# Palo Alto Networks GlobalProtect App Version 5.1.5 Security Target

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### **1. Security Target Introduction**

This section identifies the Security Target (ST) and Target of Evaluation (TOE) identification, ST conventions, ST conformance claims, and the ST organization. The TOE is the GlobalProtect client (GlobalProtect App 5.1.5).

The Palo Alto Networks GlobalProtect app provides users with the ability to securely communicate with their internal networks.

The focus on this evaluation is on the TOE functionality supporting the claims in the Protection Profile for Application Software.

The Security Target contains the following additional sections:

- 1. Product Description
- 2. Security Problem Definition
- 3. Security Objectives
- 4. IT Security Requirements
- 5. TOE Summary Specification
- 6. Protection Profile Claims
- 7. Rationale

# 1.1 Security Target, TOE and CC Identification

ST Title: Palo Alto Networks GlobalProtect App Version 5.1.5 Security Target

ST Version: 1.0

**ST Date:** 05/08/2020

**TOE Identification:** The TOE is available in two versions:

- Windows 10
  - GlobalProtect64-5.1.5.msi
  - SHA-256 checksum: 530C35A1390EEBCFF2F9B8D0781C914561468401D3DE135BADA44D9FB8 69AE38
- macOS 10.14
  - GlobalProtect-5.1.5.pkg
  - SHA-256 checksum: 102D2EDE71F818FC2F225C6BB1A57D46B806C865A12B9EE333065856E0E 2532F

**TOE Developer:** Palo Alto Networks, Inc. **Evaluation Sponsor:** Palo Alto Networks, Inc.

### 1.2 Conformance Claims

PP Reference: Protection Profile for Application Software Version 1.3 [APPSW] PP Version: 1.3 PP Date: March 1, 2019 This TOE and ST are conformant to Parts 2 (extended) and 3 (extended) of Common Criteria Version 3.1, Revision 5.

The following NIAP Technical Decisions apply to this PP, and have been accounted for in the ST development:

- <u>0416 Correction to FCS\_RBG\_EXT.1 Test Activity</u>
- <u>0427 Reliable Time Source</u>
- <u>0437 Supported Configuration Mechanism</u>
- <u>0434 Windows Desktop Application Test</u>
- <u>0444 IPsec Selections</u>
- <u>0445 User Modifiable File Definition</u>
- <u>0465 Configuration Storage for .NET Apps</u>
- <u>0486 Removal of PP-Module for VPN Clients from allowed with list</u>
- <u>0495 FIA\_X509\_EXT.1.2 Test Clarification</u>
- <u>0498 Application Software PP Security Objectives and Requirement Rationale</u>
- <u>0505 Clarification of revocation testing under RFC6066</u>
- <u>0510 Obtaining random bytes from for iOS/macOS</u>

PP Reference: Functional Package for Transport Layer Security (TLS) [PKGTLS] PP Version: 1.1 PP Date: February 12, 2019

The TOE and ST is package-name conformant to [PKGTLS].

The following NIAP Technical Decisions apply to this PP, and have been accounted for in the ST development:

- <u>0442 Updated TLS Ciphersuites for TLS package</u>
- <u>0469 Modification of test activity for FCS\_TLSS\_EXT.1.1 test 4.1</u>
  - <u>Note: This Technical Decision is not applicable to the TOE as the TOE does not</u> <u>claim FCS\_TLSS\_EXT.1.1</u>
- <u>0499 Testing with pinned certificates</u>
- <u>0513 CA Certificate loading</u>

## 1.3 Conventions

The following conventions have been applied to this document:

- Security Functional Requirements Part 2 of the CC defines the approved set of operations that may be applied to functional requirements: iteration, assignment, selection, and refinement.
  - Iteration: allows a component to be used more than once with varying operations. In the ST, iteration is indicated by a number in parentheses placed at the end of the component. For example, FDP\_ACC.1 (1) and FDP\_ACC.1 (2) indicate that the ST includes two iterations of the FDP\_ACC.1 requirement, (1) and (2).

- Assignment: allows the specification of an identified parameter. Assignments are indicated using italicized and are surrounded by brackets (e.g., [assignment]). Note that an assignment within a selection would be identified in italics and with embedded bold brackets (e.g., [[selected-assignment]]).
- Selection: allows the specification of one or more elements from a list. Selections are indicated using bold and are surrounded by brackets (e.g., [selection]).
- Refinement: allows the addition of details. Refinements are indicated using bold, for additions, and strike-through, for deletions (e.g., "... **all** objects ..." or "... some **big** things ..."). Note that 'cases' that are not applicable in a given SFR have simply been removed without any explicit identification.
- Other sections of the ST Other sections of the ST use bolding to highlight text of special interest, such as captions.
- The ST does not highlight operations that have been completed by the PP and EP authors.

### 1.3.1 Terminology

The following terms and abbreviations are used in this ST:

### 1.3.2 Acronyms

Advanced Encryption Standard
Cipher-Block Chaining
Common Criteria for Information Technology Security
Evaluation
Common Evaluation Methodology for Information
Technology Security
Configuration Management
Central Processing Unit
Diffie-Hellman
Electrically Erasable Programmable Read-Only Memory
Extended Package
Enrollment over Secure Transport
Identification and Authentication CC Class
Federal Information Processing Standard
Security Management CC Class
Functional Specification
File Transfer Protocol
Graphical User Interface
Hashed Message Authentication Code
Hypertext Transfer Protocol (Secure)
Internet Key Exchange
Internet Protocol
Internet Protocol version 4
Internet Protocol version 6
Protection Profile for Network Devices
Network Address Translation
National Institute of Standards and Technology
Protection Profile

0.5	Quality of Somioo
QOS	Quality of Service
RESI	Representational State Transfer
RSA	Rivest, Shamir and Adleman (algorithm for public-key
	cryptography)
SA	Security Association
SAR	Security Assurance Requirement
SFP	Security Function Policy
SFR	Security Functional Requirement
SHA	Secure Hash Algorithm
SM	Security Management
SMR	Security Management Roles
SMTP	Simple Mail Transfer Protocol
SNMP	Simple Network Management Protocol
SSH	Secure Shell
SSL	Secure Socket Layer Protocol
ST	Security Target
STFF	Stateful Traffic Filter Firewall (EP)
TCP	Transmission Control Protocol
TLS	Transport Layer Security
TOE	Target of Evaluation
TSF	TOE Security Functions
TSP	TOE Security Policy
UDP	User Data Protection
URL	Uniform Resource Locator
VLAN	Virtual Local Area Network
VM	Virtual Machine
VPN	Virtual Private Network
VPNGW	VPN Gateway (EP)

# 2. TOE Description

The TOE is the Palo Alto Networks GlobalProtect App that provides users with the ability to access their company network resources via the Palo Alto Networks GlobalProtect Portals and Gateways that have been deployed. The TOE also provides several management functions that includes, for examples, allowing the endpoint user to select their desired gateway, and to collect troubleshooting logs from the TOE. Additional components that interact with the TOE are noted in the TOE Overview.

# 2.1 TOE Overview

The GlobalProtect app is a software program that runs on the endpoint (desktop/laptop computer) to protect users by using the same security policies that protect the sensitive resources in corporate networks. The GlobalProtect app secures the traffic using TLS and allows users to connect to corporate networks to access company's resources from anywhere in the world (e.g. when users are remote). The TOE runs on either Windows 10 or macOS (minimum version 10.14).

The TOE is a software program as specified in the APPSW, which uses TLS to protect communication as defined in PKGTLS. The TOE interacts with other GlobalProtect components, which include the Palo Alto Networks GlobalProtect Portal and Gateway.

The Palo Alto Next Generation Firewall provides the GlobalProtect Portal, which provides details for the GlobalProtect infrastructure. Every client system that participates in the GlobalProtect network receives configuration information from the portal, including information about available gateways as well as any client certificates that may be required to connect to the Palo Alto Next Generation Firewall GlobalProtect Gateways. The GlobalProtect gateways provide security enforcement for traffic from GlobalProtect apps.

Once connected, user and host information is sent to the GlobalProtect gateway, which identifies the identity of the operator that is connecting along with details of the host via the host profile (e.g. antivirus definitions installed, security patches, etc.).



Figure 1 – GlobalProtect app

### 2.2 TOE Architecture

The TOE is a software solution that is comprised of items listed in Section 2.2.1 and 2.2.2. The software is available for download from the Palo Alto Networks support site.



Figure 2 - TOE Architecture

### 2.2.1 Physical Boundaries

The physical boundary of the TOE is the GlobalProtect app installed and running on a supported platform (i.e. Windows or macOS).

### 2.2.1.1 Software Requirements

The TOE runs on a desktop operating system that includes macOS version 10.14+ or Windows 10 that communicates with a Palo Alto Networks Next Generation Firewall that utilizes PAN-OS 9.0 or later.

### 2.2.1.2 Hardware Requirements

The TOE must be installed on either a desktop/laptop computer with macOS or Windows 10. The GlobalProtect Portal and Gateway reside on a Palo Alto Networks Next Generation Firewall. The Palo Alto Networks Next Generation Firewall is covered in a separate evaluation.

Minimum hardware: 256 MB RAM (minimum); 100 MB of disk space (minimum)

The TOE was installed and tested on the following platforms.

- Windows 10 Pro 1909 Processor: Intel Core i7-4700MQ (Haswell microarchitecture)
- MacMini MacOS version 10.14.6 Processor: Intel Core i5-8500B (Coffee Lake microarchitecture)

### 2.2.2 Logical Boundaries

This section summarizes the security function provided by the TOE:

- Cryptographic support
- User data protection
- Identification and Authentication
- Security Management
- Privacy
- Protection of the TSF
- Trusted path/channels

### 2.2.2.1 Cryptographic support

The TOE implements NIST validated cryptographic algorithms that provide key management, random bit generation, encryption/decryption, digital signature and cryptographic hashing and keyed-hash message authentication features in support of cryptographic protocols such as TLS. In order to utilize these features, the TOE must be configured in FIPS-CC mode.

GlobalProtect App includes algorithms that are covered by CAVP certificates that are noted in this document. In addition, the TOE also relies on the underlying platforms Windows 10 and macOS. **Table 4** contains information regarding all the keys included and utilized by the TOE.

### 2.2.2.2 User data protection

The TOE restricts its access to only using network connectivity when it is needed to communicate to the Palo Alto Networks Gateway or Portal. Other functionality on the host platform such as its camera, Bluetooth, USB, or microphone are not needed. The TOE does not store any sensitive data in non-volatile memory.

### 2.2.2.3 Identification and authentication

The TOE authenticates the X.509 certificate of the Palo Alto Networks GlobalProtect Gateway/Portal as part of establishing a TLS connection.

### 2.2.2.4 Security Management

The TOE provides access to the security management features using an interface on a generalpurpose computer. Security management operations are provided to the user of the TOE. A user is able to perform security management by configuring necessary items such as assigning the Palo Alto Networks GlobalProtect Portal and Gateway that the TOE will use for its connections. It also provides the user with the ability to collect troubleshooting logs, configure gateway and portal, check the current version, check for updates, and to enable/disable the transmission of information regarding the system's hardware/software or configuration. The TOE relies on the OS' network ports (i.e. ethernet ports) for communication and management capabilities.

In order to install or uninstall the TOE, the user is required to have platform administrator privileges.

### 2.2.2.5 Privacy

The TOE does not transmit PII over a network.

### 2.2.2.6 Protection of the TSF

The TOE implements a variety of functions to ensure that it is protected against corruption. These include utilizing platform APIs, memory mapping, and stack-based buffer overflow protection. Palo Alto Networks provides customers with a means of updating their TOE using trusted updates. These trusted updates are securely delivered and installed using protection mechanisms such as TLS, and by using approved digital signature methods. All of these updates are properly signed using RSA 2048 with SHA-256. The trusted update site also provides a checksum of the updates that can be used for additional verification before it is utilized.

