# **National Information Assurance Partnership**

# **Common Criteria Evaluation and Validation Scheme**



# **Validation Report**

# for

# **IPGARD Secure KVM/KM Peripheral Sharing Switches**

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IPGARD Secure KVM/KM Peripheral Sharing Switches

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IPGARD Secure KVM/KM Peripheral Sharing Switches

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## IPGARD Secure KVM/KM Peripheral Sharing Switches

# **1** Executive Summary

This report is intended to assist the end-user of this product and any security certification agent for that end-user to determine the suitability of this Information Technology (IT) product in their environment. End-users should review the Security Target (ST), (which is where specific security claims are made) as well as this Validation Report (VR) (which describes how those security claims were evaluated, tested, and any restrictions that may be imposed upon the evaluated configuration) to help in that determination. Prospective users should carefully read the Assumptions and Clarification of Scope in Section 4 and the Validator Comments in Section 10, where any restrictions on the evaluated configuration are highlighted.

This report documents the National Information Assurance Partnership (NIAP) assessment of the evaluation of the IPGARD Secure KVM/KM Peripheral Sharing Switches. It presents the evaluation results, their justifications, and the conformance results. This VR is not an endorsement of the Target of Evaluation (TOE) by any agency of the U.S. Government and no warranty of the TOE is either expressed or implied. This VR applies only to the specific version and configuration of the product as evaluated and as documented in the ST.

The evaluation of the IPGARD Secure KVM/KM Peripheral Sharing Switches was performed by Leidos Common Criteria Testing Laboratory (CCTL) in Columbia, Maryland, in the United States and was completed in March 2017. The evaluation was conducted in accordance with the requirements of the Common Criteria and Common Methodology for IT Security Evaluation (CEM), version 3.1, revision 4 and the assurance activities specified in the Protection Profile for Peripheral Sharing Switch, Version 3.0. Leidos performed an analysis of the NIAP Technical Decisions (https://www.niap-ccevs.org/Documents\_and\_Guidance/view\_tds.cfm). Leidos determined Technical Decisions TD0083, TD0086, and TD0136 applied to this evaluation. The evaluation was consistent with NIAP Common Criteria Evaluation and Validation Scheme (CCEVS) policies and practices as described on their web site (www.niap-ccevs.org).

The Leidos evaluation team determined that the IPGARD Secure KVM/KM Peripheral Sharing Switches is conformant to the claimed Protection Profile (PP) and, when installed, configured and operated as specified in the evaluated guidance documentation, satisfied all of the security functional requirements stated in the ST. The information in this VR is largely derived from the publically available Assurance Activities Report (AAR) and the associated proprietary test report produced by the Leidos evaluation team.

IPGARD Secure Peripheral Sharing Switches provide a secure medium to share a single set of peripheral components such as keyboard, video display and mouse/pointing devices among multiple computers over USB, DVI, and Display Port for KVM Switches and keyboard, mouse/pointing devices among multiple computers over USB for KM Switches. The TOE is a hardware and firmware solution that consists of the following KVM/KM Peripheral Sharing Switches:

## IPGARD Secure KVM/KM Peripheral Sharing Switches

#	Model Name	P/N	Description and NIAP Certification Version	Version
1	SDVN-2S	1872-IPG-1001	2-Port SH Secure DVI-I KVM w/audio, PP 3.0	111.111
2	SDVN-2S-P	1872-IPG-1002	2-Port SH Secure Pro DVI-I KVM w/audio and CAC, PP 3.0	111.111
3	SDVN-2D	1872-IPG-1003	2-Port DH Secure DVI-I KVM w/audio and CAC, PP 3.0	111.111
4	SDVN-2D-P	1872-IPG-1004	2-Port DH Secure Pro DVI-I KVM w/audio, PP 3.0	121.212
5	SDPN-2S	1872-IPG-1005	2-Port SH Secure DP KVM w/audio, PP 3.0	121.212
6	SDPN-2S-P	1872-IPG-1006	2-Port SH Secure Pro DP KVM w/audio and CAC, PP 3.0	121.212
7	SDPN-2D	1872-IPG-1007	2-Port DH Secure DP KVM w/audio and CAC, PP 3.0	121.212
8	SDPN-2D-P	1872-IPG-1008	2-Port DH Secure Pro DP KVM w/audio, PP 3.0	121.212
9	SDHN-2S-P	1872-IPG-1009	2-Port SH Secure Pro DP to HDMI KVM w/audio and CAC, PP 3.0	131.313
10	SDHN-2D-P	1872-IPG-1010	2-Port DH Secure Pro DP to HDMI KVM w/audio and CAC, PP 3.0	131.313

