

**National Information Assurance Partnership**  
**Common Criteria Evaluation and Validation Scheme**



**Validation Report**

**Samsung Electronics Co., Ltd.**

**416 Maetan-3dong, Yeongtong-gu, Suwon-si, Gyeonggi-  
do, 443-742 Korea**

**Samsung Electronics Co., Ltd.**  
**Samsung Galaxy Note 4 Android 5**  
**VPN Client**

**Report Number: CCEVS-VR-10630-2015**  
**Dated: April 8, 2015**  
**Version: 0.3**

**National Institute of Standards and Technology**  
**Information Technology Laboratory**  
**100 Bureau Drive**  
**Gaithersburg, MD 20899**

**National Security Agency**  
**Information Assurance Directorate**  
**9800 Savage Road STE 6940**  
**Fort George G. Meade, MD 20755-6940**

## **ACKNOWLEDGEMENTS**

### **Validation Team**

**Jerry Myers**  
**Ken Stutterheim**  
*Aerospace Corporation*

**Sheldon Durrant**  
*MITRE Corporation*

### **Common Criteria Testing Laboratory**

James Arnold  
Tammy Compton  
*Gossamer Security Solutions, Inc.*  
*Catonsville, MD*

## Table of Contents

1	Executive Summary .....	1
2	Identification .....	1
3	Architectural Information .....	3
3.1	TOE Evaluated Configuration .....	3
3.2	Physical Boundaries .....	4
4	Security Policy .....	4
4.1	Cryptographic support .....	4
4.2	User data protection .....	4
4.3	Identification and authentication .....	4
4.4	Security management .....	4
4.5	Protection of the TSF .....	5
4.6	Trusted path/channels .....	5
5	Assumptions and Clarification of Scope .....	5
6	Documentation .....	5
7	IT Product Testing .....	6
7.1	Developer Testing .....	7
7.2	Evaluation Team Independent Testing .....	7
8	Evaluated Configuration .....	7
9	Results of the Evaluation .....	7
9.1	Evaluation of the Security Target (ASE) .....	8
9.2	Evaluation of the Development (ADV) .....	8
9.3	Evaluation of the Guidance Documents (AGD) .....	8
9.4	Evaluation of the Life Cycle Support Activities (ALC) .....	8
9.5	Evaluation of the Test Documentation and the Test Activity (ATE) .....	9
9.6	Vulnerability Assessment Activity (VAN) .....	9
9.7	Summary of Evaluation Results .....	9
10	Validator Comments/Recommendations .....	9
11	Annexes .....	10
12	Security Target .....	10
13	Glossary .....	10
14	Bibliography .....	11

## 1 Executive Summary

This report documents the assessment of the National Information Assurance Partnership (NIAP) validation team of the evaluation of Samsung Galaxy Note 4 Android 5 VPN Client solution provided by Samsung Electronics Co., Ltd. It presents the evaluation results, their justifications, and the conformance results. This Validation Report is not an endorsement of the Target of Evaluation by any agency of the U.S. government, and no warranty is either expressed or implied.

The evaluation was performed by the Gossamer Security Solutions (Gossamer) Common Criteria Testing Laboratory (CCTL) in Catonsville, MD, United States of America, and was completed in March 2015. The information in this report is largely derived from the Evaluation Technical Report (ETR) and associated test reports, all written by Gossamer Security Solutions. The evaluation determined that the product is both Common Criteria Part 2 Extended and Part 3 Conformant, and meets the assurance requirements of EAL 1.

The Target of Evaluation (TOE) is the Samsung Galaxy Note 4 Android 5 VPN Client.

The Target of Evaluation (TOE) identified in this Validation Report has been evaluated at a NIAP-approved Common Criteria Testing Laboratory using the Common Methodology for IT Security Evaluation (Version 3.1, Rev 4) for conformance to the Common Criteria for IT Security Evaluation (Version 3.1, Rev 4). This Validation Report applies only to the specific version of the TOE as evaluated. The evaluation has been conducted in accordance with the provisions of the NIAP Common Criteria Evaluation and Validation Scheme and the conclusions of the testing laboratory in the evaluation technical report are consistent with the evidence provided.