### 2.2.2.7 Trusted path/channels

The TOE protects communication between itself as the endpoint and other networks using TLS. TLS 1.2 is utilized to encrypt all data that is passed from the TOE to other components (i.e. Palo Alto Networks GlobalProtect Portals and Gateways).

### 2.3 TOE Documentation

Palo Alto Networks, Inc. has several documents that provide users with information regarding the installation, and the included security features.

For GlobalProtect App 5.1.5, these documents include the following:

- Palo Alto Networks GlobalProtect App Version 5.1.5 Security Target, [This document]
- Palo Alto Networks GlobalProtect App User Guide Version 5.1, June 4, 2020 (Last Updated)
- Palo Alto Networks Common Criteria Evaluation Configuration Guide (CCECG) GlobalProtect App 5.1.5, May 8, 2020

### **3** Security Problem Definition

This security target includes by reference the Security Problem Definition (composed of organizational policies, threat statements, and assumption) from [APPSW].

In general, the [APPSW] has presented a Security Problem Definition appropriate for software applications, and as such, is applicable to the TOE.

The following threats are directly from the [APPSW]:

T. NETWORK_ATTACK	An attacker is positioned on a communications channel or elsewhere on the network infrastructure. Attackers may engage in communications with the application software or alter communications between the application software and other endpoints in order to compromise it.
T.NETWORK_EAVESDROP	An attacker is positioned on a communications channel or elsewhere on the network infrastructure. Attackers may monitor and gain access to data exchanged between the application and other endpoints.
T.LOCAL_ATTACK	An attacker can act through unprivileged software on the same computing platform on which the application executes. Attackers may provide maliciously formatted input to the application in the form of files or other local communications.
T.PHYSICAL_ACCESS	An attacker may try to access sensitive data at rest.

The following assumptions are made as drawn directly from the [APPSW]:

A. PLATFORM	The TOE relies upon a trustworthy computing platform with a reliable time clock for its execution. This includes the underlying platform and whatever runtime environment it provides to the TOE.
A. PROPER_USER	The user of the application software is not willfully negligent or hostile, and uses the software in compliance with the applied enterprise security policy.
A. PROPER_ADMIN	The administrator of the application software is not careless, willfully negligent or hostile, and

administers the software in compliance with the applied enterprise security policy.

### **4** Security Objectives

The sections below identify the security objectives for the TOE and for the operational environment. These security objectives identify the responsibilities of the TOE and the operational environment in meeting security needs.

## 4.1 Security Objectives for the TOE

The Security Objectives below are defined in the APPSW.

**O.INTEGRITY** 

Conformant TOEs ensure the integrity of their installation and update packages, and also leverage execution environment-based mitigations. Software is seldom, if ever, shipped without errors. The ability to deploy patches and updates to fielded software with integrity is critical to enterprise network compiler Processor manufacturers. security. developers, execution environment vendors, and operating system vendors have developed execution environment-based mitigations that increase the cost to attackers by adding complexity to the task of compromising systems. Application software can often take advantage of these mechanisms by using APIs provided by the runtime environment or by enabling the mechanism through compiler or linker options.

To ensure quality of implementation, conformant TOEs leverage services and APIs provided by the runtime environment rather than implementing their own versions of these services and APIs. This is especially important for cryptographic services and other complex operations such as file and media parsing. Leveraging this behavior relies upon platform using only documented and supported APIs.

To facilitate management by users and the enterprise, conformant TOEs provide consistent and supported interfaces for their security-relevant configuration and maintenance. This includes the deployment of applications and application updates through the use of platform-supported deployment mechanisms and formats, as well as providing mechanisms for

### **O.QUALITY**

### **O.MANAGEMENT**

configuration. This also includes providing control to the user regarding disclosure of any PII.

O.PROTECTED_STORAGE	To address the issue of loss of confidentiality of user data in the event of loss of physical control of the storage medium, conformant TOEs will use data-at- rest protection. This involves encrypting data and keys stored by the TOE in order to prevent unauthorized access to this data. This also includes unnecessary network communications whose consequence may be the loss of data.
O.PROTECTED_COMMS	To address both passive (eavesdropping) and active

To address both passive (eavesdropping) and active (packet modification) network attack threats, conformant TOEs will use a trusted channel for sensitive data. Sensitive data includes cryptographic keys, passwords, and any other data specific to the application that should not be exposed outside of the application.

4.2 Security Objectives for the Operational Environment

The Security Objectives below are defined in the APPSW.

OE.PLATFORM	The TOE relies upon a trustworthy computing platform for its execution. This includes the underlying operating system and any discrete execution environment provided to the TOE.		
OE.PROPER_USER	The user of the application software is not willfully negligent or hostile, and uses the software within compliance of the applied enterprise security policy.		
OE.PROPER_ADMIN	The administrator of the application software is not careless, willfully negligent or hostile, and administers the software within compliance of the applied enterprise security policy.		

### **5 IT Security Requirements**

This section defines the Security Functional Requirements (SFRs) and Security Assurance Requirements (SARs) that serve to represent the security functional claims for the Target of Evaluation (TOE) and to scope the evaluation effort.

The SFRs have all been drawn from the following Protection Profiles (PP):

- Protection Profile for Application Software, Version 1.3, 1 March 2019 [APPSW],
- Functional Package for Transport Layer Security (TLS), Version 1.1 [PKGTLS]

The SARs are the set of SARs specified in [APPSW].

### 5.1 Extended Requirements

All of the extended requirements in this ST have been drawn from the [APPSW] and [PKGTLS]. The [APPSW] and [PKGTLS] define all the extended SFRs (\*\_EXT.1) and since they are not redefined in this ST, the [APPSW] and [PKGSTLS] should be consulted for more information in regard to those CC extensions.

# 5.2 TOE Security Functional Requirements

The following table identifies the SFRs that are satisfied by the TOE.

Requirement Class	Requirement Component	
FCS: Cryptographic Support	FCS_CKM_EXT.1: Cryptographic Key Generation Services	
	FCS_CKM.1(1): Cryptographic Asymmetric Key Generation	
	FCS_CKM.2: Cryptographic Key Establishment	
	FCS_RBG_EXT.1 Random Bit Generation Services	
	FCS_RBG_EXT.2: Random Bit Generation from Application	
	FCS_STO_EXT.1 Storage of Credentials	
	FCS_TLS_EXT.1 TLS Protocol	
	FCS_TLSC_EXT.1 TLS Client Protocol	
	FCS_TLSC_EXT.2 TLS Client Support for Mutual Authentication	
	FCS_TLSC_EXT.5 TLS Client Support for Supported Groups Extension	
	FCS_COP.1.1(1): Cryptographic Operation – Encryption/Decryption	
	FCS_COP.1.1(2): Cryptographic Operation – Hashing	
	FCS_COP.1.1(3): Cryptographic Operation Signing	
	FCS_COP.1.1(4): Cryptographic Operation – Keyed- Hash Message Authentication	
FDP: User Data Protection	FDP_DEC_EXT.1 Access to Platform Resources	
	FDP_NET_EXT.1 Network Communications	
	FDP_DAR_EXT.1 Encryption of Sensitive Application Data	
FIA: Identification and	FIA_X509_EXT.1 X.509 Certificate Validation	
Authentication	FIA_X509_EXT.2 X.509 Certificate Authentication	
FMT: Security	FMT_MEC_EXT.1 Supported Configuration Mechanism	
Management	FMT_CFG_EXT.1 Secure by Default Configuration	

### Table 1 TOE Security Functional Components

<b>Requirement Class</b>	Requirement Component		
	FMT_SMF.1 Specification of Management Functions		
FPR: Privacy	FPR_ANO_EXT.1 User Consent for Transmission of Personally Identifiable Information		
FPT: Protection of the TSF	FPT_API_EXT.1 Use of Supported Services and APIs		
	FPT_AEX_EXT.1 Anti-Exploitation Capabilities		
	FPT_TUD_EXT.1 Integrity for Installation and Update		
	FPT_TUD_EXT.2 Integrity for Installation and Update		
	FPT_LIB_EXT.1 Use of Third Party Libraries		
	FPT_IDV_EXT.1 Software Identification and Versions		
FTP: Trusted Path/Channels	FTP_DIT_EXT.1 Protection of Data in Transit		

### 5.2.1 Cryptographic Support (FCS)

**5.2.1.1 – Cryptographic Key Generation Services (FCS\_CKM\_EXT.1)** 

### FCS\_CKM\_EXT.1.1 The application shall [

- implement asymmetric key generation
- ].
- 5.2.1.2 Cryptographic Asymmetric Key Generation (FCS\_CKM.1(1))

FCS (	CKM.1.1(1)	The appl	ication	shall [
				~ L

• implement functionality

] to generate asymmetric cryptographic keys in accordance with a specified cryptographic key generation algorithm [

• [ECC schemes] using ["NIST curves" P-256, P-384 and [selection: P-521] that meet the following: [FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.4]

].

5.2.1.3 – Cryptographic Key Establishment (FCS\_CKM.2)

# **FCS\_CKM.2.1** The application shall [**implement functionality**] to perform cryptographic key establishment in accordance with a specified cryptographic key establishment method:

• [Elliptical curve-based key establishment schemes] *that meets the following*: [NIST Special Publication 800-56A,

"Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"]

5.2.1.4 – TLS Protocol (FCS\_TLS\_EXT.1)

].

].

5.2.1.5 – TLS Client Protocol (FCS\_TLSC\_EXT.1)

FCS_TLSC_EXT.1.1	<ul> <li>The product shall implement TLS 1.2 (RFC 5246) and [no earlier TLS versions] as a client that supports the cipher suites [</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_CBC_SHA256 as defined in RFC 5289</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 as defined in RFC 5289</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_CBC_SHA384 as defined in RFC 5289</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 as defined in RFC 5289</li> <li>TLS_ECDHE_RSA_WITH_AES_128_CBC_SHA256 as defined in RFC 5289</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 as defined in RFC 5289</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 as defined in RFC 5289</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 as defined in RFC 5289</li> <li>TLS_ECDHE_RSA_WITH_AES_256_CBC_SHA384 as defined in RFC 5289</li> <li>TLS_ECDHE_RSA_WITH_AES_256_GCM_SHA384 as defined in RFC 5289</li> </ul>
FCS_TLSC_EXT.1.2	The product shall verify that the presented identifier matches the reference identifier according to RFC 6125.
FCS_TLSC_EXT.1.3	The product shall not establish a trusted channel if the server certificate is invalid [ <b>with no exceptions</b> ].
5.2.1.6 – TLS Client Su	pport for Mutual Authentication (FCS_TLSC_EXT.2)
FCS_TLSC_EXT.2.1	The product shall support mutual authentication using X.509v3 certificates.