## Table 1: IPGARD 2-Port Secure TOE Identification

#	Model Name	P/N	Description and NIAP Certification Version	Version
1	SDVN-4S	1872-IPG-1011	4-Port SH Secure DVI-I KVM w/audio, PP 3.0	242.414
2	SDVN-4S-P	1872-IPG-1012	4-Port SH Secure Pro DVI-I KVM w/audio and CAC, PP 3.0	242.414
3	SDVN-4D	1872-IPG-1013	4-Port DH Secure DVI-I KVM w/audio, PP 3.0	242.414
4	4 SDVN-4D-P 1872-IPG-1014 4-Port DH Secure Pro DVI-I KVM w/audio and CAC, F 3.0		4-Port DH Secure Pro DVI-I KVM w/audio and CAC, PP 3.0	242.414
5	SDPN-4S	1872-IPG-1015	4-Port SH Secure DP KVM w/audio, PP 3.0	252.515
6	SDPN-4S-P	1872-IPG-1016	4-Port SH Secure Pro DP KVM w/audio and CAC, PP 3.0	252.515
7	SDPN-4D	1872-IPG-1017	4-Port DH Secure DP KVM w/audio, PP 3.0	252.515
8	SDPN-4D-P	1872-IPG-1018	4-Port DH Secure Pro DP KVM w/audio and CAC, PP 3.0 25	
9	SDHN-4S-P	1872-IPG-1019	4-Port SH Secure Pro DP to HDMI KVM w/audio and CAC, PP 3.0	262.616

10	SDHN-4D-P	1872-IPG-1020	4-Port DH Secure Pro DP to HDMI KVM w/audio and CAC, PP 3.0	262.616
11	SDVN-4Q-P	1872-IPG-1021	4-Port QH Secure Pro DVI-I KVM w/audio and CAC, PP 3.0	242.414
12	SDPN-4Q-P	1872-IPG-1022	4-Port QH Secure Pro DP KVM w/audio and CAC, PP 3.0	252.515
13	SDHN-4Q-P	1872-IPG-1023	4-Port QH Secure Pro DP to HDMI KVM w/audio and CAC, PP 3.0	262.616
14	SKMN-4S	1872-IPG-1030	4-Port Secure KM w/audio, PP 3.0	202.410
15	SKMN-4S-P	1872-IPG-1031	4-Port Secure Pro KM w/audio and CAC, PP 3.0	202.410

#### IPGARD Secure KVM/KM Peripheral Sharing Switches

 Table 2: IPGARD 4-Port Secure TOE Identification

#	Model Name	P/N	Description and NIAP Certification Version	Version
1		1972 IDC 1024	9 Dart CH Carrier DVI LKVM m/mdia DD 2.0	272 717
1	5DVN-85	18/2-IPG-1024	8-Port SH Secure DVI-I KVM W/audio, PP 5.0	5/5./1/
2	SDVN-8S-P	1872-IPG-1025	8-Port SH Secure Pro DVI-I KVM w/ audio and CAC, PP 3.0	373.717
3	SDVN-8D	1872-IPG-1026	8-Port DH Secure DVI-I KVM w/ audio, PP 3.0	373.717
4	SDVN-8D-P	1872-IPG-1027	8-Port DH Secure Pro DVI-I KVM w/ audio and CAC, PP 3.0	373.717
5	SKMN-8S	1872-IPG-1032	8-Port Secure KM w/ audio, PP 3.0	303.710
6	SKMN-8S-P	1872-IPG-1033	8-Port Secure Pro KM w/ audio and CAC, PP 3.0	303.710
7	SDVN-16S	1872-IPG-1028	16-Port SH Secure DVI-I KVM w/ audio, PP 3.0	484.818
8	SDVN-16S-P	1872-IPG-1029	16-Port SH Secure Pro DVI-I KVM w/ audio and CAC, PP 3.0	484.818