The validation team monitored the activities of the evaluation team, provided guidance on technical issues and evaluation processes, and reviewed the individual work units and successive versions of the ETR. The validation team found that the evaluation showed that the product satisfies all of the functional requirements and assurance requirements stated in the Security Target (ST). Therefore the validation team concludes that the testing laboratory's findings are accurate, the conclusions justified, and the conformance results are correct. The conclusions of the testing laboratory in the evaluation technical report are consistent with the evidence produced.

The Gossamer Security Solutions evaluation team concluded that the Common Criteria requirements for Evaluation Assurance Level (EAL) 1.

The technical information included in this report was obtained from the Samsung Electronics Co., Ltd. Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14) Security Target and analysis performed by the evaluation team.

## 2 Identification

The CCEVS is a joint National Security Agency (NSA) and National Institute of Standards and Technology (NIST) effort to establish commercial facilities to perform trusted product evaluations. Under this program, security evaluations are conducted by commercial testing

laboratories called Common Criteria Testing Laboratories (CCTLs) using the Common Evaluation Methodology (CEM) in accordance with National Voluntary Laboratory Assessment Program (NVLAP) accreditation.

The NIAP Validation Body assigns validators to monitor the CCTLs to ensure quality and consistency across evaluations. Developers of information technology products desiring a security evaluation contract with a CCTL and pay a fee for their product's evaluation. Upon successful completion of the evaluation, the product is added to NIAP's Validated Products List.

Table 1 provides information needed to completely identify the product, including:

- The Target of Evaluation (TOE): the fully qualified identifier of the product as evaluated.
- The Security Target (ST), describing the security features, claims, and assurances of the product.
- The conformance result of the evaluation.
- The Protection Profile to which the product is conformant.
- The organizations and individuals participating in the evaluation.

**Table 1: Evaluation Identifiers**

<b>Item</b>	<b>Identifier</b>
<b>Evaluation Scheme</b>	United States NIAP Common Criteria Evaluation and Validation Scheme
<b>TOE:</b>	Samsung Electronics Co., Ltd. Samsung Galaxy Note 4 Android 5 VPN Client
<b>Protection Profile</b>	Protection Profile for IPsec Virtual Private Network (VPN) Clients, Version 1.4, 21 October 2013
<b>ST:</b>	Samsung Electronics Co., Ltd. Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14) Security Target, Version 1.1, March 19, 2015
<b>Evaluation Technical Report</b>	Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14) , Version 1.1, March 25, 2015
<b>CC Version</b>	Common Criteria for Information Technology Security Evaluation, Version 3.1, rev 4
<b>Conformance Result</b>	CC Part 2 extended, CC Part 3 conformant
<b>Sponsor</b>	Samsung Electronics Co., Ltd.
<b>Developer</b>	Samsung Electronics Co., Ltd.
<b>Common Criteria Testing Lab (CCTL)</b>	Gossamer Security Solutions, Inc.
<b>CCEVS Validators</b>	Jerry Myers, The Aerospace Corporation Ken Stutterheim, The Aerospace Corporation Sheldon Durrant, The MITRE Corporation

### 3 Architectural Information

Note: The following architectural description is based on the description presented in the Security Target.

The TOE includes a Common Criteria mode (or “CC mode”) that an administrator can invoke through the use of a MDM tool or through the installation and use of the administrative application, CCMODE.apk (see the Guidance for instructions to obtain the application). The TOE must be configured as follows in order for an administrator to transition the TOE to CC mode.

- Require a screen lock password (swipe, PIN, pattern, or facial recognition screen locks are not allowed).
- The maximum password failure retry policy should be less than or equal to ten.
- Device encryption must be enabled.
- SDCard encryption must be enabled.
- Revocation checking must be enabled.

When CC mode has been enabled, the TOE behaves as follows.

- The TOE restricts the available VPN configurations to those evaluated as part of this evaluation.
- The TOE restricts the use of IKEv2/IPsec cipher suites to only those conformant with the requirements of the IVPNCP14.

#### 3.1 TOE Evaluated Configuration

The evaluated configuration consists of the following device identification:

Device Name	Base Model Number	Android Version	Kernel Version	Build Number
Galaxy Note 4 (Qualcomm)	SM-N910F	5.0.1	3.10.40	LRX22C

The following table shows the Security software versions for the device.