5.2.1.7 – TLS Client Support for Supported Groups Extension (FCS\_TLSC\_EXT.5)

FCS_TLSC_EXT.5. 5.2.1.8 – Cryptograp FCS_COP.1.1(1)	<ol> <li>The product shall present the Supported Groups Extension in the Client Hello with the supported groups [         <ul> <li>secp256r1</li> <li>secp384r1</li> <li>secp521r1</li> <li>].</li> </ul> </li> <li>Dhic Operation – Encryption/Decryption (FCS_COP.1(1))</li> <li>The application shall perform <i>encryption/decryption</i> in accordance with a</li> </ol>
	<ul> <li>specified cryptographic algorithm [</li> <li>AES-CBC (as defined in NIST SP 800-38A) mode,</li> <li>AES-GCM (as defined in NIST SP 800-38D) mode</li> <li>] and cryptographic key sizes [128-bit, 256-bit].</li> </ul>
5.2.1.9 – Cryptogram	bhic Operation – Hashing (FCS_COP.1(2))
FCS_COP.1.1(2)	<ul> <li>The application shall perform <i>cryptographic hashing</i> services in accordance with a specified algorithm [</li> <li>SHA-1,</li> <li>SHA-256,</li> <li>SHA-384</li> <li>] and message digest sizes [</li> <li>160</li> <li>256,</li> <li>384</li> <li>] bits that meet the following: FIPS Pub 180-4.</li> </ul>
5.2.1.10 – Cryptogra	phic Operation – Signing (FCS_COP.1(3))
FCS_COP.1.1(3)	<ul> <li>The application shall perform <i>cryptographic signature services</i> (generation and verification) in accordance with a specified cryptographic algorithm [</li> <li>RSA schemes using cryptographic key sizes of 2048-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Section 4 ,</li> <li>ECDSA schemes using "NIST curves" P-256, P-384 and [P-521] that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Section 5 ].</li> </ul>
5.2.1.11 – Cryptogra	phic Operation – Keyed-Hash Message Authentication (FCS_COP.1(4))
FCS_COP.1.1(4)	<ul> <li>The application shall perform <i>keyed-hash message authentication</i> in accordance with a specified cryptographic algorithm</li> <li>HMAC-SHA-256</li> <li>and [</li> </ul>

- SHA-1
- SHA-384

] with key sizes [256, 160, 384] and message digest sizes 256 and [160, 384] bits that meet the following FIPS Pub 198-1 *The Keyed-Hash Message Authentication Code* and FIPS Pub 180-4 *Secure Hash Standard.* 

**5.2.1.12 – Random Bit Generation Services (FCS\_RBG\_EXT.1)** 

### FCS\_RBG\_EXT.1.1 The application shall [

• implement DRBG functionality

] for its cryptographic operations.

5.2.1.13 – Random Bit Generation Services (FCS\_RBG\_EXT.2)

FCS\_RBG\_EXT.2.1 The application perform all deterministic random bit generation (DRBG) services in accordance with NIST Special Publication 800-90A using [CTR\_DRBG(AES)]

FCS\_RBG\_EXT.2.2 The deterministic RBG shall be seeded by an entropy source that accumulates entropy from a platform-based DRBG and [

• no other noise source

] with a minimum of [

• 256 bits

] of entropy at least equal to the greatest security strength (according to NIST SP 800-57) of the keys and hashes that it will generate.

### 5.2.1.14 - Storage of Credentials (FCS\_STO\_EXT.1)

FCS\_STO\_EXT.1.1 The application shall [

• invoke the functionality provided by the platform to securely store [CA certificates, user certificates, RSA private keys, ECDSA private keys]

] to non-volatile memory.

5.2.2 User Data Protection (FDP)

5.2.2.1 – Access to Platform Resources (FDP\_DEC\_EXT.1)

FDP\_DEC\_EXT.1.1 The application shall restrict its access to [

• network connectivity

].

].

FDP\_DEC\_EXT.1.2 The application shall restrict its access to [

• no sensitive information repositories

5.2.2.2 – Network Communications (FDP_NET_EXT.1)				
<ul> <li>FDP_NET_EXT.1.1 The application shall restrict network communication to [         <ul> <li>user-initiated communication for [connections to Palo Alto Networks Next Generation Firewall Gateways and Portals].             </li> <li>].</li> </ul> </li> </ul>				
5.2.2.3 – Encryption of Se	nsitive Application Data (FDP_DAR_EXT.1)			
FDP_DAR_EXT.1.1 The • ] in r	application shall [ <b>not store any sensitive data</b> non-volatile memory.			
5.2.3 Security Management	t (FMT)			
5.2.3.1 – Supported Confi	guration Mechanism (FMT_MEC_EXT.1)			
FMT_MEC_EXT.1.1	The application shall [ invoke the mechanisms recommended by the platform vendor for storing and setting configuration options.].			
5.2.3.2 – Secure by Defau	t Configuration (FMT_CFG_EXT.1)			
FMT_CFG_EXT.1.1	The application shall provide only enough functionality to set new credentials when configured with default credentials or no credentials.			
<b>FMT_CFG_EXT.1.2</b> The application shall be configured by default with file permissions which protect the application binaries and data files from modification by normal unprivileged users.				
5.2.3.3 – Specification of I	Management Functions (FMT_SMF.1)			
FMT_SMF.1.1 The function	TSF shall be capable of performing the following management tions [ enable/disable the transmission of any information describing the system's hardware, software, or configuration [ setting gateway and portal addresses collecting troubleshooting logs check for updates querying the current version of the TOE]			

### 5.2.4 Privacy

5.2.4.1 – User Consent for Transmission of Personally Identifiable Information (FPR\_ANO\_EXT.1)

### **FPR\_ANO\_EXT.1.1** The application shall [

• not transmit PII over a network

].

5.2.5 Protection of the TSF (FPT)

**5.2.5.1** – Use of Supported Services and APIs (FPT\_API\_EXT.1)

FPT\_API\_EXT.1.1 The application shall use only documented platform APIs.

5.2.5.2 – Anti-Exploitation Capabilities (FPT\_AEX\_EXT.1)

**FPT\_AEX\_EXT.1.1** The application shall not request to map memory at an explicit address expect for [*no exceptions*].

- **FPT\_AEX\_EXT.1.2** The application shall [**not allocate any memory region with both write and execute permissions**].
- **FPT\_AEX\_EXT.1.3** The application shall be compatible with security features provided by the platform vendor.
- **FPT\_AEX\_EXT.1.4** The application shall not write user-modifiable files to directories that contain executable files unless explicitly directed by the user to do so.
- **FPT\_AEX\_EXT.1.5** The application shall be compiled with stack-based buffer overflow protection enabled.

**5.2.5.3 – Integrity for Installation and Update (FPT\_TUD\_EXT.1)** 

- **FPT\_TUD\_EXT.1.1** The application shall [**provide the ability**] to check for updates and patches to the application software.
- **FPT\_TUD\_EXT.1.2** The application shall [**provide the ability**] to query the current version of the application software.
- **FPT\_TUD\_EXT.1.3** The application shall not download, modify, replace or update its own binary code.
- **FPT\_TUD\_EXT.1.4** The application installation package and its updates shall be digitally signed such that its platform can cryptographically verify them prior to installation.
- **FPT\_TUD\_EXT.1.5** The application is distributed [**as an additional software package to the platform OS**].

5.2.5.4 – Integrity for Installation and Update (FPT\_TUD\_EXT.2)

**FPT\_TUD\_EXT.2.1** The application shall be distributed using the format of the platform-supported package manager.

**FPT\_TUD\_EXT.2.2** The application shall be packaged such that its removal results in the deletion of all traces of the application, with the exception of configuration settings, output files, and audit/log events.

5.2.5.5 – Use of Third Party Libraries (FPT\_LIB\_EXT.1)

**FPT\_LIB\_EXT.1.1** The application shall be packaged with only [*OpenSSL*, *OESIS*]

5.2.5.6 – Software Identification and Versions (FPT\_IDV\_EXT.1)

**FPT\_IDV\_EXT.1.1** The application shall be versioned with [[GlobalProtect software version]].

5.2.6 Trusted Path/Channel (FTP)

**5.2.6.1 – Protection of Data in Transit (FTP\_DIT\_EXT.1)** 

**FTP\_DIT\_EXT.1.1** The application shall [

• encrypt all transmitted [data] with [TLS as defined in the TLS package]

] between itself and another trusted IT product.

5.2.7 Identification and Authentication (FIA)

5.2.7.1 – X.509 Certificate Validation (FIA\_X509\_EXT.1)

**FIA\_X509\_EXT.1.1** The application shall [**implement functionality**] to validate certificates in accordance with the following rules:

- RFC 5280 certificate validation and certificate path verification.
- The certificate path must terminate with a trusted CA certificate.
- The application shall validate a certificate path by ensuring the presence of the basicConstraints extension and that the CA flag is set to TRUE for all CA certificates.
- The application shall validate the revocation status of the certificate using [the Online Certificate Status Protocol (OCSP)

# as specified in RFC 2560, a Certificate Revocation List (CRL) as specified in RFC 5280 Section 6.3].

- The application shall validate the extendedKeyUsage field according to the following rules:
  - Certificates used for trusted updates and executable code integrity verification shall have the Code Signing Purpose (id-kp 3 with OID 1.3.6.1.5.5.7.3.3) in the extendedKeyUsage field.
  - Server certificates presented for TLS shall have the Server Authentication purpose (id-kp 1 with OID 1.3.6.1.5.5.7.3.1) in the extendedKeyUsage field.
  - Client certificates presented for TLS shall have the Client Authentication purpose (id-kp 2 with OID 1.3.6.1.5.5.7.3.2) in the extendedKeyUsage field.
  - S/MIME certificates presented for email encryption and signature shall have the Email Protection purpose (id-kp 4 with OID 1.3.6.1.5.5.7.3.4) in the extendedKeyUsage field.
  - OCSP certificates presented for OCSP responses shall have the OCSP Signing purpose (id-kp 9 with OID 1.3.6.1.5.5.7.3.9) in the extendedKeyUsage field.
  - Server certificates presented for EST shall have the CMC Registration Authority (RA) purpose (id-kp-cmcRA with OID 1.3.6.1.5.5.7.3.28) in the extendedKeyUsage field.

**FIA\_X509\_EXT.1.2** The application shall treat a certificate as a CA certificate only if the basicConstraints extension is present and the CA flag is set to TRUE.

5.2.7.2 – X.509 Certificate Authentication (FIA\_X509\_EXT.2)

**FIA\_X509\_EXT.2.1** The application shall use X.509v3 certificates as defined by RFC 5280 to support authentication for [**TLS**].

**FIA\_X509\_EXT.2.2** When the application cannot establish a connection to determine the validity of a certificate, the application shall [allow the administrator to choose whether to accept the certificate in these cases].

# 5.3 TOE Security Assurance Requirements

The security assurance requirements for the TOE are included by reference to [APPSW].

Table 2 Assurance	Components
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<b>Requirement Class</b>	Requirement Component	
ASE: Security Target	ASE_CCL.1 Conformance claims	
	ASE_ECD.1 Extended components definition	
	ASE_INT.1 ST introduction	
	ASE_OBJ.1 Security objectives	
	ASE_REQ.1 Security requirements	
	ASE_SPD.1 Security problem definition	
	ASE_TSS.1 TOE summary specification	
ADV: Development	ADV_FSP.1 Basic functional specification	
AGD: Guidance	AGD_OPE.1: Operational user guidance	
Documents	AGD_PRE.1: Preparative procedures	
ALC: Life-Cycle Support	ALC_CMC.1 Labelling of the TOE	
	ALC_CMS.1 TOE CM coverage	
	ALC_TSU_EXT.1 Timely Security Updates	
ATE: Tests	ATE_IND.1 Independent testing - conformance	
AVA: Vulnerability	AVA_VAN.1 Vulnerability survey	
Assessment		

### 6 TOE Summary Specification

This chapter describes the security functions:

- Cryptographic support
- User data protection
- Certificate validation
- Security management
- Privacy
- Protection of the TSF
- Trusted path/channels

### FCS\_CKM.1.1(1), FCS\_CKM\_EXT.1 (APPSW)

The GlobalProtect app itself does not generate certificates. Platform Administrators are able to set/load client or server certificates into the certificate store of the platform (i.e., keypair generated on the platform) that is running on. During a TLS handshake, the TOE utilizes ECDHE for the key establishment with NIST curves that include P-256, P-384, and P-521 that adhere to the NIST Special Publication 800-56A. For details regarding the algorithms supported and their CAVP certificates, see **Table 4**.

### FCS\_CKM.2.1 (APPSW)

The TOE implements key establishment methods using elliptical curve key establishment scheme (ECDHE). The curves utilized by the TOE include P-256, P-384, and P-521 as defined in NIST SP 800-56A.

### FCS\_COP.1(1) (APPSW)

The TOE is able to encrypt/decrypt using AES-CBC mode (as defined in NIST SP 800-38A) and AES-GCM mode (as defined in NIST SP 800-38D) with key sizes 128-bits and 256-bits. Corresponding CAVP certificates for these algorithms are present in **Table 4**.