#### Table 3: IPGARD 8 and 16-Port Secure TOE Identification

The validation team monitored the activities of the evaluation team, examined evaluation evidence, provided guidance on technical issues and evaluation processes, and reviewed the evaluation results produced by the evaluation team. The validation team found that the evaluation results showed that all assurance activities specified in the claimed PP had been completed successfully and that the product satisfied all of the security functional and assurance requirements as stated in the ST.

Therefore the validation team concludes that the testing laboratory's findings are accurate, the conclusions justified, and the conformance results are correct. The conclusions of the testing laboratory in the evaluation technical report are consistent with the evidence produced.

The products, when configured as specified in the guidance documentation, satisfy all of the security functional requirements stated in the IPGARD Secure KVM/KM Switch Security Target.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

Item	Identifier
Evaluated Product	IPGARD Secure KVM/KM Peripheral Sharing Switches identified in Table 1, Table 2, and Table 3
Sponsor & Developer	Albert Cohen IPGARD, Inc. 3455 W. Craig Road, Suite C North Las Vegas, NV 89032
CCTL	Leidos Common Criteria Testing Laboratory 6841 Benjamin Franklin Drive Columbia, MD 21046
Completion Date	March 2017
CC	Common Criteria for Information Technology Security Evaluation, Version 3.1, Revision 4, September 2012
Interpretations	There were no applicable interpretations used for this evaluation.
CEM Common Methodology for Information Technology Sec Evaluation: Version 3.1, Revision 4, September 2012	
PP Protection Profile for Peripheral Sharing Switch, Vers	
Disclaimer	The information contained in this Validation Report is not an endorsement of the IPGARD Secure KVM/KM Peripheral Sharing Switches by any agency of the U.S. Government and no warranty of the IPGARD Secure KVM/KM Peripheral Sharing Switches is either expressed or implied.
Evaluation PersonnelGregory Beaver Cody Cummins Gary Grainger Kevin Steiner	
Validation PersonnelJerry Myers, Lead ValidatorDaniel Faigin, Senior ValidatorHerb Ellis, Validator	

## Table 4: Evaluation Details

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# 2 Identification

The CCEVS is a joint National Security Agency (NSA) and National Institute of Standards and Technology (NIST) effort to establish commercial facilities to perform trusted product evaluations. Under this program, security evaluations are conducted by commercial testing laboratories called Common Criteria Testing Laboratories (CCTLs) in accordance with National Voluntary Laboratory Assessment Program (NVLAP) accreditation.

The NIAP Validation Body assigns validators to monitor the CCTLs to ensure quality and consistency across evaluations. Developers of information technology products desiring a security evaluation contract with a CCTL and pay a fee for their product's evaluation. Upon successful completion of the evaluation, the product is added to NIAP's Product Compliant List (PCL).

Name	Description	
ST Title	IPGARD Secure KVM/KM Switch Security Target	
ST Version	3.14	
Publication Date	February 17, 2017	
Vendor and ST Author	IPGARD, Inc.	
TOE Reference	IPGARD Secure KVM/KM Peripheral Sharing Switches identified in Table 1, Table 2, and Table 3	
TOE Software Version	IPGARD Secure KVM/KM Peripheral Sharing Switches identified in Table 1, Table 2, and Table 3	
Keywords	KVM Switch, KM Switch, Peripheral Sharing Switch	

The following table identifies the evaluated Security Target and TOE.