Device Name	MDF Version	MDF Release	VPN v1.4 Release
Galaxy Note 4 (Qualcomm)	2.0	1	2

## **3.2 Physical Boundaries**

The TOE is a VPN Client solution for use with the Samsung Galaxy Note 4 based on Android (5.0.1) that incorporates the Samsung Enterprise SDK. The method of use for the TOE is as a VPN client within an enterprise environment.

The TOE communicates and interacts with IEEE 802.11-2012 Access Points and cellular networks to establish network connectivity.

This evaluation does not include the underlying hardware and firmware or any device management application that maybe implemented on the device.

## **4 Security Policy**

This section summarizes the security functionality of the TOE:

1. Cryptographic support
2. User data protection
3. Identification and authentication
4. Security Management
5. Protection of the TSF
6. Trusted path/channels

### **4.1 Cryptographic support**

The IPsec implementation is the primary function of the TOE. IPsec is used by the TOE to protect communication between itself and a VPN Gateway over an unprotected network. With the exception of the IPsec implementation, the TOE relies upon its underlying platform (evaluated against the Protection Profile For Mobile Device Fundamentals) for the cryptographic services.

### **4.2 User data protection**

The TOE ensures that residual information is protected from potential reuse in accessible objects such as network packets.

### **4.3 Identification and authentication**

The TOE provides the ability to use, store, and protect X.509 certificates and pre-shared keys that are used for IPsec Virtual Private Network (VPN) connections.

### **4.4 Security management**

The TOE provides the interfaces necessary to manage the security functions identified throughout the Security Target. In particular, the IPsec VPN is configurable by a combination of functions provided directly by the TOE and those available to the associated VPN gateway.

## 4.5 Protection of the TSF

The TOE relies upon its underlying platform to perform self-tests that cover the TOE as well as the functions necessary to securely update the TOE.

## 4.6 Trusted path/channels

The TOE acts as a VPN client using IPsec to established secure channels to corresponding VPN gateways.

## 5 Assumptions and Clarification of Scope

The Security Problem Definition, including the assumptions, may be found in the Protection Profile for IPsec Virtual Private Network (VPN) Clients, Version 1.4, 21 October 2013 (IVPNCPP14). That information has not been reproduced here and the IVPNCPP14 should be consulted if there is interest in that material.

The scope of this evaluation was limited to the functionality and assurances covered in the IVPNCPP as described for this TOE in the Security Target. Other functionality included in the product or the underlying platform was not assessed as part of this evaluation. All other functionality needs to be assessed separately and no further conclusions can be drawn about their effectiveness.

All evaluations (and all products) have limitations, as well as potential misconceptions that need clarifying. This text covers some of the more important limitations and clarifications of this evaluation. Note that:

1. As with any evaluation, this evaluation only shows that the evaluated configuration meets the security claims made, with a certain level of assurance (the assurance activities specified in the Protection Profile for IPsec Virtual Private Network (VPN) Clients and performed by the evaluation team).
2. This evaluation covers only the specific product version identified in this document, and not any earlier or later versions released or in process.
3. This evaluation did not specifically search for, nor attempt to exploit, vulnerabilities that were not “obvious” or vulnerabilities to objectives not claimed in the ST. The CEM defines an “obvious” vulnerability as one that is easily exploited with a minimum of understanding of the TOE, technical sophistication and resources.

## 6 Documentation

The following documentation was used as evidence for the evaluation of the Samsung Galaxy Note 4 Android 5 VPN Client:

- Samsung VPN Client on Galaxy Devices Guidance documentation, Version 2.0, February 13, 2015



- Samsung VPN Client on Galaxy Devices VPN User Guidance Documentation, Version 2.0, March 25, 2015

Any additional customer documentation delivered with the product or available through download was not included in the scope of the evaluation and hence should not be relied upon when using the products as evaluated.

## 7 IT Product Testing

This section describes the testing efforts of the developer and the Evaluation Team. It is derived from information contained in the Detailed Test Report for Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14), Version 0.2, March 23, 2015, which is not publically available. The Assurance Activities Report for Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14), Version 1.1, March 25, 2015 (AAR), provides a non-proprietary overview of testing and the prescribed assurance activities.

The following diagrams depict the test environments used by the evaluators.