### FCS\_COP.1(2) (APPSW)

The TOE uses hash functions that include SHA-1, SHA-256 and SHA-384 as defined in FIPS 180-4. The digest sizes include 160-bits, 256-bits, and 384-bits that are compliant with FIPS 180-4. The hashing capabilities are utilized for digital signature verification and generation and data integrity checks. SHA-1 is not used for generating digital signatures as noted in SP 800-131A but is only used for verification for legacy purpose. The TOE uses SHA-256 and SHA-384 hashing as part of generating digital signatures. SHA-1 is used as part of the software integrity power-up test. Corresponding CAVP certificates for these algorithms are present in **Table 4**.

### FCS\_COP.1(3) (APPSW)

Both RSA and ECDSA schemes are used for TLS functions with approved key sizes. These include RSA 2048-bits, 3072-bits, and 4096-bits. For ECDSA, they include the curves P-256, P-384, and P-521. During TLS handshakes, these certificates are used for peer authentication to verify the server's identity. These certificates are also used by the TOE to present its identity as a client when connecting to a Palo Alto Networks Gateway. Corresponding CAVP certificates and the relevant schemes for these algorithms are present in **Table 4**.

### FCS\_COP.1(4) (APPSW)

The TOE supports the use of a Keyed-Hash Message Authentication algorithms that include HMAC-SHA-1, HMAC-SHA-256, and HMAC-SHA-384. They include key sizes of 160 bits, 256-bits, and 384-bits respectively. The HMAC-SHA functions are used as part of the TOE's integrity check (HMAC-SHA-1) to ensure that it has not been tampered, and is additionally used as part of the TLS handshake (HMAC-SHA-256 and HMAC-SHA-384). Corresponding CAVP certificates for these algorithms are present in **Table 4**.

# FCS\_TLSC\_EXT.1, FCS\_TLSC\_EXT.2 (PKGTLS), FTP\_DIT\_EXT.1 (APPSW)

All data that is transmitted between the GlobalProtect app and the Palo Alto Networks Gateway and Portal are encrypted using TLS. When the TOE is establishing a TLS session, it checks the reference identifier that has been specified by the user via the GlobalProtect app. These reference identifiers include IP addresses, and are checked when looking at the Common Name or in the Subject Alternative Name. The TOE supports the handling of wildcards if a certificate is presented with one in it. Certificate pinning is not supported.

The TOE shall not establish a trusted channel if the server certificate is invalid – no exceptions. During the TLS handshake with connections to the Palo Alto Networks Gateway and Portal (both acting as the server), the TOE presents the following cipher suites in its Client Hello. The TOE is only a client, and does not act as a server in any connection. TLS 1.2 is the only version of TLS supported by the TOE.

TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_CBC\_SHA256 as defined in RFC 5289 TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_GCM\_SHA256 as defined in RFC 5289 TLS\_ECDHE\_ECDSA\_WITH\_AES\_256\_CBC\_SHA384 as defined in RFC 5289 TLS\_ECDHE\_ECDSA\_WITH\_AES\_256\_GCM\_SHA384 as defined in RFC 5289 TLS\_ECDHE\_RSA\_WITH\_AES\_128\_CBC\_SHA256 as defined in RFC 5289 TLS\_ECDHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256 as defined in RFC 5289 TLS\_ECDHE\_RSA\_WITH\_AES\_256\_CBC\_SHA384 as defined in RFC 5289 TLS\_ECDHE\_RSA\_WITH\_AES\_256\_CBC\_SHA384 as defined in RFC 5289

During the negotiation of the TLS handshake, X.509v3 certificates are used to verify the server's identity. Additionally, client certificates can be set on the GlobalProtect app to support mutual authentication. For the cipher suites noted above, the client hello extension supports secp256r1, secp384r1, and secp521r1 curves.

### FCS\_TLSC\_EXT.5 (PKGTLS)

The TOE presents the Supported Groups Extension in its Client Hello that includes the following groups: secp256r1, secp384r1, and secp521r1. No other groups are supported.

### FCS\_RBG\_EXT.1, FCS\_RBG\_EXT.2 (APPSW)

The TOE implements DRBG functionality using the CTR\_DRBG in AES mode by default. The DRBG is seeded using the Windows 10 or macOS DRBG, which provides a minimum of 256 bits of entropy. A description of the noise sources for the operating systems are noted below.

The entropy pool for Windows 10 is populated using the following values:

- An initial entropy value provided by the Windows OS Loader at boot time.
- The values of the high-resolution CPU cycle counter at times when hardware interrupts are received.
- Random values gathered from the Trusted Platform Module (TPM), if one is available on the system.
- Random values gathered by calling the RDRAND CPU instruction, if supported by the CPU.

For macOS, the deterministic random bit generators are seeded by /dev/random. The /dev/random generator is a true random number generator that obtains entropy from interrupts generated by the devices and sensors attached to the system and maintains an entropy pool. The NDRNG feeds entropy from the pool into the DRBG on demand.

### FCS\_STO\_EXT.1 (APPSW)

The TOE uses the functionality provided by the platform in order to securely store X.509 certificates that are used for connections to the Palo Alto Networks GlobalProtect Gateway/Portal. The platform provides the necessary security in order to protect these items.

For macOS, the necessary certificates are stored within the Keychain while on Windows, certificates are stored within the Windows Certificate Store.

The TOE's keys/credentials are noted in Table 3.

Кеу	Description/Usage	Storage
CA Certificates	Used to extend trust for certificates (ECDSA – P-256/384/521) (RSA – 2048/3072/4096 bits)	OS' key store
RSA Public Keys	RSA public keys managed as certificates for the verification of signatures, establishment of TLS, and peer authentication. (RSA 2048/3072/4096 bits)	OS' key store
RSA Private Keys	RSA Private key used for authentication, and signature generation (RSA 2048, 3072, or 4096 bits)	OS' key store
ECDSA Public Keys	ECDSA public keys managed as certificates for the verification of signatures, establishment of TLS, and peer authentication. (P-256/384/521)	OS' key store
ECDSA Private Keys	ECDSA Private key used for authentication, and signature generation (P-256, P-384 or P-521)	OS' key store

### Table 3 - Keys and Credentials

### FDP\_DAR\_EXT.1.1, FDP\_DEC\_EXT.1, FDP\_NET\_EXT.1 (APPSW)

The GlobalProtect app does not store any sensitive data in non-volatile memory. During the configuration of the TOE, the user is not able to enter any sensitive data. When an user is initiating connections to the Palo Alto Networks Gateway or Portal, they are required to enter their authentication data for each new session that includes the username and password that is defined from the Palo Alto Networks Next Generation Firewall Gateway/Portal for the connection to succeed. These credentials are not stored or managed by GP.

The application restricts its access to only using network connectivity when it is needed to communicate to the Palo Alto Networks Gateway or Portal. Other functionality on the host platform such as its camera, Bluetooth, USB, or microphone are not needed.

### FMT\_MEC\_EXT.1.1, FMT\_CFG\_EXT.1, FMT\_SMF.1 (APPSW)

When the TOE is configured, it is required that the platform administrator follow the rules defined in the administrator guide to properly set the correct configuration. If they are not followed, the GlobalProtect app will be active in non-FIPS-CC mode. The configuration of the TOE must be completed by a platform administrator that is present at the endpoint on which the TOE resides as it will need administrator privileges to perform the installation of the software. There are no default credentials that are used or included with the TOE during its configuration.

If the TOE is installed on a Windows 10 environment, it is required that the platform OS' FIPS mode be enabled. FIPS mode is also required for the macOS platform, but this is enabled by default for macOS releases 10.12 and newer.

As noted in the Common Criteria Evaluated Configuration Guide, a platform administrator setting the TOE on a Windows 10 environment is required to launch the Windows Registry and make the proper edits there to set FIPS-CC mode.

For the macOS configuration, a platform administrator is required to edit the relevant plist file to set the FIPS-CC mode of the TOE. This file is located in the platform's Library folder. Detailed instructions on how to set the required settings for enabling FIPS-CC mode for the GlobalProtect app on macOS is included in the CCECG.

Once the TOE has been properly initialized into FIPS-CC mode, the TOE will have the ability to connect to the Palo Alto Networks Gateways provided by the Palo Alto Networks Next Generation Firewalls. The TOE provides several management functions that includes the following that can be performed by the user:

- Enable/disable the transmission of any information describing the system's hardware, software, or configuration
- Setting gateway and portal addresses
- Check for updates
- Collecting troubleshooting logs (i.e. GlobalProtect app system logs for the application, self-test results, connection details)
- Querying the current version of the TOE

By default, the TOE includes file permissions that protect the TOE's binary and data files from modification from normal unprivileged users. The TOE also includes an integrity check for itself to ensure that no malicious activity occurs.

### FPR\_ANO\_EXT.1 (APPSW)

The GlobalProtect app does not transmit personally identifiable information about an individual. While the TOE may use client certificates to identify itself to the Palo Alto Networks GlobalProtect Gateway, it does not include sensitive information such as financial records, medical history, or social security numbers that could be used to identify an individual.

### FPT\_API\_EXT.1 (APPSW)

The TOE includes the use of platform APIs for Windows and macOS. These are noted in Appendix A.

### FPT\_AEX\_EXT.1 (APPSW)

The TOE automatically enables ASLR when the application is compiled on Windows 10 (/DYNAMICBASE link flag) or macOS (-pie link flag), and stack-based buffer overflow protection is enabled by default (compiled with /GS flag). There is no administrator intervention required to set this item. The GlobalProtect app does not request any memory mapping at an explicit address. The TOE does not allocate any memory region with both write and execute permissions; users shall also not write user-modifiable files to directories that contain executable files unless they are explicitly told to do so.

The GlobalProtect app is designed to be compatible with the security features that are provided by the platform (Windows and macOS) vendor that is it installed on.

### FPT\_TUD\_EXT.1 (APPSW)

The TOE has specific versions, which can be queried by the user via the TOE's interface. New versions of the TOE are created by Palo Alto Networks, which an administrator can retrieve to update the current version of the TOE. During the installation process, a digital signature verification check is automatically performed to verify that the update has not been modified. All new versions of the GlobalProtect app are digitally signed by Palo Alto Networks using RSA 2048 with SHA-256.

Updates are available at <u>https://support.paloaltonetworks.com</u> or can be retrieved from the GlobalProtect Portal if a new version has been downloaded and activated on the Palo Alto Networks Next Generation Firewall. The TOE cannot update its own binary code – it relies on the administrator to download and install the new version available.

### FPT\_TUD\_EXT.2 (APPSW)

The following package formats are used for the GlobalProtect installation file:

- Windows 10: GlobalProtect64-5.1.5.msi
- macOS: GlobalProtect-5.1.5.pkg

The TOE is packaged such that the uninstall of the software results in complete zeroization of the TOE automatically. All files are removed from the platform when this uninstall process is initiated. Before files are uninstalled, they are overwritten with a random pattern, and then zeroized.

For Windows, this is done by selecting the program via the Control Panel and selecting uninstall. On macOS, zeroization is performed by selecting the GlobalProtect app in the Applications section of macOS' Finder and moving it to trash. The TOE overwrites files with random bytes first before it is removed from the system.

### FPT\_LIB\_EXT.1 (APPSW)

The TOE utilizes OpenSSL for its crypto functions and OESIS to provide endpoint security detection service in both macOS and Windows platforms. This library is checked for its integrity during the installation/initialization period to ensure that it has not been tampered with, and that the necessary procedures are followed to place this library in its required FIPS mode.

### FPT\_IDV\_EXT.1 (APPSW)

Palo Alto Networks provides a version control system for its software components. The TOE has a unique software versioning that identifies major versions and their subsequent maintenance releases in the following form: <major>.<maintenance release>. Major and minor releases introduce new major and minor features for the product, and additional maintenance releases (e.g. 5.1.0, 5.1.1<sup>1</sup>) are released on a regular cadence to fix issues identified with the major release.