# 2.1 Threats

The ST identifies the following threats that the TOE and its operational environment are intended to counter:

- A connection via the PSS between computers may allow unauthorized data flow through the PSS or its connected peripherals.
- A connection via the PSS between computers may allow unauthorized data flow through bit-by-bit signaling.
- A PSS may leak (partial, residual, or echo) user data between the intended connected computer and another unintended connected computer. More specifically, a PSS may

## IPGARD Secure KVM/KM Peripheral Sharing Switches

leak user keyboard entries to a PSS-connected computer other than the selected computer in real-time or at a later time.

- A threat in which the user is connected to a computer other than the one to which they intended to be connected.
- The use of an unauthorized peripheral device with a specific PSS peripheral port may allow unauthorized data flows between connected devices or enable an attack on the PSS or its connected computers.
- The use of an authorized peripheral device with the PSS may still cause unauthorized data flows between connected devices or enable an attack on the PSS or its connected computers. Such threats are possible due to known or unknown device vulnerabilities or due to additional functions within the authorized peripheral device.
- Microphone connected to the TOE used for audio eavesdropping or to transfer data across an air-gap through audio signaling.
- Audio output device used by an attacker as a low-gain microphone for audio eavesdropping. This threat is an abuse of the computer and TOE audio output path to reverse the analog data flow from the headphones to the computer. The computer then amplifies and filters the weak signal, and then digitizes and streams it to another location.
- An attached device (computer or peripheral) with malware, or otherwise under the control of a malicious user, could modify or overwrite code embedded in the TOE's volatile or non-volatile memory to allow unauthorized information flows between connected devices.
- A malicious human agent could physically tamper with or modify the TOE to allow unauthorized information flows between connected devices.
- A malicious human agent could replace the TOE during shipping, storage, or use with an alternate device that does not enforce the TOE security policies.
- Detectable failure of a PSS may cause an unauthorized information flow, weakening of PSS security functions, or unintended switching.

# 2.2 Organizational Security Policies

There are no Organizational Security Policies for the Protection Profile for Peripheral Sharing Switch.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# **3** Architectural Information

IPGARD Secure Peripheral Sharing Switches (PSS) provides a secure medium to share a single set of peripheral components such as keyboard, video display and mouse/pointing devices among multiple computers over USB, DVI, and Display Port for KVM Switches and keyboard, mouse/pointing devices among multiple computers over USB for KM Switches.

IPGARD Secure PSS product utilizes multiple isolated microcontrollers to emulate the connected peripherals in order to prevent a multitude of threats. The TOE is also equipped with numerous uni-directional data flow forcing devices to guarantee isolation of connected computer data channels.

IPGARD Secure KVM port models:

- 2-Port
- 4-Port
- 8-Port
- 16-Port

IPGARD Secure KVM video outputs (displays):

- Single head
- Dual-head
- Quad-head

IPGARD Secure KM port models:

- 4-Port
- 8-Port

IPGARD Secure PSS is compatible with standard personal/portable computers, servers or thinclients. Connected computers running operating systems such as Windows or Linux and have ports for the following:

- USB keyboard (KVM and KM)
- USB mouse (KVM and KM)
- DVI and Display Port 1.2 Video Input (KVM)
- DVI, HDMI 1.4 and Display Port 1.2 Video Output (KVM)
- Audio Input (KVM and KM)
- Audio Output (KVM and KM)

### IPGARD Secure KVM/KM Peripheral Sharing Switches

• USB Common Access Card (CAC) or Smart-Card reader (KVM and KM)

Computers of varying sensitivities are connected to a single TOE that is intended to restrict peripherals connection to one computer at a time. Data leakage is prevented across the TOE to avoid severe compromise of the user's information.

The TOE operational environment included standard computers, monitors, USB mouse, and CAC reader.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# **4** Assumptions

The ST identifies the following assumptions about the use of the product:

- It is assumed that the computers and peripheral devices connected to the TOE are not TEMPEST approved.
- It is assumed that the computers connected to the TOE are not equipped with special analog data collection cards or peripherals such as: Analog to digital interface, high performance audio interface, Digital Signal Processing function, and analog video capture function.
- Physical security, commensurate with the value of the TOE and the data it contains, is assumed to be provided by the environment.
- TOE Administrators and users are trusted to follow and apply all guidance in a trusted manner.
- Personnel configuring the TOE and its operational environment will follow the applicable security configuration guidance.