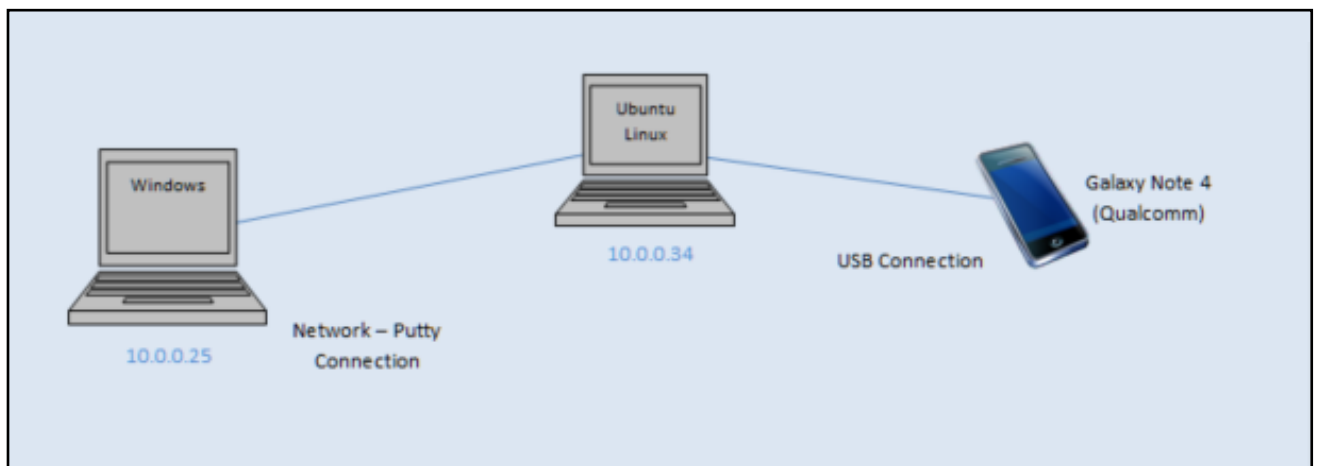


Figure 1 Developer Test Setup

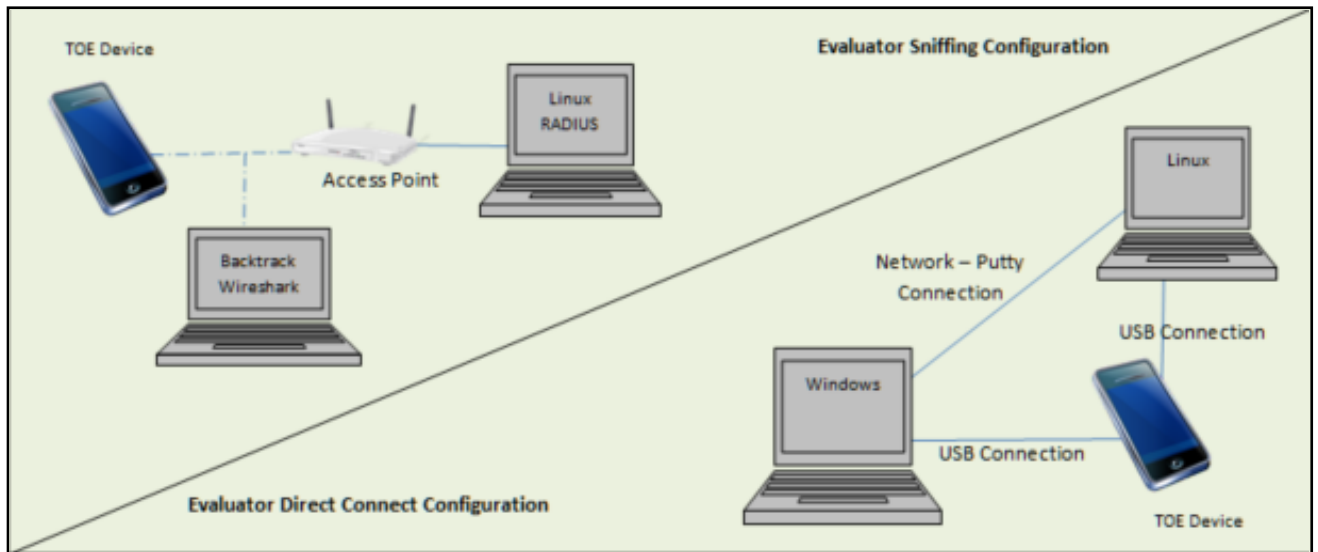


Figure 2 Evaluator Test Setup

## 7.1 Developer Testing

No evidence of developer testing is required in the assurance activities for this product.