### FIA\_X509\_EXT.1, FIA\_X509\_EXT.2 (APPSW)

The GlobalProtect app implements the ability to perform certificate path validation on the certificate chain that is presented to it by the Palo Alto Networks GlobalProtect Gateway or Portal. The certificate path validation begins with the identity certificate presented by the Gateway or Portal, and then proceeds in checking the intermediate CA certificate(s) until it reaches the trusted root certificate issued in the platform OS trust store. Only root certificates stored here are used and trusted by the TOE. On Windows platform, use the Certificates Snap-In (from the MMC) and on MacOS platform, use the Keychain to install the certificate. The following steps are performed for each certificate in the path:

- The public key algorithm/parameters are checked (i.e. RSA/ECDSA key sizes meet FIPS-CC requirements)
- The certificate is checked to make sure it is not expired (i.e. validity period of the certificate must be proper)
- The certificate is checked to make sure it is not revoked using either CRL/OCSP
- The issuer name is checked to ensure that it matches the subject name of the previous certificate in the chain

<sup>&</sup>lt;sup>1</sup> There is also an internal build number which may be displayed. This is used by the vendor for internal tracking only.

- The certificate is checked that it terminates with a trusted CA certificate and that all CA certificate have the basicConstraints extension present (and set to TRUE)
- The extendedKeyUsage field is checked such that OCSP certificates and server certificates contain the correct OID (e.g. OCSP Signing purpose and Server Authentication purpose)
- The key usage extension of the certificate is checked to make sure that it is allowed to sign certificates
- Path lengths are checked to ensure it does not exceed any maximum path length inserted

Certificates that are presented to the TOE must meet the x509v3 requirements as defined in RFC 5280 for TLS. If there are any issues with the certificate presented (as noted above), the application will not accept the certificate and reject the connection. A log message will be generated, and an administrator will be required to address the problem noted in order for the connection to succeed. The TOE will also display an error window with the failure reason and the option to continue is greyed out (i.e. unable to be selected). In FIPS-CC mode, the option to continue or override based on the administrator discretion is disabled.

The TOE also supports the revocation checking of the certificate presented using either OCSP or CRL (as specified in RFC 2560 and RFC 5280 Section 6.3). In the event that the certificate is revoked following a check of its status, the TOE will reject the connection, and not allow the connection to continue. In the event that OCSP/CRL can't be reached, the administrator is provided with a warning message that the revocation status cannot be checked or determined along with the option to proceed with the connection as permitted by FIA\_X509\_EXT.2.2.

Certificates are not used for email encryption, or server certificates presented for EST.

### ALC\_TSU\_EXT.1 (APPSW)

The TOE is regularly updated with maintenance releases once a major release is made available to the public. These maintenance releases include various bug fixes to improve product features and to address any security vulnerabilities that may have come up in previous versions. When a new version is available, users are notified via an email from Palo Alto Networks with the specific version published. These versions are also displayed on Palo Alto Networks' Customer Support page (https://support.paloaltonetworks.com). An updated version of the product is made available approximately every 42 - 60 days.

The support portal provides users the ability to download new versions of the software. This portal also includes links to the Palo Alto Networks Release Notes that highlight all the changes included in the published release. These release notes detail all the bug fixes and security advisories/vulnerabilities that have been addressed. When a user downloads the new version from the support portal there is an option to display the SHA-256 checksum of the file that can be verified again once the file is downloaded. Each file for macOS or Windows is marked with the relevant version of the TOE in the following format:

- Windows 10: *GlobalProtect64-<version>.msi*
- macOS: GlobalProtect-<version>.pkg

Palo Alto Networks provides customers with a Security Advisory page for any security vulnerabilities that have been identified in Palo Alto Networks products (https://securityadvisories.paloaltonetworks.com/).

Each vulnerability is given a criticality rating and an updated status on any updates or mitigations regarding each discovered vulnerability. Each vulnerability listing also provides a list of the versions of the product that the vulnerability is known to affect. In the event that a vulnerability has been discovered, Palo Alto Networks provides users with the ability to report them via the Product Security Incident Response Team (PSIRT) via a trusted channel for a website:

(https://securityadvisories.paloaltonetworks.com/Report)

### 6.1 Cryptographic Algorithms

The following table includes the CAVP certificates obtained for the two operational environments (Windows 10 and macOS).

**Equivalency Argument:** GlobalProtect version 5.0 and version 5.1 contain and utilize the exact same underlying cryptographic module (OpenSSL), and it is this module that was CAVP validated. Both versions call (via APIs) the same Approved FIPS algorithms with the same Approved key sizes in FIPS-CC mode.

Function(s)	Standards	Certificates
Asymmetric key generation (FCS_CKI	M.1(1))	
RSA (2048 bits or greater)	FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.3	#C1544
ECDSA (P-256, P-384, P-521 curves)	FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.4	#C1544

### Table 4 - Cryptographic Functions

Cryptographic key establishment (FCS_CKM.2)			
Elliptic curve-based scheme	NIST Special Publication 800-56A Revision 2, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"	KAS #C1544	
Symmetric encryption/decryption (FC	S_COP.1(1))		
AES CBC, GCM (128, 256 bits)	FIPS PUB 197	AES	
	CBC as defined in NIST SP 800-38A	#C1544	
	GCM as defined in NIST SP 800-38D		
Cryptographic hashing (FCS_COP.1(2	2))	L	
SHA-1, SHA-256, SHA-384	FIPS PUB 180-4	SHS #C1544	
Cryptographic signature services (FCS_COP.1(3))			
RSA with 2048-bit modulus or greater	FIPS PUB 186-4	RSA #C1544	
ECDSA with NIST Curves P-256, P-384, and P-521	FIPS PUB 186-4	ECDSA #C1544	
Keyed-hash message authentication (FCS_COP.1(4))			
HMAC-SHA-1	FIPS Pub 198-1	HMAC	
HMAC-SHA-256	FIPS Pub 180-4	#C1544	
HMAC-SHA-384		SHS #C1544	
Deterministic random bit generation (FCS_RBG_EXT.2)			
CTR_DRBG (AES)	NIST SP 800-90A	DRBG #C1544	

# **7 Protection Profile Claims**

This ST is conformant to the [APPSW]. The table below identifies all the security functional requirements within this Security Target.

<b>Requirement Class</b>	<b>Requirement Component</b>	Source
FCS: Cryptographic Support	FCS_CKM_EXT.1: Cryptographic Key Generation Services	
	FCS_CKM.2 Cryptographic Key Establishment	
	FCS_CKM.1(1): Cryptographic Asymmetric Key Generation	
	FCS_RBG_EXT.1 Random Bit Generation Services	
	FCS_RBG_EXT.2: Random Bit Generation Services	
	FCS_STO_EXT.1 Storage of Credentials	
	FCS_TLS_EXT.1 TLS Protocol**	
	FCS_TLSC_EXT.1 TLS Client Protocol**	Application Software
	FCS_TLSC_EXT.2 TLS Client Support for Mutual Authentication**	Protection Profile
	FCS_TLSC_EXT.5: TLS Client Support for Supported Groups Extension**	Functional Package for
	FCS_COP.1.1(1): Cryptographic Operation – Encryption/Decryption	Transport Layer Security (TLS)(**)
	FCS_COP.1.1(2): Cryptographic Operation – Hashing	
	FCS_COP.1.1(3): Cryptographic Operation Signing	
	FCS_COP.1.1(4): Cryptographic Operation – Keyed-Hash Message Authentication	
FDP: User Data Protection	FDP_DAR_EXT.1 Encryption of Sensitive Application Data	
	FDP_DEC_EXT.1 Access to Platform Resources	
	FDP_NET_EXT.1 Network Communications	
	FIA_X509_EXT.1 X.509 Certificate Validation	

### Table 5 - SFR and Source

<b>Requirement Class</b>	Requirement Component	Source
FIA: Identification and Authentication	FIA_X509_EXT.2 X.509 Certificate Authentication	
FMT: Security Management	FMT_CFG_EXT.1 Secure by Default Configuration	
	FMT_MEC_EXT.1 Supported Configuration Mechanism	
	FMT_SMF.1 Specification of Management Functions	
FPR: Privacy	FPR_ANO_EXT.1 User Consent for Transmission of Personally Identifiable Information	
FPR: Protection of	FPT_AEX_EXT.1 Anti-Exploitation Capabilities	
the TSF	FPT_API_EXT.1 Use of Supported Services and APIs	
	FPT_LIB_EXT.1 Use of Third Party Libraries	
	FPT_TUD_EXT.1 Integrity for Installation and Update	
	FPT_TUD_EXT.2 Integrity for Installation and Update	
	FPT_IDV_EXT.1 Software Identification and Versions	
FTP: Trusted Path/Channels	FTP_DIT_EXT.1 Protection of Data in Transit	

### • Rationale

This security target includes by reference the [APPSW] Security Problem Definition, Security Objectives, and Security Assurance Requirements. The security target makes no additions to the [APPSW] assumptions. Security functional requirements have been reproduced verbatim with the protection profile operations completed. Operations on the security requirements follow [APPSW] application notes and assurance activities. The security target did not add or remove any security requirements. Consequently, [APPSW] rationale applies and is complete.

# Appendix A Windows 10 APIs used by GlobalProtect

ActivateActCtx	GetSystemDirectoryA	WideCharToMultiByte
AdjustTokenPrivileges	GetSystemDirectoryW	WinHttpCloseHandle
AppendMenuW	GetSystemInfo	WinHttpConnect
BitBlt	GetSystemMenu	WinHttpGetIEProxyConfig-ForCurrentUser
BringWindowToTop	GetSystemMetrics	WinHttpGetProxyForUrl
CLSIDFromString	GetSystemTimeAsFileTime	WinHttpOpen
CancelIPChangeNotify	GetTempPathA	WinHttpOpenRequest
CancelMibChangeNotify2	GetTextExtentPoint32W	WinHttpQueryAuthSchemes
CertAddEncodedCertificateToStore	GetTextMetricsW	WinHttpQueryDataAvailable
CertAddSerializedElementToStore	GetThemeSysSize	WinHttpQueryHeaders
CertCloseStore	GetTickCount	WinHttpQueryOption
CertDeleteCertificateFromStore	GetTickCount64	WinHttpReadData
CertDuplicateCertificateContext	GetUserDefaultLCID	WinHttpReceiveResponse
CertEnumCertificatesInStore	GetUserDefaultUILanguage	WinHttpSendRequest
CertFindCertificateInStore	GetUserNameW	WinHttpSetCredentials
CertFindChainInStore	GetUserObjectInformationW	WinHttpSetOption
CertFreeCertificateChain	GetUserProfileDirectoryA	WinHttpSetStatusCallback
CertFreeCertificateContext	GetUserProfileDirectoryW	WinHttpSetTimeouts
CertGetCertificateChain	GetVersion	WinVerifyTrust
CertGetCertificateContextProperty	GetVersionExA	WlanCloseHandle
CertGetEnhancedKeyUsage	GetVersionExW	WlanEnumInterfaces
CertGetIntendedKeyUsage	GetWindowLongW	WlanFreeMemory
CertGetNameStringA	GetWindowRect	WlanGetAvailableNetworkList
CertGetNameStringW	GetWindowTextW	WlanOpenHandle
CertNameToStrA	GetWindowThreadProcessId	WriteFile
CertNameToStrW	GetWindowsDirectoryA	_CxxThrowException
CertOpenStore	GlobalAlloc	_XcptFilter
CertOpenSystemStoreA	GlobalFree	C_specific_handler
CertOpenSystemStoreW	GlobalMemoryStatus	CxxFrameHandler3
CertSerializeCertificateStoreElement	HeapAlloc	RTDynamicCast
ChangeServiceConfig2A	HeapDestroy	crtCaptureCurrentContext
CheckMenuItem	HeapFree	crtCapturePreviousContext
CloseHandle	HeapReAlloc	crtGetShowWindowMode
CloseServiceHandle	HeapSize	crtSetUnhandledExceptionFilter