## 4.1 Clarification of Scope

All evaluations (and all products) have limitations, as well as potential misconceptions that need clarification. This text covers some of the more important limitations and clarifications of this evaluation. Note that:

- 1. As with any evaluation, this evaluation only shows that the evaluated configuration meets the security claims made, with a certain level of assurance (the assurance activities specified in the claimed PPs and performed by the evaluation team).
- 2. This evaluation covers only the specific hardware products, and firmware versions identified in this document, and not any earlier or later versions released or in process.
- 3. The evaluation of security functionality of the product was limited to the functionality specified in the claimed PPs. Any additional security related functional capabilities of the product were not covered by this evaluation. Any additional non-security related functional capabilities of the product, even those described in the ST, were not covered by this evaluation.
- 4. This evaluation did not specifically search for, nor attempt to exploit, vulnerabilities that were not "obvious" or vulnerabilities to objectives not claimed in the ST. The CEM defines an "obvious" vulnerability as one that is easily exploited with a minimum of understanding of the TOE, technical sophistication and resources.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# 5 Security Policy

The TOE implements the User Data Protection and Data Isolation security function policies of the *Protection Profile for Peripheral Sharing Switch* as specified in the ST.

Secure KVM/KM allows an individual user to utilize a single set of peripherals to operate in an environment with several isolated computers. KVM switches keyboard, mouse, display, audio, and USB/CAC (on -P models) from one isolated computer to another. KM switches keyboard, mouse, audio, and USB user authentication devices (only in -P models) from one isolated computer to another. Consequently, TOE security policy consists of data isolation policies along with supporting audit, authentication, management and self-protection policies.

# 5.1 TOE Keyboard and Mouse

The keyboard and mouse processor is programmed in firmware only to accept basic keyboard and mouse USB devices. Wireless keyboard and mouse are not allowed by the TOE. Only USB host peripheral devices are allowed by TOE keyboard and mouse host emulators. A secure peripheral switch (multiplexer) is used to assure the selection of just one tied keyboard and mouse serial data stream during TOE operation. The secure multiplexor has a third position, isolation, which is activated when the TOE has been tampered with or self-test has failed to disable the keyboard and mouse stream.

# 5.2 TOE External Interfaces

The TOE only supports AC/DC power, USB keyboard and mouse, KVM Video (DVI in/DVI out, DP 1.2 in/DP 1.2 out, DP 1.2 in/HDMI 1.4 out or VGA in/VGA out via adapter), analog audio output, user authentication devices, and other assigned/authorized USB devices. Docking protocols are not supported by the TOE. Analog microphone or audio line inputs are not supported by the TOE. Uni-directional audio diodes are placed in parallel on both right and left stereo channels to ensure uni-directional data flow from the connected computer to the user peripheral device. Audio data from the connected peripheral devices to the connected computer is blocked by the audio data diodes.

# 5.3 TOE Audio Subsystem

Electrical isolation of the audio subsystem from all other TOE interfaces prevents data leakages to and from the audio paths. The use of microphones or audio line input devices are prohibited. All TOE devices support analog audio out switching and all TOE devices will prevent microphone devices. These microphones are stopped through the use of unidirectional audio diodes on both left and right stereo channels (forces data flow from only the computer to the connected audio device) and the analog output amplifier which enforces unidirectional audio

## IPGARD Secure KVM/KM Peripheral Sharing Switches

data flow. The TOE audio subsystem does not delay, store, or convert audio data flows. This prevents any audio overflow during switching between isolated audio channels.

# 5.4 TOE Video Subsystem (KVM devices only)

Each connected computer has its own TOE isolated channel with its own Extended Display Identification Data (EDID) emulator and video input port. Data flows from the input video source through its respective EDID emulator and out of the monitor display port. Each video input interface is isolated from one another using different EDID ICs, power planes, ground planes, and electronic components in each independent channel. The TOE supports DVI/ DP 1.2 video input, and DVI/HDMI 1.4 video output (depending on the TOE model).

