| AES-GCM (A4783)                  | KAT         | CAST      | On Demand              | Manual, by module reboot |
| AES-CBC (A4783)                  | KAT         | CAST      | On Demand              | Manual, by module reboot |
| SHA-1 (A4783)                    | KAT         | CAST      | On Demand              | Manual, by module reboot |
| SHA2-256 (A4783)                 | KAT         | CAST      | On Demand              | Manual, by module reboot |
| HMAC-SHA2-384 (A4783)            | KAT         | CAST      | On Demand              | Manual, by module reboot |
| ECDSA SigGen (FIPS186-5) (A4782) | KAT         | CAST      | On Demand              | Manual, by module reboot |
| ECDSA SigVer (FIPS186-5) (A4782) | KAT         | CAST      | On Demand              | Manual, by module reboot |
| RSA SigGen (FIPS186-5) (A4782)   | KAT         | CAST      | On Demand              | Manual, by module reboot |
| RSA SigVer (FIPS186-5) (A4782)   | KAT         | CAST      | On Demand              | Manual, by module reboot |
| TLS v1.2 KDF RFC7627 (A4782)     | KAT         | CAST      | On Demand              | Manual, by module reboot |
| KDF SSH (A4782)                  | KAT         | CAST      | On Demand              | Manual, by module reboot |
| Counter DRBG (A4783)             | KAT         | CAST      | On Demand              | Manual, by module reboot |
| ECDSA KeyGen (FIPS186-5) (A4782) | PCT         | PCT       | On Key Pair Generation | -                        |
| RSA KeyGen (FIPS186-5) (A4782)   | PCT         | PCT       | On Key Pair Generation | -                        |
| KAS-ECC-SSC Sp800-56Ar3 (A4782)  | KAT         | CAST      | On Demand              | Manual, by module reboot |

| Algorithm or Test                        | Test Method | Test Type | Period                    | Periodic Method             |
|------------------------------------------|-------------|-----------|---------------------------|-----------------------------|
| KAS-ECC-SSC<br>Sp800-56Ar3 -<br>ECDH PCT | PCT         | PCT       | On Key Pair<br>Generation | -                           |
| Repetition Count<br>Test (Entropy)       | RCT         | CAST      | On Demand,<br>Continuous  | -                           |
| Adaptive<br>Proportion Test              | APT         | CAST      | On Demand,<br>Continuous  | -                           |
| SHA3-256<br>(A4093)                      | KAT         | CAST      | On Demand                 | Manual, by<br>module reboot |

Table 24: Conditional Periodic Information

The module is security level 2 and not subject to periodic self-test requirements.

#### 10.4 Error States

| Name  | Description                                                                  | Conditions             | Recovery Method                                             | Indicator                        |
|-------|------------------------------------------------------------------------------|------------------------|-------------------------------------------------------------|----------------------------------|
| Error | All data output and cryptographic services are inhibited in the error state. | If any self-test fails | Reboot module when all System Controllers are in Error mode | <name of test> self-test failed. |

Table 25: Error States

The module transitions to an error state when any module self-test fails. All data output and cryptographic functions are inhibited when in the error state.

If both the primary (active) and secondary (standby) system controllers are active when the module enters the error state, the module will immediately pass control from the active to the standby system controller, and transition back to the normal operation state.

If there is only 1 active system controller, such as when the primary controller has already failed and passed control to the secondary controller, then module must be rebooted to clear the error condition.

#### 10.5 Operator Initiation of Self-Tests

All pre-operational and cryptographic algorithm self-tests can be invoked on demand by the module operator by power-cycling the module.

## 11 Life-Cycle Assurance

### 11.1 Installation, Initialization, and Startup Procedures

- The hardware platform (TOEPP) is shipped directly from the Vendor to the customer via a professional carrier and the shipment tracked by that carrier.
- The Crypto Officer should inspect the product packaging for signs of tamper during transit.
- The Crypto Officer should verify the product matches the description and pictures in this Security Policy and ensure that all components are present in the package.
- The Crypto Officer should follow the instructions found in the “Install and Upgrade Software” here: <https://techdocs.f5.com/en-us/velos-1-5-0/velos-systems-installation-upgrade/title-install-upgrade-software.html#ch-title-install-sw>
- The Crypto Officer should follow the instructions found in the “F5 VELOS system initial configuration” guide, Section “License the system automatically from the system controller webUI” here: <https://techdocs.f5.com/en-us/hardware/velos-systems-getting-started/gs-system-initial-config.html#velos-setup-wizard-overview>
- The device will reboot and return to operation in the Approved mode.
- The administrator should verify that the module is operating in the approved mode of operation, with the FIPS license installed at any time by issuing the commands listed in Section 2.4: Modes of Operation, of this security policy and verifying that the output matches the expected output.

### 11.2 Administrator Guidance

The administrator may verify that the module is operating in the approved mode of operation, with the FIPS license installed at any time by issuing the commands listed in Section 2.4: Modes of Operation, of this security policy and verifying that the output matches the expected output. The services available to administrators are listed in Section 4.3: Approved Services, of this security policy.

### 11.3 Non-Administrator Guidance

There is no specific non-administrator for proper use of the module once configured into the approved mode of operation. The services available to non-administrators are listed in Section 4.3: Approved Services, of this security policy.

## 12 Mitigation of Other Attacks

The module does not implement security mechanisms to mitigate other attacks.