CoCreateInstance	ImpersonateLoggedOnUser	crtTerminateProcess
CoInitialize	InetNtopW	crtUnhandledException
CoInitializeEx	InetPtonW	crt_debugger_hook
CoInitializeSecurity	InitializeCriticalSection	dllonexit
CoSetProxyBlanket	InitializeCriticalSectionAndSpinCount	getmainargs
CoTaskMemAlloc	InitializeCriticalSectionEx	initenv
CoUninitialize	InitializeUnicastIpAddressEntry	iob_func
CombineRgn	InstallHinfSectionW	set_app_type
ControlService	InternetSetOptionA	setusermatherr
ConvertSidToStringSidA	InvalidateRect	wgetmainargs
CopyRect	IsDebuggerPresent	_access
CreateActCtxW	IsIconic	_amsg_exit
CreateCompatibleBitmap	IsProcessorFeaturePresent	_atoi64
CreateCompatibleDC	IsRectEmpty	_beginthread
CreateDCW	IsWindow	_beginthreadex
CreateDirectoryW	IsWindowEnabled	_calloc_crt
CreateEnvironmentBlock	K32GetProcessImageFileNameA	_cexit
CreateEventA	KillTimer	_close
CreateEventW	LeaveCriticalSection	_commode
CreateFileA	LoadBitmapW	_configthreadlocale
CreateFileMappingA	LoadCursorW	_endthreadex
CreateFileMappingW	LoadIconW	_errno
CreateFileW	LoadImageW	_exit
CreateFontIndirectW	LoadLibraryA	_fileno
CreateFontW	LoadLibraryW	_fmode
CreateIpForwardEntry	LoadMenuW	_fsopen
CreateIpForwardEntry2	LoadResource	_fstat64i32
CreateMenu	LoadUserProfileW	_ftime64
CreateMutexW	LocalAlloc	_getch
CreatePersistentTcpPortReservation	LocalFree	_getpid
CreatePersistentUdpPortReservation	LockResource	_gmtime64
CreatePipe	LookupAccountNameW	_initterm
CreatePolygonRgn	LookupPrivilegeValueA	_initterm_e
CreatePopupMenu	LsaEnumerateLogonSessions	_ismbcspace
CreateProcessA	LsaFreeReturnBuffer	_local_unwind
CreateProcessAsUserA	LsaGetLogonSessionData	_localtime64
CreateProcessW	LsaNtStatusToWinError	_localtime64_s
CreateRectRgn	MapViewOfFile	_lock

CreateRoundRectRgn	MessageBoxW	_lseek
CreateServiceA	ModifyMenuW	_mbscmp
CreateSolidBrush	MulDiv	_mbsicmp
CreateTimerQueueTimer	MultiByteToWideChar	_mbsinc
CreateToolhelp32Snapshot	NetApiBufferFree	_mbslwr_s
CreateUnicastIpAddressEntry	NetUserGetInfo	_mbsrchr
CredDeleteW	NotifyAddrChange	_mbsstr
CredFree	NotifyRouteChange	_mktime64
CredReadW	NotifyUnicastIpAddressChange	_onexit
CredWriteW	OffsetRect	_purecall
CryptAcquireCertificatePrivateKey	OpenEventA	_read
CryptDecodeObject	OpenFileMappingA	_recalloc
CryptMsgClose	OpenFileMappingW	_setmode
CryptMsgGetParam	OpenMutexW	_snprintf
CryptQueryObject	OpenProcess	_snprintf_s
CryptUIDlgViewContext	OpenProcessToken	_stat64i32
CryptUIWizImport	OpenSCManagerA	_strdup
DeactivateActCtx	OpenServiceA	_stricmp
DebugBreak	OpenServiceW	_strnicmp
DecodePointer	OutputDebugStringA	_strupr
DeleteCriticalSection	OutputDebugStringW	_swprintf
DeleteDC	PathAppendW	_time64
DeleteFileA	PathFindFileNameA	_unlink
DeleteFileW	PostMessageA	_unlock
DeleteIpForwardEntry	PostMessageW	_vscprintf
DeleteIpForwardEntry2	PostThreadMessageA	_vsnprintf
DeleteMenu	Process32First	_vsnprintf_s
DeleteObject	Process32Next	_vsnwprintf
DeletePersistentTcpPortReservation	ProcessIdToSessionId	_vswprintf_c_l
DeletePersistentUdpPortReservation	QueryActCtxW	_wcmdln
DeleteService	QueryDosDeviceW	_wcsdup
DeregisterEventSource	QueryPerformanceCounter	_wcsicmp
DestroyEnvironmentBlock	QueryServiceStatusEx	_wcsnicmp
DestroyIcon	RaiseException	_wfopen
DhcpRequestParams	ReadFile	_wfopen_s
DnsFree	RedrawWindow	_wopen
DnsQuery_A	RegCloseKey	_wrename
DrawAnimatedRects	RegCreateKeyExA	_wstat64i32

DrawIcon	RegCreateKeyExW	_wtoi
DuplicateHandle	RegCreateKeyW	_wunlink
DuplicateTokenEx	RegDeleteKeyA	abort
DwmExtendFrameIntoClientArea	RegDeleteKeyW	asctime
EnableMenuItem	RegDeleteValueA	atof
EnableWindow	RegDeleteValueW	atoi
EncodePointer	RegEnumKeyA	atol
EnterCriticalSection	RegEnumKeyExA	calloc
EnumChildWindows	RegEnumKeyExW	exit
EnumDependentServicesA	RegFlushKey	fclose
EnumProcesses	RegNotifyChangeKeyValue	feof
EnumWindows	RegOpenKeyA	ferror
ExitProcess	RegOpenKeyExA	fflush
ExpandEnvironmentStringsA	RegOpenKeyExW	fgetc
ExpandEnvironmentStringsForUserA	RegQueryValueExA	fgets
FileTimeToLocalFileTime	RegQueryValueExW	fgetws
FileTimeToSystemTime	RegSetKeyValueA	fopen
FillRect	RegSetKeyValueW	fopen_s
FindActCtxSectionStringW	RegSetValueExA	fprintf
FindClose	RegSetValueExW	fprintf_s
FindFirstFileA	RegisterEventSourceW	fputs
FindFirstFileW	RegisterServiceCtrlHandlerExA	fread
FindNextFileW	RegisterWindowMessageW	free
FindResourceW	ReleaseDC	freeaddrinfo
FindWindowW	ReleaseMutex	fseek
FlushConsoleInputBuffer	ReportEventW	ftell
FormatMessageA	ResetEvent	fwrite
FormatMessageW	RevertToSelf	getaddrinfo
FreeLibrary	RtlVirtualUnwind	getchar
FreeMibTable	SHAppBarMessage	getenv
FwpmCalloutDeleteByKey0	SHDeleteValueA	getnameinfo
FwpmEngineClose0	SHGetFolderPathW	inet_ntop
FwpmEngineOpen0	SHGetValueA	inet_pton
FwpmFilterAdd0	SHGetValueW	isalnum
FwpmFilterCreateEnumHandle0	SHSetValueA	isdigit
FwpmFilterDeleteByKey0	SearchPathA	isprint
FwpmFilterDestroyEnumHandle0	SelectObject	isspace
FwpmFilterEnum0	SendMessageTimeoutA	isupper

FwpmFreeMemory0	SendMessageW	isxdigit
FwpmGetAppIdFromFileName0	SetActiveWindow	lstrcmpA
FwpmSubLayerAdd0	SetCursor	lstrlenA
FwpmSubLayerDeleteByKey0	SetEvent	lstrlenW
FwpmTransactionAbort0	SetForegroundWindow	malloc
FwpmTransactionBegin0	SetHandleInformation	mbstowcs
FwpmTransactionCommit0	SetIpForwardEntry	mbstowcs_s
GetAdaptersAddresses	SetLastError	memchr
GetAdaptersInfo	SetParent	memcmp
GetBestInterfaceEx	SetRectEmpty	memcpy
GetBestRoute	SetServiceStatus	memcpy_s
GetBestRoute2	SetTimer	memmove
GetBitmapBits	SetTokenInformation	memmove_s
GetClassNameW	SetUnhandledExceptionFilter	memset
GetClientRect	SetWindowLongW	printf
GetComputerNameExW	SetWindowPos	qsort
GetCurrentDirectoryW	SetWindowTextW	raise
GetCurrentProcess	SetupCloseFileQueue	rand
GetCurrentProcessId	SetupCloseInfFile	realloc
GetCurrentThreadId	SetupCommitFileQueueA	rename
GetCursorPos	SetupDefaultQueueCallbackA	rewind
GetDC	SetupInitDefaultQueueCallback	signal
GetDesktopWindow	SetupInstallFilesFromInfSectionW	sprintf
GetDeviceCaps	SetupInstallFromInfSectionW	sprintf_s
GetDlgItem	SetupInstallServicesFromInfSectionW	srand
GetEnvironmentVariableA	SetupOpenFileQueue	sscanf
GetEnvironmentVariableW	SetupOpenInfFileW	sscanf_s
GetExitCodeProcess	SetupTermDefaultQueueCallback	streat
GetFileAttributesW	ShellExecuteW	strcat_s
GetFileType	Shell_NotifyIconW	strchr
GetFocus	Sleep	stremp
GetIfEntry	StartServiceA	strcpy
GetIfTable	StartServiceCtrlDispatcherA	strcpy_s
GetIpAddrTable	StrStrIA	strerror
GetIpForwardTable	SystemParametersInfoW	strftime
GetIpForwardTable2	TerminateProcess	strlen
GetIpInterfaceTable	TerminateThread	strncat_s
GetLastError	TextOutW	strncmp

GetLocalTime	TrackMouseEvent	strncpy
GetMenuItemCount	UnloadUserProfile	strncpy_s
GetMenuItemInfoW	UnmapViewOfFile	strnlen
GetModuleFileNameA	UnregisterClassA	strrchr
GetModuleFileNameW	UpdateWindow	strstr
GetModuleHandleA	VerSetConditionMask	strtok_s
GetModuleHandleExW	VerifyVersionInfoW	strtol
GetModuleHandleW	WSAAccept	strtoul
GetNetworkParams	WSACreateEvent	swprintf_s
GetObjectW	WSAEnumNetworkEvents	tolower
GetParent	WSAEventSelect	toupper
GetProcAddress	WSASocketA	vfprintf
GetProcessHeap	WTSEnumerateSessionsA	wcscat_s
GetProcessId	WTSFreeMemory	wcschr
GetProcessImageFileNameW	WTSGetActiveConsoleSessionId	wescmp
GetProcessWindowStation	WTSQuerySessionInformationA	wcscpy_s
GetProfileType	WTSQueryUserToken	wcslen
GetProfilesDirectoryA	WTSRegisterSessionNotification	wcsncat_s
GetStdHandle	WaitForMultipleObjects	wcsncmp
GetStockObject	WaitForSingleObject	wcsncpy
GetSubMenu		wcsncpy_s
GetSysColor		wcsnlen
		wcsrchr