# 5.5 TOE Administration and Security Management

Each TOE is equipped with Administration and Security Management Tool that can be initiated by running an executable file on a computer with keyboard connected to the same computer via the TOE. The tool requires administrator or a user to be successfully identified and authenticated by name and password in order to gain access to any supported feature.

# 5.6 TOE User Authentication

The TOE is shipped with default Device Filtration for the CAC port. The filter is set at default to allow only standard smart-card reader, PIV/CAC USB 1.1/2.0 token or biometric reader. All devices must be bus powered only (no external power source allowed). The TOE default settings accept standard smart-card reader, PIV/CAC USB 1.1/2.0 token or biometric reader. Only an Identified and authorized administrator can register other USB devices.

# 5.7 TOE User Control and Monitoring

All user monitoring and control of the TOE is performed through the TOE front panel LED illuminated push-buttons. These buttons are tied to the TOE system controller functionality. All push-buttons for selecting computer channels are internally illuminated via LEDs. The current selected channel is indicated by the illumination of the current channel push-button LED (the other channel LEDs remain off). During operation, all front panel LED indications cannot be turned off or dimmed by the user in any way including after Restore Factory Default (reset).

All features of the TOE front panel are tested during power up self-testing. From power up until the termination of the TOE self-test, no channel is selected.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

## 5.8 TOE Tampering Protection

In order to mitigate potential tampering and replacement, the TOE is devised to ensure that any replacement may be detected, any physical modification is evident, and any logical modification may be prevented. The TOE is designed so that access to the TOE firmware, software, or its memory via its accessible ports is prevented. The TOE is designed to prevent any physical or logical access its internal memory. There is a mechanical switch on the inside of the TOE that triggers the anti-tampering state when the enclosure is manually opened. Once the anti-tampering state is triggered, the TOE is permanently disabled.

# 5.9 TOE Self-Testing and Security Audit

The TOE has a self-testing function that executes immediately after power is supplied including Restore Factory Default (reset) and power reset, before normal operation access is granted to the user. Self-Test function includes the following activities:

- Basic integrity test of the TOE hardware (no front panel push buttons are jammed).
- Basic integrity test of the TOE firmware.
- Integrity test of the anti-tampering system and control function.
- Test the data traffic isolation between ports.

The TOE has a non-volatile memory event log which records all abnormal security events that occur within TOE operation. This log can be accessed by the identified and authorized administrator and dumped into a .txt file using a connected computer and a program.

### IPGARD Secure KVM/KM Peripheral Sharing Switches

# 6 Documentation

The guidance documentation examined during the course of the evaluation and delivered with the TOE is as follows:

- IPGard Secure KVM Administration and Security Management Tool Guide (KVM and KM) Document ID: DOC-IPG-2009, Version: 2.0, Release Date: January 24th, 2017
- Advanced 2/4-Port DP To HDMI Secure KVM Switch User Manual, Document ID: DOC-IPG-2005, Revision: 1.10, Release Date: December 13th, 2016
- Advanced 2/4-Port DP Secure KVM Switch User Manual, Document ID: DOC-IPG-2008, Revision: 1.10 Release Date: December 13th, 2016
- Advanced 2/4-Port DVI-I Secure KVM Switch User Manual, Document ID: DOC-IPG-2004, Revision: 1.10 Release Date: December 13th, 2016
- Advanced 8/16-Port DVI-I Secure KVM Switch User Manual, Document ID: DOC-IPG-2006, Revision: 1.10 Release Date: November 13th, 2016
- Advanced 4/8 Port Secure KM Switch User Manual, Document ID: DOC-IPG-2007, Revision: 1.11 Release Date: February 17th, 2017

The above documents are considered to be part of the evaluated TOE. The documentation is delivered with the product and is also available by download from: <u>http://ipgard.com/documentation/</u>.

Any additional customer documentation delivered with the TOE or made available through electronic downloads should not be relied upon for using the TOE in its evaluated configuration.