## 7.2 Evaluation Team Independent Testing

The evaluation team verified the product according to the Samsung VPN Client on Galaxy Devices Guidance documentation, Version 2.0, February 13, 2015 document and ran the tests specified in the IVPNCP14.

## 8 Evaluated Configuration

The evaluated configuration consists of the Samsung Galaxy Devices VPN Client devices configured as specified in Samsung VPN Client on Galaxy Devices Guidance documentation, Version 2.0, February 13, 2015.

## 9 Results of the Evaluation

The results of the assurance requirements are generally described in this section and are presented in detail in the proprietary ETR and Detailed Test Report (DTR). The evaluation activities included performance of all EAL1 work units with a passing verdict.

A verdict for an assurance component is determined by the resulting verdicts assigned to the corresponding evaluator action elements. The evaluation was conducted based upon

CC version 3.1 rev 4 and CEM version 3.1 rev 4. The evaluation determined the Product Name TOE to be Part 2 extended, and to meet the Part 3 Evaluation Assurance Level (EAL 1).

### **9.1 Evaluation of the Security Target (ASE)**

The evaluation team applied each ASE CEM work unit. The ST evaluation ensured the ST contains a description of the environment in terms of policies and assumptions, a statement of security requirements claimed to be met by the Samsung Galaxy Note 4 Android 5 VPN Client devices that are consistent with the Common Criteria, and product security function descriptions that support the requirements.

The validation team reviewed the work of the evaluation team, and found that sufficient evidence and justification was provided by the evaluation team to confirm that the evaluation was conducted in accordance with the requirements of the CEM, and that the conclusion reached by the evaluation team was justified.

### **9.2 Evaluation of the Development (ADV)**

The evaluation team applied each EAL 1 ADV CEM work unit. The evaluation team assessed the design documentation and found it adequate to aid in understanding how the TSF provides the security functions. The design documentation consists of a functional specification contained in the Security Target and guidance documents. Additionally the evaluator performed the assurance activities specified in the IVPNCPD related to the examination of the information contained in the TSS.

The validation team reviewed the work of the evaluation team, and found that sufficient evidence and justification was provided by the evaluation team to confirm that the evaluation was conducted in accordance with the requirements of the CEM, and that the conclusion reached by the evaluation team was justified.

### **9.3 Evaluation of the Guidance Documents (AGD)**

The evaluation team applied each EAL 1 AGD CEM work unit. The evaluation team ensured the adequacy of the user guidance in describing how to use the operational TOE. Additionally, the evaluation team ensured the adequacy of the administrator guidance in describing how to securely administer the TOE. All of the guides were assessed during the design and testing phases of the evaluation to ensure they were complete.

The validation team reviewed the work of the evaluation team, and found that sufficient evidence and justification was provided by the evaluation team to confirm that the evaluation was conducted in accordance with the requirements of the CEM, and that the conclusion reached by the evaluation team was justified.

### **9.4 Evaluation of the Life Cycle Support Activities (ALC)**

The evaluation team applied each EAL 1 ALC CEM work unit. The evaluation team found that the TOE was identified.

The validation team reviewed the work of the evaluation team, and found that sufficient evidence and justification was provided by the evaluation team to confirm that the

evaluation was conducted in accordance with the requirements of the CEM, and that the conclusion reached by the evaluation team was justified.

### **9.5 Evaluation of the Test Documentation and the Test Activity (ATE)**

The evaluation team applied each EAL 1 ATE CEM work unit. The evaluation team ran the set of tests specified by the assurance activities in the IVPNCPP and recorded the results in a Test Report, and summarized in the AAR.

The validation team reviewed the work of the evaluation team, and found that sufficient evidence and justification was provided by the evaluation team to confirm that the evaluation was conducted in accordance with the requirements of the CEM, and that the conclusion reached by the evaluation team was justified.

### **9.6 Vulnerability Assessment Activity (VAN)**

The evaluation team applied each EAL 1 AVA CEM work unit. The evaluation team performed a public search for vulnerabilities and did not discover any public issues with the TOE.

The validation team reviewed the work of the evaluation team, and found that sufficient evidence and justification was provided by the evaluation team to confirm that the evaluation was conducted in accordance with the requirements of the CEM, and that the conclusion reached by the evaluation team was justified.