wcsstr wcstok wcstok\_s wcstombs\_s wprintf wsprintfW

# macOS APIs used by GlobalProtect

_AuthorizationCreate	_OBJC_METACLASS_\$_WebView	_inet_addr
_AuthorizationFree	_SCDynamicStoreCopyComputerName	_inet_aton
_AuthorizationRightGet	_SCDynamicStoreCopyConsoleUser	_inet_ntoa
_AuthorizationRightSet	_SCDynamicStoreCopyLocalHostName	_inet_ntop
_CFAllocatorCreate	_SCDynamicStoreCopyProxies	_inet_pton
_CFAllocatorGetContext	_SCDynamicStoreCopyValue	_ioctl
_CFArrayAppendValue	_SCDynamicStoreCreate	_ivar_getName
_CFArrayCreateMutable	_SCDynamicStoreRemoveValue	_ivar_getOffset
_CFArrayGetCount	_SCDynamicStoreSetValue	_kCFAllocatorDefault
_CFArrayGetValueAtIndex	_SCError	_kCFAllocatorMalloc
_CFAutorelease	_SCErrorString	_kCFAllocatorNull
_CFBooleanGetTypeID	_SCNetworkInterfaceCopyAll	_kCFBooleanTrue
_CFBooleanGetValue	_SCNetworkInterfaceGetBSDName	_kCFBundleVersionKey
_CFBundleCopyBundleURL	_SCNetworkInterfaceGetHardwareAddr	_kCFCoreFoundationVersionNumber
_CFBundleCopyResourceURL	essString	_kCFPreferencesAnyHost
_CFBundleCreate	_SCNetworkReachabilityCreateWithAd dress	_kCFPreferencesCurrentUser
_CFBundleGetMainBundle	SCNetworkReachabilityCreateWithNa	_kCFProxyHostNameKey
_CFBundleGetValueForInfoDictionaryKey	me	_kCFProxyPortNumberKey
_CFCopyDescription	_SCNetworkReachabilityGetFlags	_kCFRunLoopDefaultMode
_CFDataCreate	_SecAccessControlCreateWithFlags	_kCFTypeArrayCallBacks
_CFDataCreateWithBytesNoCopy	_SecAccessCreate	_kCFTypeDictionaryKeyCallBacks
_CFDataGetBytePtr	_SecCertificateCopyCommonName	_kCFTypeDictionaryValueCallBacks
_CFDataGetBytes	_SecCertificateCopyData	_kIOMasterPortDefault
_CFDataGetLength	_SecCertificateCopySubjectSummary	_kSCPropInterfaceName
_CFDictionaryAddValue	_SecCertificateCopyValues	_kSCPropNetDNSSearchDomains
_CFDictionaryCreate	_SecCertificateCreateWithData	_kSCPropNetDNSSearchOrder
_CFDictionaryCreateMutable	_SecCertificateGetCLHandle	_kSCPropNetDNSServerAddresses
_CFDictionaryGetCount	_SecCertificateGetData	_kSCPropNetIPv4Addresses
_CFDictionaryGetTypeID	_SecCertificateGetSubject	_kSCPropNetIPv4Router
_CFDictionaryGetValue	_SecCertificateGetTypeID	_kSCPropNetIPv4SubnetMasks
_CFDictionarySetValue	_SecCodeCheckValidityWithErrors	_kSCPropNetIPv6Addresses
_CFEqual	_SecCodeCopySelf	_kSCPropNetIPv6PrefixLength
_CFErrorGetCode	_SecCopyErrorMessageString	_kSCPropNetIPv6Router
_CFGetRetainCount	_SecDecryptTransformCreate	_kSCPropNetOverridePrimary
_CFGetTypeID	_SecIdentityCopyCertificate	_kSCPropNetProxiesHTTPSEnable

\_CFMakeCollectable \_CFNetworkCopyProxiesForAutoConfiguratio nScript \_CFNumberCreate \_CFNumberGetTypeID \_CFNumberGetValue \_CFPreferencesCopyAppValue \_CFPreferencesCopyApplicationList \_CFPropertyListCreateData \_CFPropertyListCreateDeepCopy \_CFPropertyListCreateWithData \_CFPropertyListWriteToStream \_CFRelease CFRetain \_CFRunLoopAddSource \_CFRunLoopGetCurrent \_CFRunLoopGetMain \_CFRunLoopRun \_CFRunLoopStop \_CFSocketCreateRunLoopSource \_CFSocketCreateWithNative \_CFSocketGetSocketFlags \_CFSocketInvalidate \_CFSocketSetSocketFlags \_CFStringAppendCString \_CFStringCompare \_CFStringCreateArrayBySeparatingStrings \_CFStringCreateCopy \_CFStringCreateFromExternalRepresentation \_CFStringCreateMutable \_CFStringCreateMutableCopy \_CFStringCreateWithBytes \_CFStringCreateWithCString \_CFStringCreateWithFormat \_CFStringCreateWithFormatAndArguments \_CFStringGetCString

\_CFStringGetCStringPtr

\_CFStringGetLength

\_SecIdentityCopyPrivateKey \_SecIdentityCreateWithCertificate \_SecIdentityGetTypeID \_SecIdentitySearchCopyNext \_SecIdentitySearchCreate \_SecItemAdd \_SecItemCopyMatching \_SecItemDelete \_SecItemImport \_SecItemUpdate \_SecKeychainAttributeInfoForItemID \_SecKeychainCopyDefault \_SecKeychainCopyDomainDefault \_SecKeychainCopyDomainSearchList \_SecKeychainCopySearchList \_SecKeychainFindInternetPassword \_SecKeychainFreeAttributeInfo \_SecKeychainGetPath \_SecKeychainGetStatus \_SecKeychainItemCopyAttributesAndD ata \_SecKeychainItemCopyFromPersistentR eference \_SecKeychainItemCopyKeychain \_SecKeychainItemCreatePersistentRefer ence \_SecKeychainItemDelete \_SecKeychainItemFreeAttributesAndDat а \_SecKeychainItemFreeContent \_SecKeychainItemImport \_SecKeychainOpen \_SecKeychainSearchCopyNext \_SecKeychainSearchCreateFromAttribut es \_SecKeychainSetPreferenceDomain \_SecKeychainUnlock \_SecPKCS12Import \_SecPolicyCreateBasicX509

\_kSCPropNetProxiesHTTPSPort \_kSCPropNetProxiesHTTPSProxy \_kSCPropNetProxiesProxyAutoConfigEnable \_kSCPropNetProxiesProxyAutoConfigURLSt ring \_kSecAttrAccess \_kSecAttrAccessControl \_kSecAttrAccessibleWhenPasscodeSetThisD eviceOnly \_kSecAttrAccount \_kSecAttrIsExtractable \_kSecAttrIsSensitive \_kSecAttrIssuer kSecAttrLabel \_kSecAttrService \_kSecAttrSubject \_kSecClass \_kSecClassCertificate \_kSecClassGenericPassword \_kSecClassIdentity \_kSecImportExportPassphrase \_kSecImportItemCertChain \_kSecImportItemIdentity \_kSecMatchLimit \_kSecMatchLimitAll \_kSecMatchLimitOne \_kSecMatchSearchList \_kSecOIDExtendedKeyUsage \_kSecPropertyTypeError \_kSecPropertyTypeTitle \_kSecReturnAttributes \_kSecReturnData \_kSecReturnPersistentRef \_kSecReturnRef \_kSecTransformInputAttributeName \_kSecUseKeychain \_kSecUseOperationPrompt

\_kSecValueData

_CFStringGetMaximumSizeForEncoding	_SecPolicyCreateRevocation	_kSecValuePersistentRef
_CFStringGetTypeID	_SecPolicyCreateSSL	_kSecValueRef
_CFURLCreateCopyAppendingPathComponen	_SecPolicySearchCopyNext	_kill
t	_SecPolicySearchCreate	_link_ntoa
_CFURLCreateDataAndPropertiesFromResour ce	_SecPolicySetValue	_listen
_CFURLCreateFromFileSystemRepresentation	_SecRandomCopyBytes	_localtime
_CFURLCreateWithFileSystemPath	_SecRequirementCreateWithString	_localtime_r
_CFURLCreateWithString	$\_SecStaticCodeCheckValidityWithError$	_lseek
_CFUUIDCreate	s	_lstat\$INODE64
_CFUUIDCreateString	_SecStaticCodeCreateWithPath	_mach_absolute_time
_CFWriteStreamClose	_SecTransformExecute	_mach_timebase_info
_CFWriteStreamCreateWithFile	_SecTransformSetAttribute	_malloc
_CFWriteStreamOpen	_SecTrustCopyProperties	_malloc_size
_CGRectGetHeight	_SecTrustCopyResult	_memchr
CGRectGetWidth	_SecTrustCreateWithCertificates	_memcmp
_CGSessionCopyCurrentDictionary	_SecTrustEvaluate	_memcpy
_CGSizeZero	_SecTrustGetCertificateAtIndex	_memmove
CSSMOID_APPLE_TP_REVOCATION_CR	_SecTrustGetCertificateCount	_memset
L	_SecTrustGetCssmResultCode	_method_setImplementation
_CSSMOID_APPLE_TP_REVOCATION_OC	_SecTrustGetResult	_mkdir
SP	_SecTrustSetAnchorCertificates	_mktime
_CSSMOID_APPLE_X509_BASIC	_SecTrustSetAnchorCertificatesOnly	_nanosleep
_CSSMOID_ClientAuth	_SecTrustSetKeychains	_objc_alloc
_CSSMOID_CommonName	_SecTrustSetNetworkFetchAllowed	_objc_allocateClassPair
_CSSMOID_ExtendedKeyUsage	_SecTrustSetParameters	_objc_autorelease
_CSSMOID_ExtendedKeyUsageAny	_SecTrustSettingsSetTrustSettings	_objc_autoreleasePoolPop
_CSSMOID_KeyUsage	_SecTrustedApplicationCreateFromPath	_objc_autoreleasePoolPush
_CSSMOID_ServerAuth	Block_copy	_objc_autoreleaseReturnValue
_CSSMOID_X509V1IssuerName	Block_object_assign	_objc_begin_catch
_CSSMOID_X509V1SubjectName	Block_object_dispose	_objc_constructInstance
_CSSM_CL_CertGetAllFields	DefaultRuneLocale	_objc_copyClassNamesForImage
_CSSM_CL_FreeFields	NSConcreteGlobalBlock	_objc_destroyWeak
_DNSServiceProcessResult	NSConcreteStackBlock	_objc_end_catch
_DNSServiceQueryRecord	NSDictionaryOfVariableBindings	_objc_enumerationMutation
_DNSServiceRefDeallocate	Unwind_Resume	_objc_getClass
_DNSServiceRefSockFD	CFConstantStringClassReference	_objc_getMetaClass
_Gestalt	assert_rtn	_objc_getProperty
_IOIteratorNext	bzero	_objc_getProtocol

_IOObjectRelease	cxa_allocate_exception	_objc_getRequiredClass
_IORegistryEntryCreateCFProperty	cxa_atexit	_objc_initializeClassPair
_IORegistryEntryGetParentEntry	cxa_begin_catch	_objc_loadClassref
_IOServiceGetMatchingService	cxa_call_unexpected	_objc_loadWeakRetained
_IOServiceGetMatchingServices	cxa_end_catch	_objc_lookUpClass
_IOServiceMatching	cxa_free_exception	_objc_msgSend
_KextManagerCopyLoadedKextInfo	cxa_guard_abort	_objc_msgSendSuper2
_KextManagerCreateURLForBundleIdentifier	cxa_guard_acquire	_objc_msgSendSuper2_stret
_KextManagerLoadKextWithURL	cxa_guard_release	_objc_msgSend_stret
_KextManagerUnloadKextWithIdentifier	cxa_pure_virtual	_objc_readClassPair
_NSApp	cxa_throw	_objc_registerClassPair
_NSAppearanceNameAqua	error	_objc_release
_NSApplicationDidChangeScreenParametersN	gxx_personality_v0	_objc_retain
otification	maskrune	_objc_retainAutorelease
_NSApplicationMain	memcpy_chk	_objc_retainAutoreleaseReturnValue
_NSBeep	memmove_chk	_objc_retainAutoreleasedReturnValue
_NSCharacterEncodingDocumentAttribute	memset_chk	_objc_setProperty_atomic
_NSContainsRect	objc_personality_v0	_objc_setProperty_atomic_copy
_NSDefaultRunLoopMode	sprintf_chk	_objc_setProperty_nonatomic
_NSDocumentTypeDocumentAttribute	stack_chk_fail	_objc_setProperty_nonatomic_copy
_NSEdgeInsetsZero	stack_chk_guard	_objc_storeStrong
_NSFileGroupOwnerAccountID	stderrp	_objc_storeWeak
_NSFileGroupOwnerAccountName	stdinp	_objc_sync_enter
_NSFileOwnerAccountID	stdoutp	_objc_sync_exit
_NSFileOwnerAccountName	strcat_chk	_object_getClass
_NSFilePosixPermissions	tolower	_object_getIndexedIvars
_NSFontAttributeName	toupper	_object_getIvar
_NSFontWeightBold	dispatch_main_q	_object_setIvar
_NSFontWeightLight	dispatch_source_type_timer	_open
_NSFontWeightMedium	dispatch_source_type_vnode	_opendir\$INODE64
_NSForegroundColorAttributeName	dyld_register_func_for_add_image	_pclose
_NSFoundationVersionNumber	exit	_popen
_NSHTMLTextDocumentType	objc_empty_cache	_posix_spawn
_NSHomeDirectory	objc_empty_vtable	_posix_spawn_file_actions_addinherit_np
_NSInsetRect	abort	_posix_spawn_file_actions_destroy
_NSLocalizedDescriptionKey	_accept	posix_spawn_file_actions_init
_NSLog	_access	_posix_spawnattr_destroy
_NSOffsetRect	_arc4random	_posix_spawnattr_init
		-