The Security Target used is:

• *IPGARD Secure KVM/KM Switch Security Target*, Document ID: DOC-IPG-2001, Revision: 3.14, Release Date: February 17, 2017

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# 7 Independent Testing

## 7.1 Evaluation team independent testing

This section describes the testing efforts of the evaluation team. It is derived from information contained in the following proprietary documents:

• IPGARD Secure KVM/KM Switch Security Common Criteria Test Report and Procedures, Version 1.1, March 6, 2017

A non-proprietary summary of the test configuration, test tools, and tests performed may be found in:

• Assurance Activities Report For IPGARD Secure KVM/KM Switches, Version 1.1, March 6, 2017

The purpose of the testing activity was to confirm the TOE behaves in accordance with the TOE security functional requirements as specified in the ST for a product claiming conformance to *Protection Profile for Peripheral Sharing Switch*, Version 3.0.

The evaluation team devised a Test Plan based on the Testing Assurance Activities specified in *Protection Profile for Peripheral Sharing Switch*, Version 3.0. The Test Plan described how each test activity was to be instantiated within the TOE test environment. The evaluation team executed the tests specified in the Test Plan and documented the results in the team test report listed above.

Independent testing took place at the IPGARD facility in North Hollywood, California from December 5, 2016 to December 9, 2016. In addition, a supplemental test was performed remotely on March 6, 2017 in accordance with Labgram #078.

Prior to testing, the evaluation team performed an onsite evaluation per NIAP Labgram #078/Valgram #098: CCTL Evaluation Test Requirements. The vendor site controlled access to the test facility. Only the employees who were involved in testing were allowed in the testing facility. The testing was performed on an isolated network to prevent tampering. All test equipment was verified to be functioning properly before being used as part of testing.

The evaluators received the TOE in the form that normal customers would receive it, installed and configured the TOE in accordance with the provided guidance, and exercised the Team Test Plan on equipment configured in the testing laboratory.

Given the complete set of test results from the test procedures exercised by the evaluators, the testing requirements for *Protection Profile for Peripheral Sharing Switch*, Version 3.0 were fulfilled.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# 7.2 Vulnerability analysis

A search of public domain sources for potential vulnerabilities in the TOE did not reveal any known vulnerabilities.

The evaluator conducted penetration testing, based on the potential vulnerabilities identified in the general KM/KVM switch technologies. The testing did not exploit any vulnerability.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# 8 Evaluated Configuration

The evaluated version of the TOE consists of the IPGARD Secure KVM/KM Peripheral Sharing Switches identified in Table 1, Table 2, and Table 3

The TOE must be deployed as described in section 4 Assumptions of this document and be configured in accordance with the documentation identified in Section 6. The figures below identify the evaluated configuration for the four port switches. The same configuration is applied to the 2, 4, 8, and 16 port models.

IPGARD Secure KVM port models:

- 2-Port
- 4-Port
- 8-Port
- 16-Port

IPGARD Secure KM port models:

- 4-Port
- 8-Port



Figure 1: Setup of 4-Port KM TOE Installation

## IPGARD Secure KVM/KM Peripheral Sharing Switches



Figure 2: Setup of 4-Port KVM TOE Installation

#### IPGARD Secure KVM/KM Peripheral Sharing Switches

# **9** Results of the Evaluation

The evaluation was conducted based upon the assurance activities specified in *Protection Profile for Peripheral Sharing Switch*, Version 3.0, in conjunction with version 3.1, revision 4 of the CC and the CEM. A verdict for an assurance component is determined by the resulting verdicts assigned to the corresponding evaluator action elements.

The validation team's assessment of the evidence provided by the evaluation team is that it demonstrates that the evaluation team performed the assurance activities in the claimed PPs, and correctly verified that the product meets the claims in the ST.

The details of the evaluation are recorded in the Evaluation Technical Report (ETR), which is controlled by the Leidos CCTL. The security assurance requirements are listed in the following table.