### **9.7 Summary of Evaluation Results**

The evaluation team's assessment of the evaluation evidence demonstrates that the claims in the ST are met. Additionally, the evaluation team's testing also demonstrated the accuracy of the claims in the ST.

The validation team's assessment of the evidence provided by the evaluation team is that it demonstrates that the evaluation team followed the procedures defined in the CEM, and correctly verified that the product meets the claims in the ST.

## **10 Validator Comments/Recommendations**

One of the ST's claims deviates from the requirement FCS\_IPSEC\_EXT.1.14 defined in the VPN Protection Profile. This deviation was approved by the NIAP Technical Rapid Response Team (TRRT), and makes the assurance that the relative key strength negotiated by the product to protect the key strength of the tunnel reliant on the correct functioning and correct configuration of the remote VPN Gateway, which is beyond the scope of this evaluation.

When the device is configured in CC Mode Over The Air (OTA) updates are the only method allowed for updating the TOE. Administrators should note that the VPN Client configuration and secure operation as evaluated requires that the VPN Gateway is configured to enforce organizational policies as specified in the administrative guidance.

The validators suggest that the consumer pay particular attention to the evaluated configuration. The functionality is scoped exclusively to the security functional requirements as specified in the Security Target, and only the functionality implemented by the SFR's within the Security Target was evaluated. The evaluated configuration is the Samsung Galaxy Note 4 mobile device based on Android 5. Any additional functionality provided by the device, which includes software that was not part of the evaluated configuration, must be assessed separately and no conclusions can be drawn about their effectiveness.

## 11 Annexes

Not applicable

## 12 Security Target

The Security Target is identified as *Samsung Electronics Co., Ltd. Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14) Security Target, Version 1.1, March 19, 2015.*

## 13 Glossary

The following definitions are used throughout this document:

- **Common Criteria Testing Laboratory (CCTL).** An IT security evaluation facility accredited by the National Voluntary Laboratory Accreditation Program (NVLAP) and approved by the CCEVS Validation Body to conduct Common Criteria-based evaluations.
- **Conformance.** The ability to demonstrate in an unambiguous way that a given implementation is correct with respect to the formal model.
- **Evaluation.** The assessment of an IT product against the Common Criteria using the Common Criteria Evaluation Methodology to determine whether or not the claims made are justified; or the assessment of a protection profile against the Common Criteria using the Common Evaluation Methodology to determine if the Profile is complete, consistent, technically sound and hence suitable for use as a statement of requirements for one or more TOEs that may be evaluated.
- **Evaluation Evidence.** Any tangible resource (information) required from the sponsor or developer by the evaluator to perform one or more evaluation activities.
- **Feature.** Part of a product that is either included with the product or can be ordered separately.
- **Target of Evaluation (TOE).** A group of IT products configured as an IT system, or an IT product, and associated documentation that is the subject of a security evaluation under the CC.
- **Validation.** The process carried out by the CCEVS Validation Body leading to the issue of a Common Criteria certificate.

- **Validation Body.** A governmental organization responsible for carrying out validation and for overseeing the day-to-day operation of the NIAP Common Criteria Evaluation and Validation Scheme.

## 14 Bibliography

The Validation Team used the following documents to produce this Validation Report:

- [1] Common Criteria for Information Technology Security Evaluation: Part 1: Introduction and General Model, Version 3.1, Revision 4, September 2012.
- [2] Common Criteria for Information Technology Security Evaluation Part 2: Security functional components, Version 3.1, Revision 4, September 2012.
- [3] Common Criteria for Information Technology Security Evaluation Part 3: Security assurance components, Version 3.1 Revision 4, September 2102.
- [4] Protection Profile for IPsec Virtual Private Network (VPN) Clients, Version 1.4, 21 October 2013.
- [5] Assurance Activities Report for Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14), Version 1.1, March 25, 2015.
- [6] Samsung VPN Client on Galaxy Devices Guidance documentation, Version 2.0, February 13, 2015
- [7] Samsung VPN Client on Galaxy Devices VPN User Guidance Documentation, Version 2.0, March 25, 2015.
- [8] Samsung Electronics Co., Ltd. Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14) Security Target, Version 1.1, March 19, 2015
- [9] Assurance Activities Report For Samsung Galaxy Note 4 Android 5 VPN Client (IVPNCPP14), Version 1.1, March 25, 2015