_NSParagraphStyleAttributeName	_arc4random_buf
_NSPointInRect	_asctime
_NSRectFill	_asprintf
_NSRunAlertPanel	_atof
_NSSearchPathForDirectoriesInDomains	_atoi
_NSStringFromSelector	_atol
_NSTemporaryDirectory	_atoll
$\_NSURLAuthenticationMethodClientCertificat$	_backtrace
e	_backtrace_symbols
_NSURLAuthenticationMethodServerTrust	_basename
_NSUnderlineStyleAttributeName	_bind
_NSWindowDidMoveNotification	_bzero
_NSWindowDidResizeNotification	_calloc
_NSWorkspaceDidWakeNotification	_ceil
_NSWorkspaceSessionDidBecomeActiveNotifi cation	_chmod
_NSWorkspaceSessionDidResignActiveNotific	_chown
ation	_class_addMethod
_NSWorkspaceWillPowerOffNotification	_class_addProperty
_NSWorkspaceWillSleepNotification	_class_addProtocol
_NSZeroRect	_class_copyPropertyList
_OBJC_CLASS_\$_CATextLayer	_class_getInstanceMethod
_OBJC_CLASS_\$_CWInterface	_class_getInstanceSize
_OBJC_CLASS_\$_LAContext	_class_getInstanceVariable
_OBJC_CLASS_\$_NSAlert	_class_getIvarLayout
_OBJC_CLASS_\$_NSApplication	_class_getName
_OBJC_CLASS_\$_NSArray	_class_getSuperclass
_OBJC_CLASS_\$_NSAssertionHandler	_class_isMetaClass
_OBJC_CLASS_\$_NSAttributedString	_class_replaceMethod
_OBJC_CLASS_\$_NSAutoreleasePool	_class_respondsToSelector
_OBJC_CLASS_\$_NSBezierPath	_clock_gettime
_OBJC_CLASS_\$_NSBundle	_close
_OBJC_CLASS_\$_NSButton	_closedir
_OBJC_CLASS_\$_NSCharacterSet	_connect
_OBJC_CLASS_\$_NSColor	_dirname
_OBJC_CLASS_\$_NSData	_dispatch_after
_OBJC_CLASS_\$_NSDate	_dispatch_async
_OBJC_CLASS_\$_NSDateFormatter	_dispatch_get_global_queue
_OBJC_CLASS_\$_NSDictionary	_dispatch_group_async

\_posix\_spawnattr\_setflags \_pow \_printf \_proc\_listallpids \_proc\_pidpath \_property\_copyAttributeList \_property\_getName \_protocol\_getMethodDescription \_protocol\_getName \_pthread\_attr\_destroy \_pthread\_attr\_init \_pthread\_cancel \_pthread\_cond\_destroy \_pthread\_cond\_init \_pthread\_cond\_signal \_pthread\_cond\_timedwait \_pthread\_cond\_wait \_pthread\_create \_pthread\_detach \_pthread\_exit \_pthread\_join \_pthread\_mach\_thread\_np \_pthread\_mutex\_destroy \_pthread\_mutex\_init \_pthread\_mutex\_lock \_pthread\_mutex\_unlock \_pthread\_mutexattr\_destroy \_pthread\_mutexattr\_init \_pthread\_mutexattr\_settype \_pthread\_self \_puts \_qsort \_rand \_read \_readdir\$INODE64 \_realloc \_recv \_recvfrom

_OBJC_CLASS_\$_NSError	_dispatch_group_create	_remove
_OBJC_CLASS_\$_NSEvent	_dispatch_group_enter	_rename
_OBJC_CLASS_\$_NSFileManager	_dispatch_group_leave	_res_9_getservers
_OBJC_CLASS_\$_NSFont	_dispatch_group_wait	_res_9_ndestroy
_OBJC_CLASS_\$_NSHTTPCookieStorage	_dispatch_once	_res_9_ninit
_OBJC_CLASS_\$_NSImage	_dispatch_queue_create	_rewind
_OBJC_CLASS_\$_NSImageView	_dispatch_queue_get_label	_round
_OBJC_CLASS_\$_NSInvocation	_dispatch_release	_roundf
_OBJC_CLASS_\$_NSJSONSerialization	_dispatch_resume	_sel_getUid
_OBJC_CLASS_\$_NSLayoutConstraint	_dispatch_retain	_select\$1050
_OBJC_CLASS_\$_NSMenu	_dispatch_source_cancel	_send
_OBJC_CLASS_\$_NSMenuItem	_dispatch_source_create	_setenv
_OBJC_CLASS_\$_NSMutableArray	_dispatch_source_set_cancel_handler	_seteuid
_OBJC_CLASS_\$_NSMutableAttributedStrin	_dispatch_source_set_event_handler	_setlogin
g	_dispatch_source_set_timer	_setreuid
_OBJC_CLASS_\$_NSMutableData	_dispatch_time	_setsockopt
_OBJC_CLASS_\$_NSMutableDictionary	_dladdr	_setuid
_OBJC_CLASS_\$_NSMutableOrderedSet	_dlclose	_setutxent
_OBJC_CLASS_\$_NSMutableParagraphStyle	_dlerror	_setvbuf
_OBJC_CLASS_\$_NSMutableSet	_dlopen	_shmat
_OBJC_CLASS_\$_NSMutableString	_dlsym	_shmctl
_OBJC_CLASS_\$_NSMutableURLRequest	_endutxent	_shmget
_OBJC_CLASS_\$_NSNotificationCenter	_environ	_shutdown
_OBJC_CLASS_\$_NSNull	_execl	_sigaction
_OBJC_CLASS_\$_NSNumber	_exit	_signal
_OBJC_CLASS_\$_NSNumberFormatter	_fchown	_sleep
_OBJC_CLASS_\$_NSObject	_fclose	_snprintf
_OBJC_CLASS_\$_NSOperationQueue	_fcntl	_socket
_OBJC_CLASS_\$_NSOutlineView	_fcopyfile	_socketpair
_OBJC_CLASS_\$_NSPanel	_feof	_sprintf
_OBJC_CLASS_\$_NSPipe	_ferror	_srand
_OBJC_CLASS_\$_NSPopUpButton	_fflush	_sscanf
_OBJC_CLASS_\$_NSPredicate	_fgets	_stat\$INODE64
_OBJC_CLASS_\$_NSProcessInfo	_fileno	_stpncpy
_OBJC_CLASS_\$_NSProgressIndicator	_floor	_strcasecmp
_OBJC_CLASS_\$_NSRunLoop	_fopen	_streasestr
_OBJC_CLASS_\$_NSScanner	_fork	_strchr
_OBJC_CLASS_\$_NSScreen	_fprintf	_strcmp

_OBJC_CLASS_\$_NSScrollView	_fputc	_strcpy
_OBJC_CLASS_\$_NSSecureTextField	_fputs	_strdup
_OBJC_CLASS_\$_NSStackView	_fread	_strerror
_OBJC_CLASS_\$_NSStatusBar	_free	_strerror_r
_OBJC_CLASS_\$_NSString	_freeaddrinfo	_strftime
_OBJC_CLASS_\$_NSTabView	_freeifaddrs	_strlen
_OBJC_CLASS_\$_NSTabViewItem	_freopen	_strncasecmp
_OBJC_CLASS_\$_NSTableColumn	_fscanf	_strncat
_OBJC_CLASS_\$_NSTableView	_fseek	_strncmp
_OBJC_CLASS_\$_NSTask	_fstat\$INODE64	_strncpy
_OBJC_CLASS_\$_NSTextField	_ftell	_strnlen
_OBJC_CLASS_\$_NSThread	_fwrite	_strnstr
_OBJC_CLASS_\$_NSTimer	_gai_strerror	_strptime
_OBJC_CLASS_\$_NSTrackingArea	_getaddrinfo	_strrchr
_OBJC_CLASS_\$_NSURL	_getcwd	_strstr
_OBJC_CLASS_\$_NSURLCache	_getegid	_strtof
_OBJC_CLASS_\$_NSURLComponents	_getenv	_strtok_r
_OBJC_CLASS_\$_NSURLConnection	_geteuid	_strtol
_OBJC_CLASS_\$_NSURLCredential	_getgid	_strtoul
_OBJC_CLASS_\$_NSURLRequest	_getgrnam_r	_strtoull
_OBJC_CLASS_\$_NSURLSession	_gethostbyname	_symlink
_OBJC_CLASS_\$_NSURLSessionConfigurati	_gethostbyname2	_syscall
on	_getifaddrs	_sysconf
_OBJC_CLASS_\$_NSUserDefaults	_getlogin	_sysctl
_OBJC_CLASS_\$_NSView	_getnameinfo	_sysctlbyname
_OBJC_CLASS_\$_NSViewController	_getpid	_syslog
_OBJC_CLASS_\$_NSWindow	_getppid	_system
_OBJC_CLASS_\$_NSWindowController	_getpwnam	_tcgetattr
_OBJC_CLASS_\$_NSWorkspace	_getpwuid	_tcsetattr
_OBJC_CLASS_\$_NSXMLDocument	_getpwuid_r	_time
_OBJC_CLASS_\$_NSXMLElement	_getservbyname	_unlink
_OBJC_CLASS_\$_NSXMLNode	_getsockname	_unsetenv
_OBJC_CLASS_\$_NSXMLParser	_getsockopt	_usleep
_OBJC_CLASS_\$_SFCertificatePanel	_gettimeofday	_utimes
_OBJC_CLASS_\$_SFChooseIdentityPanel	_getuid	_vasprintf
_OBJC_CLASS_\$_WebView	_getutxent	_vfprintf
_OBJC_EHTYPE_\$_NSException	_gmtime	_vsnprintf
_OBJC_METACLASS_\$_NSAlert	_gmtime_r	_waitpid

_OBJC_METACLASS_\$_NSButton	_h_errno	_write
_OBJC_METACLASS_\$_NSMenuItem	_hash_create	_xar_close
_OBJC_METACLASS_\$_NSObject	_hash_search	_xar_extract_tobuffersz
_OBJC_METACLASS_\$_NSPanel	_hstrerror	_xar_file_first
_OBJC_METACLASS_\$_NSView	_if_indextoname	_xar_file_next
_OBJC_METACLASS_\$_NSViewController	_if_nametoindex	_xar_get_path
_OBJC_METACLASS_\$_NSWindowControll	_in6addr_any	_xar_iter_free
er		_xar_iter_new

\_xar\_open dyld\_stub\_binder operator delete(void\*) operator delete[](void\*) operator new(unsigned long) operator new[](unsigned long)

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