Assurance Component ID	Assurance Component Name
ADV_FSP.1	Basic function specification
AGD_OPE.1	Operational user guidance
AGD_PRE.1	Preparative procedures
ALC_CMC.1	Labeling of the TOE
ALC_CMS.1	TOE CM coverage
ATE_IND.1	Independent testing – sample
AVA_VAN.1	Vulnerability survey

**Table 5: TOE Security Assurance Requirements** 

### IPGARD Secure KVM/KM Peripheral Sharing Switches

# **10 Validator Comments/Recommendations**

NIAP established a Peripheral Sharing Switch Technical Rapid Response Team (PSS-TRRT) to address questions and concerns related to evaluations claiming conformance to *Protection Profile for Peripheral Sharing Switch*. A Technical Decision is an issue resolution statement that clarifies or interprets protection profile requirements and assurance activities. PSS-TRRT has formally posted three Technical Decisions related to *Protection Profile for Peripheral Sharing Switch*, namely TD0083, TD0086, and TD0136. (See <a href="https://www.niap-ccevs.org/Documents\_and\_Guidance/view\_tds.cfm">https://www.niap-ccevs.org/Documents\_and\_Guidance/view\_tds.cfm</a>.) All three PSS-TRRT Technical Decisions applied to the IPGARD Secure KVM/KM Peripheral Sharing Switch evaluation.

In addition to the items mentioned above some additional product administration and usability features are worth considering:

- An administrator mode is supported in the product, but its usability and features are limited. The administrator should make sure they enable multiple users and change default passwords.
- An audit feature is supported, but is of a limited nature given the product.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# 11 Annexes

Not applicable.

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# **12 Security Target**

Name	Description
ST Title	IPGARD Secure KVM/KM Switch Security Target
ST Version	3.14
Publication Date	February 17, 2017

## IPGARD Secure KVM/KM Peripheral Sharing Switches

# **13** Abbreviations and Acronyms

Acronym	Full Definition	KVM or KM Related
CAC	Common Access Card	KVM/KM
CCTL	Common Criteria Test Lab	KVM/KM
DP	Display Port	KVM
DVI	Digital Visual Interface	KVM
HD	High Definition	KVM
HDMI	High Definition Multimedia Interface	KVM
КМ	Keyboard, Mouse	KVM/KM
KVM	Keyboard, Video and Mouse	KVM/KM
LED	Light-Emitting Diode	KVM/KM
MCU	Microcontroller Unit	KVM/KM
MCCS	Monitor Control Command Set	KVM
РС	Personal Computer	KVM/KM
PIN	Personal Identification Number	KVM/KM
PSS	Peripheral Sharing Switch	KVM/KM
USB	Universal Serial Bus	KVM/KM

#### IPGARD Secure KVM/KM Peripheral Sharing Switches

# **14 Bibliography**

The Validation Team used the following documents to produce this Validation Report:

- 1. Common Criteria for Information Technology Security Evaluation Part 1: Introduction, Version 3.1, Revision 4, September 2012.
- 2. Common Criteria for Information Technology Security Evaluation Part 2: Security Functional Requirements, Version 3.1 Revision 4, September 2012.
- 3. Common Criteria for Information Technology Security Evaluation Part 3: Security Assurance Components, Version 3.1 Revision 4, September 2012.
- 4. Common Methodology for Information Technology Security Evaluation, Evaluation Methodology, Version 3.1, Revision 4, September 2012.
- 5. Common Criteria Evaluation and Validation Scheme Guidance to CCEVS Approved Common Criteria Testing Laboratories, Version 2.0, 8 Sep 2008.
- 6. *IPGARD Secure KVM/KM Switch Security Target*, Document ID: DOC-IPG-2001, Revision: 3.14, Release Date: February 17, 2017
- 7. Evaluation Technical Report for IPGARD Secure KVM/KM Switch, Version 1.0, January 24, 2017
- 8. IPGARD Secure KVM/KM Switch Security Common Criteria Test Report and Procedures, Version 1.1, March 6, 2017
- 9. Assurance Activities Report For IPGARD Secure KVM/KM Switches, Version 1.1, March 6, 2017